

Data Validation

Staff Refresher Training

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USDOL

Agenda for Today

- Overview of the DV program
- Extract Files
- Report Validation
- Sample Investigation
- Results
- Questions and Comments

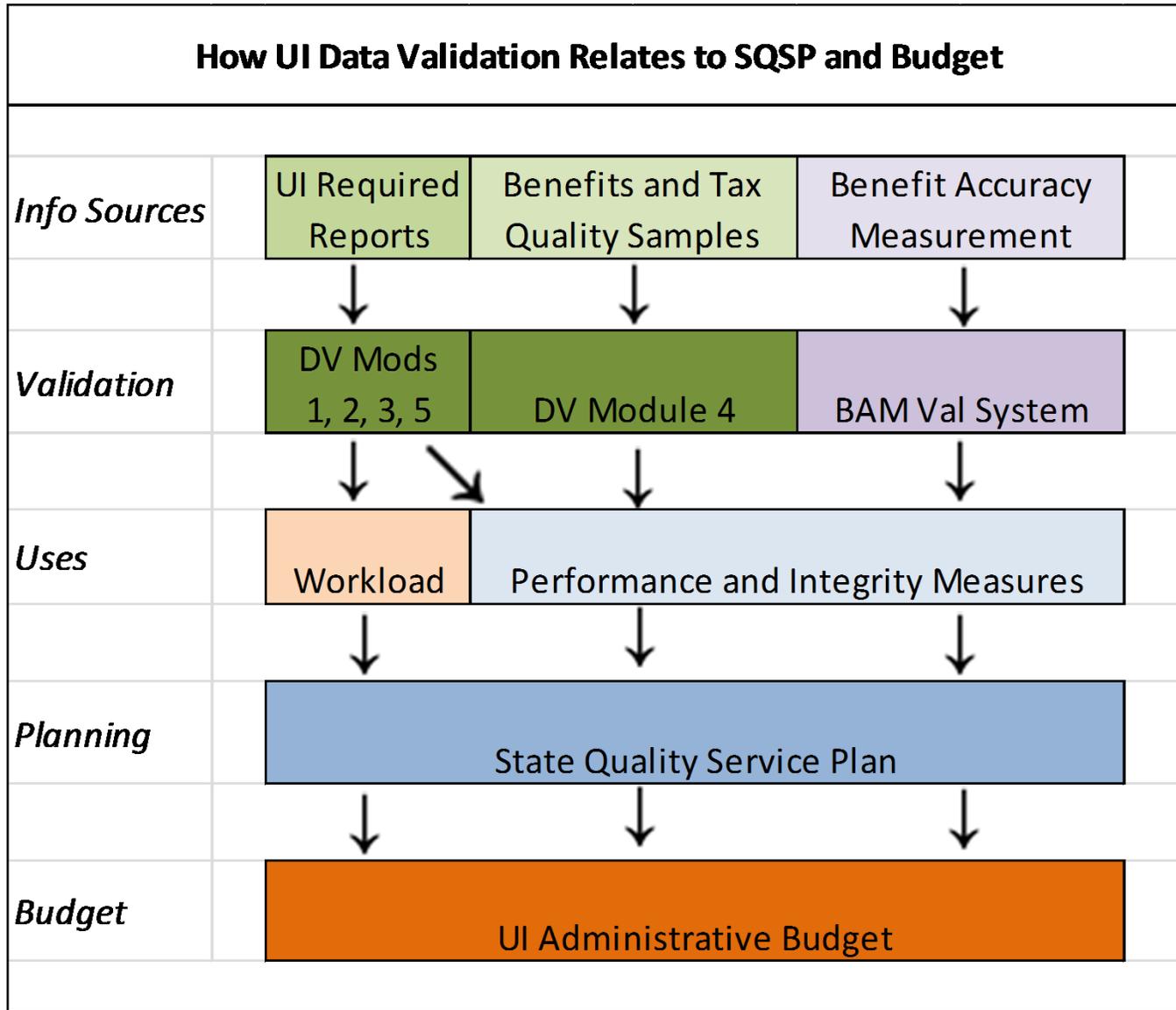
What is Data Validation (DV)?

- What is Data Validation?
 - Data Validation is a systematic procedure for assessing the accuracy of UI reports required by the Employment and Training Administration (ETA)
- All states must implement the UI DV program
- The validation year (VY) is April 1 2016 thru March 31, 2017
- **The purpose of DV is to ensure accurate reporting!**

Why Validate?

- UI reports data inform many critical decisions
 - Budget formulation
 - Performance assessment
 - Economic management
- There are many users of UI Data
 - Local and State governments
 - Congress
 - Federal Reserve
 - Department of Labor, including BLS

DV And What it Validates



The Module 3 Concept

- **Organization and References:**
 - Module 3 is organized by Steps and Sub Steps, in which the Step is the primary item and Sub Steps are the possible variants or values
 - Benefits Module 3 has Steps 1 – 46, of which 4 have been removed (will appear blank)
 - *3 time lapse steps; First Week Affected*
 - Tax has 33 steps
 - All Validation materials that address individual extract file elements are referenced to Module 3:
 - Subpopulation Specification (Appendix A) tables
 - Record Layouts
 - Sample validation worksheets

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Step 1. Match

Sub Step A. Week Claimed

Rules for this step/substep apply to Population 1

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule ensures that the validator has correctly identified the weeks claimed to be validated on the supporting documentation by matching on the SSN.

Document 1: PAYMENTS INQUIRY (BP22)

Validation: The SSN matches the SSN of the week claimed on the worksheet.

Rule 2: This rule ensures that the validator has correctly identified the weeks claimed to be validated on the supporting documentation by matching on the week-ending date of the week being claimed.

Document 1: BP96

Validation: The selected WED date matches the date of the week claimed on the worksheet.

Or

BP44 (If the week claimed is an unpaid certification)

The selected Week Ending Date matches the date of the week claimed on the worksheet.

Or

BP22 (If the week claimed is a paid certification)

Validation Concepts: Population

- Benefits DV defines 16 distinct populations
 - These are used to validate 15 UI reports
 - DV uses four populations to validate the 227 report
 - For example: Weeks Claimed, Final Payments, Appeals Filed, Age of Overpayments
- Tax DV defines 5 populations
 - These are all used to validate parts of the ETA 581 report
 - For example: Active Employers, Report Filing

Regional Office/National Office Roles

- Regional Offices
 - Point of Contact
 - Provides TA if possible
 - Tracks Progress
 - Manages CAPs
- National Office
 - Policy development & overall management
 - Technical Assistance
 - Definitions and process
 - Software issues
 - Develops/maintains DV Software
 - Prepares and distributes DV materials
 - Handbooks, etc.
 - Module 3

Overview of the State Process

- Get Handbooks
 - DV Web Page
 - Benefits, Tax, and DV Operations Guide
- Receive Training
- Update Module 3
- Select Population to Begin
- Build Extract File
- Load Extract File into Software
- Test Extract File
 - Resolve Error cases
 - Validate Samples (DEV)
 - Do Sorts (Tax)
- Submit Results using Software
- Plan Corrective action if Validation does not Pass
- Save documentation of passing results for three years

EXTRACT FILES

The Logic of the DV Extract File

- DV validates counts on UI Required Reports by preparing an independent or “reconstructed” count
- At the heart of DV’s method is the “extract file” or “reconstruction file”
- The audit trail

