

Nonmonetary Determinations and Redeterminations – Population 5

Nonmonetary Determinations

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Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations and Redeterminations

- Data Element: SSN
- Data Element: Issue Number Unique ID for Determinations
- Data Element: Type of UI Program
 - Value: Regular UI
 - Value: Workshare
- Data Element: Program Type

- Value: UI
- Value: UCFE
- Value: UCX
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate
 - Value: Interstate
- Record Layout: [Determination/Redetermination](#)
- Data Element: [Type of Determination](#)
 - Value: Single Claimant Determination
 - Value: Multicclaimant Determination
- Data Element: [Issue Type](#)
 - Record Layout: Issue Type
 - Value: [Determination Issues – Voluntary Quit](#)
 - Value: [Determination Issues – Misconduct](#)
 - Value: [Determination Issues – Other Separation Issues](#)
 - Value: [Determination Issues – Able and Available to Work](#)
 - Value: [Determination Issues – Deductible Income](#)
 - Value: [Determination Issues – Suitable Work Refusal](#)
 - Value: [Determination Issues – Reporting Requirements](#)
 - Value: [Determination Issues – Profiling](#)
 - Value: [Determination Issues – Other Nonseparation Issues](#)
 - Value: [Determination Issues – Labor Dispute](#)
 - Value: [Determination Issues – Other Multicclaimant Issues](#)
- Data Element: [Notice Date](#)
- Data Element: [Allow or Deny](#)
 - Value: Determination to Allow
 - Value: Determination to Deny
- [Relationship between the ETA 207 and Subpopulations in Population 5](#)

Nonmonetary Determinations

Definition: Nonmonetary Determination

Nonmonetary Determinations: A decision made by the initial authority based on facts related to an "issue" detected which had the potential to affect the claimant's past, present, or future benefit rights; and for which a determination of eligibility was made.

Includes:

1. Determinations made because of misrepresentation, fraud, and/or overpayments, reportable on form ETA 227, Overpayment Detection/Recovery Activities, except those identified through the crossmatch program.
2. A claimant's separation for a reason other than genuine "lack of work" (such as "laid off, too slow, or failed to perform") that results in a nonmonetary determination and should be reported.
3. If disagreement exists as to whether the claimant satisfies the conditions of an indefinite disqualification (i.e., until re-employed for a specific period or has earned a specific sum of money) that results in a nonmonetary determination.
4. Investigation of a claimant's explanation for late reporting that results in a nonmonetary determination.

Excludes:

1. Determinations made solely for deciding whether charges should be made to an employer's experience-rating account.
2. Routine exploration of fact or questioning claimants associated with the claimstaking process except under circumstances of controversy. Examples of routine questioning or decisions not giving rise to a nonmonetary count are:
 - a. Claimant's acceptance of the claimstakers conclusion that the week's earnings require a reduction in the benefit amount for that week.
 - b. Claimant's acceptance of benefits for only a portion of a week claimed, when the State law provides for reduced benefits in cases where the claimant was ill or otherwise unavailable for work during part of the week.
 - c. A determination on whether or not a stated period of time elapsed since a disqualifying act, satisfying the disqualification. This is part of the function of taking claims.
 - d. A determination on whether or not the claimant meets the minimum wage and employment requalifying requirement to establish a benefit year. This is part of

the monetary determination and under no circumstances is it reported as a nonmonetary determination.

- e. Determinations on the existence of and/or number of dependents. These are part of the monetary determination function and under no circumstances should be reported as a nonmonetary determination.
- f. A decision on whether the claimant meets State requirements for establishing a subsequent benefit year (e.g., 30 days of bona fide work since exhausting a benefit series). This is part of the monetary determination function. (ETA 401 (207), Sec I, Ch I)

Past, Present, or Future Benefit Rights: The following are examples explaining the requirement that the issue affect present, past, or future benefit rights.