	A	B	C	D	E	F	G	H	I	J	K	L	M
1	1	9.1E+08	L10060101	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
2	2	9.1E+08	L10060201	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	M-Lead	1	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
3	3	9.1E+08	L10080101	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
4	4	9.1E+08	L10080201	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Employer-Y-LAOVRT	9/3/2012	9/3/2012	\
5	5	9.1E+08	L10080301	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Claimant-N-LASSTN	9/3/2012	9/3/2012	\
6	6	9.1E+08	L10080401	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Employer-N-LASSTN	9/3/2012	9/3/2012	\
7	7	9.1E+08	L10080501	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
8	8	9.1E+08	L10080601	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Employer-Y-LAOVRT	9/3/2012	9/3/2012	\
9	9	9.1E+08	L10080701	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Claimant-N-LASSTN	9/3/2012	9/3/2012	\
10	10	9.1E+08	L10080801	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Employer-N-LASSTN	9/3/2012	9/3/2012	\
11	11	9.1E+08	L10080901	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
12	12	9.1E+08	L10081001	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Employer-Y-LAOVRT	9/3/2012	9/3/2012	\
13	13	9.1E+08	L10081101	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Claimant-N-LASSTN	9/3/2012	9/3/2012	\
14	14	9.1E+08	L10081201	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Employer-N-LASSTN	9/3/2012	9/3/2012	\
15	15	9.1E+08	L10081301	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
16	16	9.1E+08	L10081401	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Employer-Y-LAOVRT	9/3/2012	9/3/2012	\
17	17	9.1E+08	L10081501	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Claimant-N-LASSTN	9/3/2012	9/3/2012	\
18	18	9.1E+08	L10081601	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Employer-N-LASSTN	9/3/2012	9/3/2012	\
19	19	9.1E+08	L10081701	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
20	20	9.1E+08	L10081801	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Employer-Y-LAOVRT	9/3/2012	9/3/2012	\
21	21	9.1E+08	L10081901	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Claimant-N-LASSTN	9/3/2012	9/3/2012	\
22	22	9.1E+08	L10082001	Regular UI-UI	UI-UI	Intrastate-INTRAST	Lower- Lower	S	0	Employer-N-LASSTN	9/3/2012	9/3/2012	\
23	23	9.1E+08	L10082101	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Claimant-Y-LAOVRT	9/3/2012	9/3/2012	\
24	24	9.1E+08	L10082201	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Employer-Y-LAOVRT	9/3/2012	9/3/2012	\
25	25	9.1E+08	L10082301	Regular UI-UI	UI-UI	Interstate-INTERST	Lower- Lower	S	0	Claimant-N-LASSTN	9/3/2012	9/3/2012	\

Designing a Population Extract File

- What report cells of this type are to be validated?
- What do we need to know about every transaction or status to report it properly?
- What characteristics or dimensions do those transactions have?
 - Build a record containing a field for every classifying characteristic
 - These dimensions describe a reportable count

Record Layout

- Provides the format, data type, and constraints for the validation extract file
 - Mandatory fields: Constraint = Not Null
- The extract file type must be ASCII, comma delimited columns
- Data must be in the order listed in the record layout
- The Data Format column indicates the generic values for text fields (mandatory federal format)
 - **These must be followed by a dash and the state-specific value**
 - **Text field values must be exact: misspellings may result in errors**
- The Module 3 reference indicates the step where the state-specific values are documented

Record Layout

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The Data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

Example: If the state-specific code for Single Claimant is N, then the data format would be S-N.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
1	OBS		State assigned sequential unique identifier for each record in the extract file.	Number - 00000000 (Required)	INTEGER	NOT NULL
2	SSN	Step 1E - Rule 1	Social Security Number	Number - 000000000 (Required)	CHAR (9)	NOT NULL
3	Docket Number Unique ID	Step 1E - Rule 2	The Docket Number of the lower authority appeal.	Number - 0000000000 (Required)	CHAR (30)	NOT NULL
4	Appeal Level	Step 24A - Rule 1	The appeal type was a lower authority appeal.	Text - Lower (Required)	CHAR (20)	NOT NULL
5	Type of Appeal (Single or Multiclient)	Single: Step 25A - Rule 1 Multi: Step 25B - Rule 1	The appeals case involves one or more than one claimant.	Text - S M (Required)	CHAR (20)	NOT NULL
6	Number of Claimants	Step 25B - Rules 3 and 5	The number of claimants in a multiclient appeal. If the State stores a single record for a multi-client appeal with a field for the number of claimants, insert the number in this field. If the State stores a record for each claimant involved in a multi-client appeal, include all of the records in the file and insert a '1' in this field.	Number => 1 (Required for multiple claimant appeals; optional for single claimant appeals)	INTEGER	
7	Filed Date	Step 32 - Rule 1	The date on which the appeal was filed.	Date - MM/DD/YYYY (Required)	DATE	NOT NULL
8	User		User defined field. Can be used for any additional data element. Not mandatory.	Text (Optional)	CHAR (100)	

Resolve Errors

- Load file into DV Software (Import date range)
- Two types of errors:
 - **Records that don't belong in the file**
 - True duplicates (software rejects all records meeting duplicate criteria; validator or programmer must keep only one)
 - Filed date is outside month being validated; appeal level is “higher”
 - **Improperly built but reportable/countable transactions**
 - Misspelled field value; inconsistent combination of field values resulting in “record does not match subpopulation criteria” message (i.e. Cause of OP and OP type)
 - Rebuild file to fix records, rerun

The SUN System DV Menu

DATA VALIDATION - BENEFITS i Benefits Selection Criteria

Population Validation	
<u>Population</u>	1-Weeks Claimed ▼
<u>Choose Function</u>	<div style="border: 1px solid black; padding: 2px;"><ul style="list-style-type: none">Import DataView Validation CountsView Report ValidationView Source TableView SamplesView Import MessagesView ErrorsView Population Scores</div>
Go	
Last Import: 08/27/2014 by dv4	tted: 02/03/2014

Other Validations
<input type="radio"/> Benefits Timeliness and Quality (BTQ) Validation
<input type="button" value="View"/>



Import Messages – Screen Shot

IMPORT MESSAGES
for user dv2

Loading benefits population 8 started @: Wed Aug 05 14:10:09 EDT 2015
Rows processed: 57, Errors: 19 for benefits population 8. Still loading...
Rows processed: 57, Errors: 19 for benefits population 8. Checking data this may take a few minutes...
.....Started creating Indexes: Rows processed: 57, Errors: 19 for benefits population 8
.....Done Creating Indexes : Rows processed: 57, Errors: 19 for benefits population 8
.....Started Checking Dates : Rows processed: 57, Total Errors: 19 for benefits population 8
.....Done Checking Dates : Rows processed: 57, Total Errors: 19 for benefits population 8
.....Started Removing duplicate observations : Rows processed: 57, Total Errors: 19 for benefits population 8
.....Done Removing duplicate observations : Rows processed: 57, Total Errors: 19 for benefits population 8
.....Started Removing Duplicates : Rows processed: 57, Total Errors: 19 for benefits population 8
.....Done Removing Duplicates : Rows processed: 57, Total Errors: 19 for benefits population 8
Rows processed: 57, Total Errors: 19 for benefits population 8
Load of benefits population 8 ended @: Wed Aug 05 14:10:13 EDT 2015

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Duplicate Detection Report

ERRORS
Benefits Population 7
Period: 04/01/2014 - 04/30/2014

OBS	SSN	Docket Number	Appeal Level	Type of Appeal	Number Claimants	Filed Date	User Defined	Error Message
		HIGHR_COURT_DCKT_NUM	APPEALLEVEL	TYPE_OF_APPEAL	NUM_OF_CLAIMANTS	FILEDDATE	OPTNL	Invalid value NUM_OF_CLAIMANTS for field num_claimants
6	100-00-1127	H1127	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1127	Duplicate Row - SSN and Docket Id
7	100-00-1127	H1127	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1127	Duplicate Row - SSN and Docket Id
11	100-00-1126	H1126	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1126	Duplicate Row - SSN and Docket Id
12	100-00-1126	H1126	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1126	Duplicate Row - SSN and Docket Id
13	100-00-1130	H1131	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1131	Duplicate Row - SSN and Docket Id
14	100-00-1130	H1131	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1131	Duplicate Row - SSN and Docket Id
25	100-00-1129	H1129	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1129	Duplicate Row - SSN and Docket Id
26	100-00-1129	H1129	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1129	Duplicate Row - SSN and Docket Id
32	100-00-1124	H1124	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1124	Duplicate Row - SSN and Docket Id
33	100-00-1124	H1124	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1124	Duplicate Row - SSN and Docket Id
34	100-00-1125	H1125	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1125	Duplicate Row - SSN and Docket Id
35	100-00-1125	H1125	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1125	Duplicate Row - SSN and Docket Id
49	100-00-1128	H1128	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1128	Duplicate Row - SSN and Docket Id
50	100-00-1128	H1128	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1128	Duplicate Row - SSN and Docket Id
51	100-00-1132	H1133	HIGHER-HIGHER	M-MCLMTTSTA	2	04/16/2014	DV Pop 7/1133	Duplicate Row - SSN and Docket Id
52	100-00-1132	H1133	HIGHER-HIGHER	M-MCLMTTSTA	2	04/16/2014	DV Pop 7/1133	Duplicate Row - SSN and Docket Id
61	100-00-1130	H1130	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1130	Duplicate Row - SSN and Docket Id
62	100-00-1130	H1130	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1130	Duplicate Row - SSN and Docket Id
63	100-00-1131	H1132	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1132	Duplicate Row - SSN and Docket Id
64	100-00-1131	H1132	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1132	Duplicate Row - SSN and Docket Id
65	100-00-1134	H1134	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1134	Duplicate Row - SSN and Docket Id
66	100-00-1134	H1134	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1134	Duplicate Row - SSN and Docket Id

[Duplicate Detection Report](#)

DUPLICATE DETECTION REPORT Benefits Population 7

Period: 04/01/2014 - 04/30/2014

OBS	SSN	Docket Number	Appeal Level	Type of Appeal	Number Claimants	Filed Date	User Defined	Error Message
6	100001127	H1127	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1127	Duplicate Row - SSN and Docket Id
7	100001127	H1127	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1127	Duplicate Row - SSN and Docket Id
11	100001126	H1126	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1126	Duplicate Row - SSN and Docket Id
12	100001126	H1126	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1126	Duplicate Row - SSN and Docket Id
13	100001130	H1131	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1131	Duplicate Row - SSN and Docket Id
14	100001130	H1131	HIGHER-HIGHER	M-MCLMTLDA	2	04/16/2014	DV Pop 7/1131	Duplicate Row - SSN and Docket Id

Errors

ERRORS Benefits Population 8

Period: 09/01/2012 - 09/30/2012

OBS	SSN	Docket Number Unique ID	Type UI Program	Program Type	Interstate/ IntraState	Appeal Level	Single or MulticlaImant	Number of Claimants	Appellant	InFavor Appellant	Filed Date	Decision	Disposed of by Decision	Issue Code	User Defined	Error Message
2	910-06-0201	L10060201	Regular UI-UI	UI-UI	IntraState-INTRAST	Lower-Lower	M-MCLMTLDA	1	Claimant-CLMNT	Y-LAOVRTRND	09/03/2012	09/03/2012	Y-Y	Labor Disp-LBRDSP	DV Pop 8/10060201	Single/Multi Claimant has to be S,M-1,M-Lead,M-Nonlead
27	910-08-2501	L10082501	Regular UI-UI	UI-UI	IntraState-INTRAST	Lower-Lower	S-SNGLCLMTA	0	Claimant-CLMNT	Y-LAOVRTRND	09/03/2012	09/03/2012	Y-Y	A \& A-NTBLAVLBL	DV Pop 8/10082501	Record did not fulfill any sub population criteria.
28	910-09-0157	L10082601	Regular UI-UI	UI-UI	IntraState-INTRAST	Lower-Lower	S-SNGLCLMTA	0	Employer-EMPLR	Y-LAOVRTRND	09/03/2012	09/03/2012	Y-Y	A \& A-NTBLAVLBL	DV Pop 8/10082601	Record did not fulfill any sub population criteria.
29	910-08-2701	L10082701	Regular UI-UI	UI-UI	IntraState-INTRAST	Lower-Lower	S-SNGLCLMTA	0	Claimant-CLMNT	N-LASSTND	09/03/2012	09/03/2012	Y-Y	A \& A-NTBLAVLBL	DV Pop 8/10082701	Record did not fulfill any sub population criteria.
30	910-09-0158	L10082801	Regular UI-UI	UI-UI	IntraState-INTRAST	Lower-Lower	S-SNGLCLMTA	0	Employer-EMPLR	N-LASSTND	09/03/2012	09/03/2012	Y-Y	A \& A-NTBLAVLBL	DV Pop 8/10082801	Record did not fulfill any sub population criteria.
31	910-08-2901	L10082901	Regular UI-UI	UI-UI	InterState-INTERST	Lower-Lower	S-SNGLCLMTA	0	Claimant-CLMNT	Y-LAOVRTRND	09/03/2012	09/03/2012	Y-Y	A \& A-NTBLAVLBL	DV Pop 8/10082901	Record did not fulfill any sub population criteria.
32	910-09-0159	L10083001	Regular UI-UI	UI-UI	InterState-INTERST	Lower-Lower	S-SNGLCLMTA	0	Employer-EMPLR	Y-LAOVRTRND	09/03/2012	09/03/2012	Y-Y	A \& A-NTBLAVLBL	DV Pop 8/10083001	Record did not fulfill any sub population criteria.

Clean Extract File = No Errors

IMPORT MESSAGES
for user dv2

Loading benefits population 8 started @: Wed Aug 05 14:12:24 EDT 2015
Rows processed: 57, Errors: 0 for benefits population 8. Still loading...
Rows processed: 57, Errors: 0 for benefits population 8. Checking data this may take a few minutes...
.....Started creating Indexes: Rows processed: 57, Errors: 0 for benefits population 8
.....Done Creating Indexes : Rows processed: 57, Errors: 0 for benefits population 8
.....Started Checking Dates : Rows processed: 57, Total Errors: 0 for benefits population 8
.....Done Checking Dates : Rows processed: 57, Total Errors: 0 for benefits population 8
.....Started Removing duplicate observations : Rows processed: 57, Total Errors: 0 for benefits population 8
.....Done Removing duplicate observations : Rows processed: 57, Total Errors: 0 for benefits population 8
.....Started Removing Duplicates : Rows processed: 57, Total Errors: 0 for benefits population 8
.....Done Removing Duplicates : Rows processed: 57, Total Errors: 0 for benefits population 8
Rows processed: 57, Total Errors: 0 for benefits population 8
Load of benefits population 8 ended @: Wed Aug 05 14:12:26 EDT 2015

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View Import Messages – From Menu

The screenshot displays a web interface with a grey background. At the top center, the text "IMPORT MESSAGES" is shown in a bold, black, sans-serif font, with "for user dv2" centered below it in a smaller font. A horizontal status bar with a thin black border contains the text "No Messages waiting" on the left side. In the center of the page, the words "Home", "Feedback", and "Help" are displayed in a blue, underlined, serif font. These three items are enclosed within a red oval. On the right side of the page, there is a vertical scrollbar with a white track and a grey handle.

View Errors

ERRORS Benefits Population 8 Period: 09/01/2012 - 09/30/2012															
OBS	SSN	Docket Number Unique ID	Type UI Program	Program Type	Interstate/ Intrastate	Appeal Level	Single or Multiclient	Number of Claimants	Appellant	InFavor Appellant	Filed Date	Decision	Disposed of by Decision	Issue Code	User Defined
No Rows Found.															
Duplicate Detection Report															

DUPLICATE DETECTION REPORT Benefits Population 8 Period: 09/01/2012 - 09/30/2012															
OBS	SSN	Docket Number Unique ID	Type UI Program	Program Type	Interstate/ Intrastate	Appeal Level	Single or Multiclient	Number of Claimants	Appellant	InFavor Appellant	Filed Date	Decision	Disposed of by Decision	Issue Code	User Defined
No Rows Found.															
All Errors Total Duplicates: 0 Total Errors: 0															
Home Feedback Help															

REPORT VALIDATION (RV)

Choosing a Place to Start

- **Most Important – GPRA Measures: MUST BE VALIDATED EVERY YEAR**
 - Benefits Pop 4 (Payments), for 1st Payment Time Lapse
 - Benefits Pop 12 (Overpayments Established), for Detection of OPs
 - Tax Pop 3, Status Determinations
- **Secondary Priority:**
 - Benefits Pop 1, 3, 3a, 5, 8, 9, Tax Pop 1 (Workload items)
- **Easiest:**
 - Benefits Populations 6, 7, 10, 11 (Appeals Filed and Pending)
 - Small counts, no random samples
 - Tax Population 5 (Field Audits)
 - Small counts, no sorts

Review Report Validation

- Select View Report Validation
 - Validation counts are computed from the extract file
 - Software retrieves reported counts from the SUN computer's UIRR files
 - The latest reported counts are retrieved “on the fly” every time View Report Validation function is selected
 - Tolerance limits: 2% unless GPRA (4, 12, T3)
 - Actual and percent differences are relative to Validation Count values

Scope of Benefit Reports Validation

Capsule Overview of the Scope of UI Data Validation					
Population		Database Elements in Extract Record	Number of Subpops	What's Validated	
Number	Type of Transaction/Status			Number of Rpt Cells	On These ETA Reports
<i>BENEFITS</i>					
1	Weeks Claimed	9	9	9	5159
2	Final Payments	10	4	13	5159, 218
3	Initial Claims & Monetary Determinations	12	46	39	5159, 218, 586
3a	Additional Claims	10	6	6	5159
4	Payments	16	51	48	5159, 9050, 9050p, 9051, 9051p, 586
5	Nonmonetary Determinations	12	70	64	207, 9052
6	Appeals Filed, Lower	6	2	2	5130
7	Appeals Filed, Higher	6	2	2	5130
8	Appeals Decided, Lower	14	55	19	5130, 9054L
9	Appeals Decided, Higher	13	23	12	5130, 9054H
10	Appeals Case Aging, Lower	6	7	1	9055L
11	Appeals Case Aging, Higher	5	6	1	9055H
12	Overpayments Established by Cause	13	27	30	227, Section A
13	Overpayments Reconciliation	9	57	57	227, Section C
14	Age of Overpayments	9	24	24	227, Sections C,E
15	Overpayments Established by Detection Method	7	21	36	227, Section B
Totals		157	410	363	15 Reports

Scope of Tax Reports Validation

Population		State Database Elements in Extract Record	Number of Subpops	What's Validated	
Number	Type of Transaction/Status			Number of Rpt Cells	On These ETA Reports
1	Active Employers	16	2	2	581
2	Report Filing	10	16	6	581
3	Status Determinations	13	8	7	581
4	Accounts Receivable	13	16	10	581
5	Field Audits	20	4	11	581
Totals		72	46	36	1 Report

REPORT VALIDATION

Reported Counts

Report Cell	Description	Validation Counts	Reported Counts	Difference	Percent Difference	Score
207A/101-2	UI, Total Determinations	20,077	20,357	280	1.39%	
207A/103-1	UCFE, Total (Dets & Redets)	65	56	9	13.85%	
207A/105-1	UCX, Total (Dets & Redets)	54	45	9	16.67%	
Group 5.01	207 Total Single Claimant Dets and UCFE/UCX Redets	20,196	20,458	262	1.30%	PASS
207A/101-3	UI, Total Redeterminations	35	46	11	31.43%	
Group 5.02	207 UI Single Claimant Redeterminations Total	35	46	11	31.43%	FAIL
207A/102-2	UI, Total Determinations Denials	7,343	7,117	226	3.08%	
207A/102-3	UI, Redeterminations Denials	35	0	35	100.00%	
207A/104-1	UCFE, Total Denials	32	23	9	28.13%	
207A/106-1	UCX, Total Denials	24	15	9	37.50%	
Group 5.03	207 Single Claimant Denials Total	7,434	7,155	279	3.75%	FAIL
207A/101-5	UI Multi-Claimant Labor Dispute	0	0	0	0.00 %	
207A/101-6	UI Multi-Claimant Other	657	19	638	97.11%	
Group 5.04	207 UI Multi-Claimant Determinations Total	657	19	638	97.11%	FAIL
207B/201-8	UI Voluntary Leaving	3,271	3,181	90	2.75%	
207B/201-9	UI Discharge	9,167	9,130	37	0.40%	
207B/201-10	UI OTHER	3	72	69	2,300.00%	
207B/203-8	UCFE, Voluntary Leaving	13	13	0	0.00 %	
207B/203-9	UCFE, Discharge	16	16	0	0.00 %	
207B/203-10	UCFE, Other	0	0	0	0.00 %	
Group 5.05	207 Separation Determinations Total	12,470	12,412	58	0.47%	PASS
207B/202-8	UI Voluntary Leaving Denials	2,216	2,121	95	4.29%	
207B/202-9	UI Discharge Denials	1,338	1,317	21	1.57%	
207B/202-10	UI OTHER Denials	0	56	56	100.00%	
207B/204-8	UCFE, Voluntary Leaving Denials	10	10	0	0.00 %	

SUBPOPULATION SPECIFICATIONS

Table A.5.2
Relationship between ETA 207 Reporting Cells and Subpopulations in Population 5

207 SECTION A			Total Determinations and Redeterminations (1)	Determinations (2)	Redeterminations (3)	Multi-labor (5)	Multi-other (6)
UI	Dets	101		1-36	65-66	61-62	63-64
UI	Denials	102		19-36	66	62	64
UCFE	Dets	103	37-52 67-68				
UCFE	Denials	104	45-52 68				
UCX	Dets	105	53-60 69-70				
UCX	Denials	106	57-60 70				

207 SECTION B			Total Seps (7)	VL (8)	MC (9)	Other Separations (10)
UI	Dets	201	1, 10 19, 28	2, 11 20, 29	3, 12 21, 30	
UI	Denials	202	19, 28	20, 29	21, 30	
UCFE	Dets	203	37, 41 45, 49	38, 42 46, 50	39, 43 47, 51	
UCFE	Denials	204	45, 49	46, 50	47, 51	

SUBPOPULATION SPECIFICATIONS

APPENDIX A

Sub pop #	Report, Line, and Column	2 (§ tep 10) (Rule 1) SSN	3 (§ tep 10) (Rule 2) Issue Number (Unique ID)	4 (§ tep 2) Type of UI Program	5 (§ tep 4) Program Type	6 (§ tep 5) Intrastate/ Interstate	7 (§ tep 16) Determination/ Redetermination	8 (§ tep 17) Type of Determination	9 (§ tep 18) Issue Types	10 (§ tep 19) First Week Affected	11 (§ tep 20) Detection Date	12 (§ tep 21) Notice Date	13 (§ tep 23) Allow or Deny
5.64	207A: 101-6; 102-6 9052C-C195;	Required	Required if State maintains a unique ID	Regular UI or Workshare			Determination	Multi	Other Multiclaimit		Required	Required	Deny
REDETERMINATIONS (5.65 through 5.70) 1) Random Sample: 30 or 100													
5.65	207A-101-3	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate or Interstate	Redetermination	Single	Required			Required	Allow
5.66	207A: 101-3; 102-3	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate or Interstate	Redetermination	Single	Required			Required	Deny
5.67	207A-103-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate or Interstate	Redetermination	Single	Required			Required	Allow
5.68	207A: 103-1; 104-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate or Interstate	Redetermination	Single	Required			Required	Deny
5.69	207A-105-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate or Interstate	Redetermination	Single	Required			Required	Allow
5.70	207A: 105-1; 106-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate or Interstate	Redetermination	Single	Required			Required	Deny

^aAllow or affirmed

VALIDATION COUNTS

Benefits Population 5

Period: 04/01/2014 - 06/30/2014

Subpop	ETA Report/Line/Column	(Step 2) Type of UI Program	(Step 4) Program Type	(Step 5) Intrastate / Interstate	(Step 16) Determination/Redetermination	(Step 17) Type of Determination	(Step 18) Issue Types	(Step 23) Allow / Deny	Num Popu
5.1	207: A101-2;B201-8,9052A-C2	Regular UI or Workshare	UI	Intrastate	Determination	Single	VL	Allow	
5.2	207: A101-2;B201-9,9052A-C2	Regular UI or Workshare	UI	Intrastate	Determination	Single	MC	Allow	
5.3	207: A101-2;B201-10,9052A-C2	Regular UI or Workshare	UI	Intrastate	Determination	Single	Sep/Other	Allow	
5.4	207: A101-2;C301-12,9052B-C98	Regular UI or Workshare	UI	Intrastate	Determination	Single	A & A	Allow	
5.5	207: A101-2;C301-13,9052B-C98	Regular UI or Workshare	UI	Intrastate	Determination	Single	Ded. Income	Allow	
5.6	207: A101-2;C301-14,9052B-C98	Regular UI or Workshare	UI	Intrastate	Determination	Single	Suitable Work	Allow	
5.7	207: A101-2;C301-15,9052B-C98	Regular UI or Workshare	UI	Intrastate	Determination	Single	Reporting	Allow	
5.8	207: A101-2;C301-16,9052B-C98	Regular UI or	UI	Intrastate	Determination	Single	Profiling	Allow	

SUBPOPULATION 5.66

<u>OBS</u>	<u>SSN</u>	<u>Unique #</u>	<u>Type UI Program</u>	<u>Program Type</u>	<u>Intra/InterClaim</u>	<u>Deter Redeter</u>	<u>Type of Determination</u>	<u>Issue Type</u>	<u>First Week</u>	<u>Detection Date</u>	<u>N I</u>
2	235-76-2594	002130417	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	OTHER NONSEP	09/29/2013	10/07/2013	05/3
3	262-79-5141	002737101	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	OTHER NONSEP	02/21/2010	02/25/2010	04/1
5	264-71-2413	003114373	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	PROFILING	03/20/2011	04/07/2011	06/1
7	267-61-5389	003694855	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	REPORTING	04/07/2013	04/25/2013	05/2
2110	595-26-8092	009947719	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	VL	03/10/2013	03/28/2013	04/1
2521	772-74-2370	014860811	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	MC	09/29/2013	09/29/2013	06/0
2696	267-67-0562	019466079	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	VL	09/29/2013	10/22/2013	06/1
3579	241-33-6673	020267944	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	VL	06/30/2013	11/15/2013	06/1
3779	481-70-6217	020352674	REGULAR UI-U	UI-U	INTRASTATE	REDETERMINATION	SINGLE	A & A	10/27/2013	11/21/2013	05/0

Step 16. Nonmonetary Determination/Redetermination

Sub Step B. Nonmonetary Redetermination

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the transaction was a redetermination by checking an indicator.

Document 1: NM05

Validation: There is "Redetermination" next to S-02.

Rule 2: This rule validates that the redetermination meets the Federal definition.

Document 1: NM48

Validation: Review of the case folder verifies that the redetermination meets the Federal definition.

Federal Definition: Nonmonetary Redeterminations: A decision made under statute, regulation, or well defined policy specifically requiring the reopening of a nonmonetary determination prior to the administrative appeal stage, and which affirms, reverses, or modifies a determination.

Step 17. Type of Determination

Sub Step B. Multiclient

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the nonmonetary determination was a multiclient case by checking an indicator or the number of claimants.

Document 1: N/A

Validation: All [redacted] claims are single.

Federal Definition: Multi-Claimant Nonmonetary Determination: A nonmonetary determination based upon a set of facts which apply to groups of similarly situated individuals and which may result in the issuance of one or more notices, depending upon the number of individual claimants involved in the facts.

Example: In a labor dispute involving 500 persons, three claims were filed representing three different shifts of workers. Nonmonetary determinations were made disqualifying all three claims. One multiclient determination should be reported for each nonmonetary determination since identical notices were issued to, or applied to, two or more claimants in a labor dispute. (ETA 401, pp. I-4-13, 14)

SAMPLE INVESTIGATIONS (DEV)

Outline of the Process

1. Identify reviewable elements and basic data sources for each element in population
2. Draw samples by clicking on the View Samples link for your population
3. Print out worksheets
4. Use Module 3 to navigate database
5. Obtain data source information for reviewed elements
6. Investigate each element using data sources and note results on worksheet; take screen shots where helpful
7. Code Pass or Fail on sample screen
8. Save Results Frequently
9. Add comments
10. Do Final Save
11. Transmit to National Office

Benefits Data Element Types

- **Main Benefits Characteristics within each population:**
 - What type of UI Program (Regular, EB, Special Federal)?
 - What program Type (State UI, UCFE, UCX)?
 - Intrastate or Interstate?
 - When did it happen?
 - What week does it refer to?
 - When was it filed, decided, paid, detected, determined overpaid, etc.?
 - What was the outcome? (for monetary determinations, nonmons and appeals)
 - What was the amount? (payments, overpayments, overpayment reconciliation)
 - What was the issue? (nonmons or appeals?)

Tax Data Element Types

- **Main Tax Characteristics within each population:**
 - What type of Employer? (Contributory or Reimbursing?)
 - Employer ID (Employer Account Number)
 - EAN is in every tax record
 - Employer status (Active, Large/small)
 - Dates and Quarters
 - Transaction dates: when was a status determination done, a receivable established, a payment or a report received
 - When the employer became or ceased being liable
 - Quarter of activity, quarter of liability
 - Transaction type (status determination, liquidation)
 - What was the \$ amount (e.g., wages paid, contributions, receivables established, balances due)

Investigate Samples

SAMPLES

Data Element Validation

Population 1

Sample ID	Sample Type	Sample Description
100	Random	Intrastate Weeks Claimed
110	Random	Interstate Liable Weeks Claimed
120	Minimum	Interstate Weeks Claimed from Agent
Data Element Validation Report		

[Home](#) [Feedback](#) [Help](#)

SAMPLE VALIDATION

Population 1

110 (Interstate Liabe Weeks Claimed) - Random

Check All <input type="checkbox"/>	Row #	1 OBS	Subpop	2 (Step 1A) (Rule 2) Claim Week-Ending Date	3 (Step 1A) (Rule 1) SSN	Pass/Fail	4 (Step 2) Type of UI Program	Pass/Fail	5 (Step 4) Program Type	Pass/Fail	6 (Step 5) Intrastate/ Interstate	Pass/Fail	7 (Step 11) (Rule 1) Date Week Claimed	Pass/Fail	8 (Step 11) (Rule 2) Monetarily Eligible or Pending
Pass Row <input type="checkbox"/>	1	231649	1.4	2014-06-21	147-72-2351	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-01	<input type="text" value="v"/>	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	2	206461	1.4	2014-07-05	249-45-4303	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-30	<input type="text" value="v"/>	-PEND
Pass Row <input type="checkbox"/>	3	2929	1.4	2014-07-05	772-38-1596	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-15	<input type="text" value="v"/>	-PEND
Pass Row <input type="checkbox"/>	4	315944	1.4	2014-06-28	582-93-5791	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-09	<input type="text" value="v"/>	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	5	65972	1.4	2014-07-12	591-62-7059	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-15	<input type="text" value="v"/>	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	6	15411	1.4	2014-06-21	772-09-6394	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-08	<input type="text" value="v"/>	-PEND

Print Worksheets

UI Sample Validation - Benefits Population 1

Period: 06/01/2012 - 06/30/2012

Data Element	Value	Pass/Fail
Row #		2
1		
OBS		206461
Subpop		1.4
2		
(Step 1A)		
(Rule 2)		2014-07-05
Claim Week-Ending Date		
3		
(Step 1A)		
(Rule 1)		249-45-4303
SSN		
4		
(Step 2)		REGULAR UI-STUI
Type of UI Program		
5		
(Step 4)		UI - U
Program Type		
6		
(Step 5)		INTERSTATE LIABLE -
Intrastate/ Interstate		
7		
(Step 11)		
(Rule 1)		2014-07-30
Date Week Claimed		
8		
(Step 11)		
(Rule 2)		-PEND
Monetarily Eligible or Pending		

UI Sample Validation - Benefits Population 1

Period: 06/01/2012 - 06/30/2012

Data Element	Value	Pass/Fail
Row #		3
1		
OBS		2929
Subpop		1.4
2		
(Step 1A)		
(Rule 2)		2014-07-05
Claim Week-Ending Date		
3		
(Step 1A)		
(Rule 1)		772-38-1596
SSN		
4		
(Step 2)		REGULAR UI-STUI
Type of UI Program		
5		
(Step 4)		UI - U
Program Type		
6		
(Step 5)		INTERSTATE LIABLE -
Intrastate/ Interstate		
7		
(Step 11)		
(Rule 1)		2014-07-15
Date Week Claimed		
8		
(Step 11)		
(Rule 2)		-PEND
Monetarily Eligible or Pending		
		Not Yet Validated

Tiers of Review

Sample Size	Errors	Next Steps
30	0	Pass DEV
30	1-4	Tier 2 Review: all 100
30	5 or more	Fail DEV
<hr/>		
100	up to 9 total	Pass DEV
100	10 or more	Fail DEV
<hr/>		
60	0	Pass DEV
60	1-6	Tier 2 Review: all 200
60	7 or more	Fail DEV
<hr/>		
200	up to 16 total	Pass DEV
200	17 or more	Fail DEV

SAMPLE VALIDATION

Population 1

110 (Interstate Liabile Weeks Claimed) - Random

Check All <input type="checkbox"/>	Row #	1 OBS	Subpop	2 (Step 1A) (Rule 2) Claim Week- Ending Date	3 (Step 1A) (Rule 1) SSN	Pass/Fail	4 (Step 2) Type of UI Program	Pass/Fail	5 (Step 4) Program Type	Pass/Fail	6 (Step 5) Intrastate/ Interstate	Pass/Fail	7 (Step 11) (Rule 1) Date Week Claimed	Pass/Fail	8 (Step 11) (Rule 2) Monetarily Eligible or Pending
Pass Row <input type="checkbox"/>	1	231649	1.4	2014-06-21	147-72-2351	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-01	<input type="text" value="v"/>	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	2	206461	1.4	2014-07-05	249-45-4303	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-30	<input type="text" value="v"/>	-PEND
Pass Row <input type="checkbox"/>	3	2929	1.4	2014-07-05	772-38-1596	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-15	<input type="text" value="v"/>	-PEND
Pass Row <input type="checkbox"/>	4	315944	1.4	2014-06-28	582-93-5791	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-09	<input type="text" value="v"/>	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	5	65972	1.4	2014-07-12	591-62-7059	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-15	<input type="text" value="v"/>	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	6	15411	1.4	2014-06-21	772-09-6394	<input type="text" value="v"/>	REGULAR UI-STUI	<input type="text" value="v"/>	UI - U	<input type="text" value="v"/>	INTERSTATE LIABLE -	<input type="text" value="v"/>	2014-07-08	<input type="text" value="v"/>	-PEND

SAMPLE VALIDATION

Population 1

110 (Interstate Liable Weeks Claimed) - Random

Check All <input checked="" type="checkbox"/>	Row #	1 OBS	Subpop	2 (Step 1A) (Rule 2) Claim Week- Ending Date	3 (Step 1A) (Rule 1) SSN	Pass/Fail	4 (Step 2) Type of UI Program	Pass/Fail	5 (Step 4) Program Type	Pass/Fail	6 (Step 5) Intrastate/ Interstate	Pass/Fail	7 (Step 11) (Rule 1) Date Week Claimed	Pass/Fail	8 (Step 11) (Rule 2) Monetarily Eligible or Pending
Pass Row <input type="checkbox"/>	1	231649	1.4	2014-06-21	147-72-2351	Pass ▾	REGULAR UI-STUI	Pass ▾	UI - U	Pass ▾	INTERSTATE LIABLE -	Pass ▾	2014-07-01	Pass ▾	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	2	206461	1.4	2014-07-05	249-45-4303	Pass ▾	REGULAR UI-STUI	Pass ▾	UI - U	Pass ▾	INTERSTATE LIABLE -	Pass ▾	2014-07-30	Pass ▾	-PEND
Pass Row <input type="checkbox"/>	3	2929	1.4	2014-07-05	772-38-1596	Pass ▾	REGULAR UI-STUI	Pass ▾	UI - U	Pass ▾	INTERSTATE LIABLE -	Pass ▾	2014-07-15	Pass ▾	-PEND
Pass Row <input type="checkbox"/>	4	315944	1.4	2014-06-28	582-93-5791	Pass ▾	REGULAR UI-STUI	Pass ▾	UI - U	Pass ▾	INTERSTATE LIABLE -	Pass ▾	2014-07-09	Pass ▾	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	5	65972	1.4	2014-07-12	591-62-7059	Pass ▾	REGULAR UI-STUI	Pass ▾	UI - U	Pass ▾	INTERSTATE LIABLE -	Pass ▾	2014-07-15	Pass ▾	ELIGIBLE -ELIG
Pass Row <input type="checkbox"/>	6	15411	1.4	2014-06-21	772-09-6394	Pass ▾	REGULAR UI-STUI	Pass ▾	UI - U	Pass ▾	INTERSTATE LIABLE -	Pass ▾	2014-07-08	Pass ▾	-PEND
Pass Row <input type="checkbox"/>	7	135468	1.4	2014-07-19	591-82-8281	Pass ▾	REGULAR UI-STUI	Pass ▾	UI - U	Pass ▾	INTERSTATE LIABLE -	Pass ▾	2014-07-28	Pass ▾	ELIGIBLE -ELIG

SAMPLE VALIDATION

Population 1

110 (Interstate Liabe Weeks Claimed) - Random

Row #	1 OBS	Subpop	2 (Step 1A) (Rule 2) Claim Week- Ending Date	3 (Step 1A) (Rule 1) SSN	Pass/Fail	4 (Step 2) Type of UI Program	Pass/Fail	5 (Step 4) Program Type	Pass/Fail	6 (Step 5) Intrastate/ Interstate	Pass/Fail	7 (Step 11) (Rule 1) Date Week Claimed	Pass/Fail	8 (Step 11) (Rule 2) Monetarily Eligible or Pending	Pass/Fail
1	231649	1.4	2014-06-21	147-72-2351	Pass	REGULAR UI-STUI	Pass	UI - U	Pass	INTERSTATE LIABLE -	Fail	2014-07-01	Pass	ELIGIBLE -ELIG	Pass
2	206461	1.4	2014-07-05	249-45-4303	Pass	REGULAR UI-STUI	Pass	UI - U	Pass	INTERSTATE LIABLE -	Pass	2014-07-30	Pass	-PEND	Pass
3	2929	1.4	2014-07-05	772-38-1596	Pass	REGULAR UI-STUI	Pass	UI - U	Pass	INTERSTATE LIABLE -	Pass	2014-07-15	Pass	-PEND	Pass
4	315944	1.4	2014-06-28	582-93-5791	Pass	REGULAR UI-STUI	Pass	UI - U	Pass	INTERSTATE LIABLE -	Pass	2014-07-09	Pass	ELIGIBLE -ELIG	Fail
5	65972	1.4	2014-07-12	591-62-7059	Pass	REGULAR UI-STUI	Pass	UI - U	Pass	INTERSTATE LIABLE -	Pass	2014-07-15	Fail	ELIGIBLE -ELIG	Pass
6	15411	1.4	2014-06-21	772-09-6394	Pass	REGULAR UI-STUI	Pass	UI - U	Pass	INTERSTATE LIABLE -	Pass	2014-07-08	Pass	-PEND	Pass

Transmit to US DOL

- View Population Scores

POPULATION SCORES	
Benefits Population 1	
Period: 06/01/2012 - 06/30/2012	
Data Validation Item	Score
Report Validation	Fail
Sample 100	Pass
Sample 110	Incomplete
Overall Population Score: Incomplete	

Pass, Fail, What's Next?

- If sample or RV fails, population fails
 - If **sample** fails, extract file is unreliable
 - Can't draw conclusions about accuracy of Reports
 - CAP: fix extract file or correct database
 - If **DEV/sample(s) pass but RV fails**
 - Extract file is reliable standard for judging reports
 - RV failure due to reporting problem
 - CAP: review reporting programming and correct it

RESULTS

Benefits Results by Validation Year

VY 2016 BENEFITS RESULTS

Population	Population Description	Population Score	Submitted On	Reporting Period	DV Item	DV Item Description	Score
1	Weeks Claimed	PASS	06/09/2016	04/01/2015-08/30/2015	Sample 100	Intrastate Weeks Claimed	PASS
					Sample 110	Interstate Liable Weeks Claimed	PASS
					RV	Weeks Claimed	PASS
2	Final Payments	PASS	04/13/2016	10/01/2015-12/31/2015	Sample 200	Final Payments	PASS
					RV	Final Payments	PASS
3	Claims Filed	PASS	04/13/2016	04/01/2015-08/30/2015	Sample 300	New Intra and Inter Liable Claims	PASS
					Sample 315	Intra and Inter Transitional Claims	PASS
					Sample 320	CWC Claims	PASS
					RV	Claims	PASS
3a	Additional Claims Filed	PASS	04/13/2016	04/01/2015-08/30/2015	Sample 350	Intrastate Additional Claims	PASS
					RV	Interstate Liable Additional Claims	PASS
4	Payments	PASS	05/28/2016	10/01/2015-12/31/2015	Sample 400	First Payments	PASS
					Sample 420	Continued Weeks Partial Payments	PASS
					Sample 435	CWC First Payments	PASS
					RV	Payments	PASS
5	Nonmonetary Determinations	FAIL	06/09/2016	10/01/2015-12/31/2015	Sample 500	Single Claimant Nonmonetary Determinations	PASS
					Sample 520	Single Claimant Redeterminations	PASS
					RV	Nonmonetary Determinations	FAIL

Tax Results by Validation Year

VY 2016 TAX RESULTS

Population	Population Description	Population Score	Submitted On	Reporting Period	DV Item	Score
1	Active Employers	PASS	05/24/2016	04/01/2015-06/30/2015	Minimum	PASS
					Sorts	PASS
					RV	PASS
2	Report Filing	PASS	05/25/2016	04/01/2015-06/30/2015	Minimum	PASS
					Sorts	PASS
					RV	PASS
3	Status Determination	PASS	06/10/2016	01/01/2016-03/31/2016	Minimum	PASS
					Sorts	PASS
					RV	PASS
4	Accounts Receivables	FAIL	06/09/2016	01/01/2016-03/31/2016	Minimum	FAIL
					Sorts	PASS
					RV	FAIL
5	Field Audits	NS			Minimum	
					RV	

Benefits Results by Population

BENEFITS POPULATION 1
Weeks Claimed
Reporting Period : 04/01/2015 - 06/30/2015
Submitted On :06/09/2016
DV Year :2016

DATA ELEMENT VALIDATION
Random Samples

Sample ID	Description	Universe Size	Cases Reviewed	Cases in Error	% Error	Score
100	Intrastate Weeks Claimed (60/200)	45,011	60	0	0.00%	PASS
110	Interstate Liable Weeks Claimed (30/100)	58,363	30	0	0.00%	PASS

REPORT VALIDATION
Reported Counts

Report Cell	Description	Validation Counts	Reported Counts	Difference	Percent Difference	Score
5159A-201-10	UI, Intrastate	43,356	43,819	463	1.07%	
5159A-202-10	UCFE, Intrastate	1,462	1,437	25	1.71%	
5159A-203-10	UCX, Intrastate	193	205	12	6.22%	
Group 1.01	5159 Intrastate Weeks Claimed Total	45,011	45,461	450	1.00%	PASS
5159A-201-12	UI, Received as liable	54,800	54,581	219	0.40%	
5159A-202-12	UCFE, Received as liable	3,531	3,509	22	0.62%	
5159A-203-12	UCX, Received as liable	32	32	0	0.00%	
Group 1.02	5159 Interstate Liable Weeks Claimed Total	58,363	58,122	241	0.41%	PASS
5159A-201-11	UI, Filed from Agent	4,316	4,320	4	0.09%	
5159A-202-11	UCFE, Filed from Agent	74	75	1	1.35%	
5159A-203-11	UCX, Filed from Agent	8	8	0	0.00%	
Group 1.03	Interstate Weeks Claimed from Agent State Total	4,398	4,403	5	0.11%	PASS

Report Validation Score : PASS

Population Score : **PASS**

Tax Results by Population

DATA ELEMENT VALIDATION Minimum Sample

Sample ID	Description	Universe Size	Cases Reviewed	Cases in Error	% Error	Score
10200	Status Determination	12,800	14	0	0.00%	PASS

SORTS

Sort Number	Sort Key	# of Cases	# of Errors	Pct of Errors	Score
S3.1	Status Determin - New	4,980	0	0.00 %	PASS
S3.2	Status Determin - Successor	1,290	0	0.00 %	PASS
S3.3	Status Determin - Inactive	6,530	0	0.00 %	PASS
S3.4	Status Determin - Termination	0	0	0.00 %	N/A
Data Element Sorts Score :					PASS

REPORT VALIDATION Reported Counts

Report Cell	Description	Validation Counts	Reported Counts	Difference	Percent Difference	Score
581-301-14	Total New	4,980	4,982	2	0.04%	PASS
581-301-15	New, <=90 days	4,360	4,362	2	0.05%	PASS
581-301-16	New, <=180 days	4,669	4,670	1	0.02%	PASS
581-301-17	Total Successor	1,290	1,314	24	1.86%	PASS
581-301-18	Successor, <=90 days	774	780	6	0.78%	PASS
581-301-19	Successor <=180 days	966	972	6	0.62%	PASS
581-301-20	Inactivations & Terminations	6,530	6,479	51	0.78%	PASS
Group	Status Determinations	12,800	12,775	25	0.20%	PASS
Report Validation Score :						PASS

Tracking Results Nationwide

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Unemployment Insurance Data Validation (UI DV) Information Center

What's New!

High Dollar Overpayment Threshold Update — February 2016

The High Dollar Overpayments threshold in Population 12 has been increased in the DV SUN system to align with guidance issued in UIPL 08-12 Change 1. The \$25,000 threshold will affect lines 112 and 113 in Section A of the ETA 227 report. Any state that wishes to validate the population with data prior to the quarter ending on 9/30/2015 that uses the previous \$5,000 threshold are encouraged to contact their Regional Office after submitting Population 12 validation results. On an as needed basis, if all other report and sample validations pass, the population score may be manually changed if the SUN system does not recognize the threshold limit used by the state. Existing supplemental guidance on High Dollar Overpayments will be updated on the DV webpage to reflect the new threshold amount.

RELEASE 5.3.0 FIXES BENEFITS POPULATION 2 AND 3 AND MODULE 4 BUGS — FEBRUARY 2015

DV WS Release 5.3.0 was installed on state Sun Machines at the end of February. It corrects bugs that were computing incorrect validation counts on the View Report Validation screens for Benefits populations 2 and 3. In Module 4, it now retrieves the correct reported counts from the 9052, 9054, or 581 reports used for comparison with sampling universes in the Module 4 reporting template. It also corrects a minor Tax display problem in which certain 9-digit Employer Account Numbers were displayed with dashes as though they were Social Security

Need technical support?

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Or email: hotline@uis.doleta.gov

In This Section

Results Due and Submitted

- [DV VY16 Results](#)
- [DV Data Due VY17](#)
- [SQSP CAPS for VY16](#)

DV Advisories

- [UIPL 17-10, Change 2: Revisions to the State Unemployment Insurance \(UI\) Data Validation \(DV\) Handbook, Benefits](#)
- [FM 1-12: 2012 Issuance of the Employment and Training \(ET\) Handbook No. 412, Unemployment Insurance \(UI\) Data](#)

Benefits DV 2016 Results

Benefits DV Populations Results for VY 2016															
Populations															
1	2	3	3a	4*	5	6	7	8	9	10	11	12*	13+	14+	15
P 2015	P 2015	F 2016	P 2015	F 2016	F 2016	P 2015	P 2015	D 2017	D 2017	F 2016					
F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	P 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016
F 2016	P 2015	F 2016	P 2015	F 2016	F 2016	P 2015	P 2015	D 2017	D 2017	P 2015	D 2017	P 2016	F 2016	P 2016	F 2016
P 2016	P 2016	F 2016	P 2016	P 2016	F 2016	P 2016	P 2015	F 2016	F 2016	P 2016	F 2016				
F 2016	P 2015	F 2016	P 2015	F 2016	F 2016	D 2017	D 2017	D 2017	D 2017	P 2016	P 2016	NS 2016	NS 2016	NS 2016	NS 2016
D 2017	F 2016	D 2017	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016				
P 2015	P 2015	F 2016	P 2015	P 2016	P 2015	P 2015	D 2017	P 2015	D 2017	P 2015	D 2017	P 2016	P 2015	P 2015	P 2016
NS 2016	NS 2016	NS 2016	NS 2016	NS 2016	P 2015	NS 2016	P 2015	NS 2016	NS 2016	D 2017	D 2017	NS 2016	NS 2016	NS 2016	NS 2016
NS 2016	NS 2016	NS 2016	NS 2016	NS 2016	NS 2016	NS 2016	N/A	NS 2016	N/A	NS 2016	N/A	NS 2016	NS 2016	NS 2016	NS 2016
P 2015	P 2015	D 2017	P 2015	F 2016	D 2017	P 2015	D 2017	P 2016	D 2017	P 2015	D 2017	F 2016	F 2016	D 2017	F 2016
P 2016	P 2016	P 2016	P 2016	P 2016	F 2016	P 2016	N/A	P 2016	N/A	D 2017	N/A	P 2016	D 2017	F 2016	P 2016
P 2016	D 2017	P 2016	F 2016	P 2016	P 2016	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2016	F 2016	F 2016	P 2016
F 2016	F 2016	F 2016	D 2017	P 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	P 2016	F 2016	P 2016	D 2017
NS 2016	NS 2016	NS 2016	NS 2016	NS 2016	NS 2016	F 2016	D 2017	P 2015	D 2017	NS 2016					
D 2017	D 2017	F 2016	P 2015	F 2016	P 2015	P 2015	D 2017	F 2016	P 2016	P 2015	P 2015	P 2016	F 2016	F 2016	F 2016
D 2017	D 2017	D 2017	D 2017	P 2016	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2016	D 2017	F 2016	P 2016
P 2016	P 2016	F 2016	P 2016	F 2016	F 2016	P 2016	F 2016	P 2016	F 2016	P 2016	P 2016	F 2016	F 2016	F 2016	F 2016
P 2016	P 2016	NS 2016	NS 2016	NS 2016	P 2016	P 2016	P 2015	P 2015	P 2015	P 2015	P 2015	P 2016	P 2016	F 2016	F 2016
P 2016	P 2016	F 2016	F 2016	F 2016	P 2016	P 2016	P 2015	P 2016	P 2015	P 2016	P 2015	P 2016	P 2016	F 2016	P 2016
P 2015	P 2015	NS 2016	P 2016	P 2016	P 2016	P 2016	P 2015	P 2016	P 2015	P 2015	P 2015	P 2016	D 2017	D 2017	P 2016
P 2016	P 2016	D 2017	F 2016	P 2016	P 2016	P 2015	P 2015	P 2015	P 2015	P 2015	P 2016	P 2016	D 2017	P 2016	D 2017
D 2017	D 2017	D 2017	D 2017	P 2016	D 2017	D 2017	P 2015	P 2015	P 2015	P 2015	P 2016	P 2016	D 2017	D 2017	D 2017
F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	F 2016	P 2015	F 2016	P 2015	F 2016	P 2015	F 2016	F 2016	F 2016	F 2016
D 2017	D 2017	D 2017	P 2016	NS 2016	P 2015	D 2017	D 2017	D 2017	P 2015	D 2017	P 2016	P 2016	NS 2016	NS 2016	P 2016
P 2016	D 2017	D 2017	D 2017	F 2016	D 2017	P 2015	P 2015	P 2015	P 2015	D 2017	D 2017	P 2016	P 2016	P 2016	P 2016

Data Due for VY17

Benefits DV Populations Data Due for VY 2017															
Populations															
1	2	3	3a	4*	5	6	7	8	9	10	11	12*	13+	14+	15
P 2015	P 2015	D 2017	P 2015	D 2017	D 2017	P 2015	P 2015	D 2017							
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2016	D 2017							
D 2017	P 2015	D 2017	P 2015	D 2017	D 2017	P 2015	P 2015	D 2017	D 2017	P 2015	D 2017	D 2017	D 2017	P 2016	D 2017
P 2016	P 2016	D 2017	P 2016	D 2017	D 2017	P 2016	P 2015	D 2017	D 2017	P 2016	D 2017				
D 2017	P 2015	D 2017	P 2015	D 2017	P 2016	P 2016	D 2017	D 2017	D 2017	D 2017					
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017
P 2015	P 2015	D 2017	P 2015	D 2017	P 2015	P 2015	D 2017	P 2015	D 2017	P 2015	D 2017	D 2017	P 2015	P 2015	P 2016
D 2017	D 2017	D 2017	D 2017	D 2017	P 2015	D 2017	P 2015	D 2017							
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	N/A	D 2017	N/A	D 2017	N/A	D 2017	D 2017	D 2017	D 2017
P 2015	P 2015	D 2017	P 2015	D 2017	D 2017	P 2015	D 2017	P 2016	D 2017	P 2015	D 2017				
P 2016	P 2016	P 2016	P 2016	D 2017	D 2017	P 2016	N/A	P 2016	N/A	D 2017	N/A	D 2017	D 2017	D 2017	P 2016
P 2016	D 2017	P 2016	D 2017	D 2017	P 2016	D 2017	P 2016								
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2016	D 2017
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2015	D 2017						
D 2017	D 2017	D 2017	P 2015	D 2017	P 2015	P 2015	D 2017	D 2017	P 2016	P 2015	P 2015	D 2017	D 2017	D 2017	D 2017
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2016
P 2016	P 2016	D 2017	P 2016	D 2017	D 2017	P 2016	D 2017	P 2016	D 2017	P 2016	P 2016	D 2017	D 2017	D 2017	D 2017
P 2016	P 2016	D 2017	D 2017	D 2017	P 2016	P 2016	P 2015	D 2017	P 2016	D 2017	D 2017				
P 2016	P 2016	D 2017	D 2017	D 2017	P 2016	P 2016	P 2015	P 2016	P 2015	P 2015	P 2015	D 2017	P 2016	D 2017	P 2016
P 2015	P 2015	D 2017	P 2016	D 2017	P 2016	P 2016	P 2015	P 2016	P 2015	P 2015	P 2015	D 2017	D 2017	D 2017	P 2016
P 2016	P 2016	D 2017	D 2017	D 2017	P 2016	P 2015	P 2016	D 2017	D 2017	P 2016	D 2017				
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2015	P 2015	P 2015	P 2015	P 2016	D 2017	D 2017	D 2017	D 2017
D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	D 2017	P 2015	D 2017	P 2015	D 2017	P 2015	D 2017	D 2017	D 2017	D 2017
D 2017	D 2017	D 2017	P 2016	D 2017	P 2015	D 2017	D 2017	D 2017	P 2015	D 2017	P 2016	D 2017	D 2017	D 2017	P 2016
P 2016	D 2017	P 2015	P 2015	P 2015	P 2015	D 2017	D 2017	D 2017	P 2016	P 2016	P 2016				
D 2017	P 2016	D 2017	D 2017	D 2017	D 2017	P 2015	D 2017	D 2017	D 2017	D 2017					

Technical Assistance

<https://www.oui.doleta.gov/dv/index.asp>

OUI Helpdesk :
1-800-473-0188

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202-693-2736

Important Dates:

Module 3

Certification:

April 1st – June 10th

DV Deadline: JUNE 10th!!

QUESTIONS AND COMMENTS