1. In response to a charge statement, an employer protests that the claimant recently refused a job. A determination is made on the issue of refusal of suitable work. This meets the criterion of involving past, present or future benefits if the maximum period of disqualification which could be imposed has not elapsed and/or the claimant has not exhausted benefit rights.
2. The claimant states the reason for separation is "layoff" and the employer does not furnish any contradictory information on the separation notice. As a result, the claimant receives benefits for four weeks before ceasing to file. The employer protests the charge notice for the four weeks of benefits, stating that the claimant quit. The State agency determines that the claimant, in fact, voluntarily quit his/her job without good cause and is disqualified until reemployed for at least four weeks. This determination meets the requirement of involving past, present or future benefit rights whether or not the claimant was filing at the time. (ETA 401 (207), Sec I, Ch I)

Module 3

Step 16. Nonmonetary Determination/Redetermination

Sub Step A. Nonmonetary Determination

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the transaction was a nonmonetary determination by checking an indicator.

Table A.5.1
Population 5 Subpopulations
 Nonmonetary Determinations and Redeterminations
 Notice Date Falls within Reporting /Validation Period

		2 <i>(Step ID)</i> <i>(Rule 1)</i>	3 <i>(Step ID)</i> <i>(Rule 2)</i>	4 <i>(Step 2)</i>	5 <i>(Step 4)</i>	6 <i>(Step 5)</i>	7 <i>(Step 16)</i>	8 <i>(Step 17)</i>	9 <i>(Step 18)</i>	10 <i>(Step 19)</i>	11 <i>(Step 20)</i>	12 <i>(Step 21)</i>	13 <i>(Step 23)</i>
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow ^a or Deny
SINGLE CLAIMANT NONMONETARY DETERMINATIONS (5.1 through 5.60)													
1) Random sample: 30 or 100; 2) Supplemental sample--missing strata; 3) Supplemental sample--outliers													
5.1	207: A 101-2; B 201-8 9052A-C2;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	VL		Required	Required	Allow
5.2	207: A 101-2; B 201-9 9052A-C2;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	MC		Required	Required	Allow
5.3	207: A 101-2; B 201-10 9052A-C2;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Sep/Other		Required	Required	Allow
5.4	207: A 101-2; C 301-12 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	A & A		Required	Required	Allow
5.5	207: A 101-2; C 301-13 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Ded. Income		Required	Required	Allow
5.6	207: A 101-2; C 301-14 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Suitable Work		Required	Required	Allow

		2 <i>(Step ID)</i> <i>(Rule 1)</i>	3 <i>(Step ID)</i> <i>(Rule 2)</i>	4 <i>(Step 2)</i>	5 <i>(Step 4)</i>	6 <i>(Step 5)</i>	7 <i>(Step 16)</i>	8 <i>(Step 17)</i>	9 <i>(Step 18)</i>	10 <i>(Step 19)</i>	11 <i>(Step 20)</i>	12 <i>(Step 21)</i>	13 <i>(Step 23)</i>
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow^a or Deny
5.7	207: A 101-2; C 301-15 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Reporting		Required	Required	Allow
5.8	207: A 101-2; C 301-16 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Profiling		Required	Required	Allow
5.9	207: A 101-2; C 301-17 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Other Nonsep		Required	Required	Allow
5.10	207: A 101-2; B 201-8 9052A-C6;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	VL		Required	Required	Allow
5.11	207: A 101-2; B 201-9 9052A-C6;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	MC		Required	Required	Allow
5.12	207: A 101-2; B 201-10 9052A-C6;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Sep/Other		Required	Required	Allow
5.13	207: A 101-2; C 301-12 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	A & A		Required	Required	Allow
5.14	207: A 101-2; C 301-13 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Ded. Income		Required	Required	Allow
5.15	207: A 101-2; C 301-14 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Suitable Work		Required	Required	Allow

		2 <i>(Step ID)</i> <i>(Rule 1)</i>	3 <i>(Step ID)</i> <i>(Rule 2)</i>	4 <i>(Step 2)</i>	5 <i>(Step 4)</i>	6 <i>(Step 5)</i>	7 <i>(Step 16)</i>	8 <i>(Step 17)</i>	9 <i>(Step 18)</i>	10 <i>(Step 19)</i>	11 <i>(Step 20)</i>	12 <i>(Step 21)</i>	13 <i>(Step 23)</i>
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow^a or Deny
5.16	207: A 101-2; C 301-15 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Reporting		Required	Required	Allow
5.17	207: A 101-2; C 301-16 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Profiling		Required	Required	Allow
5.18	207: A 101-2; C 301-17 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Other Nonsep		Required	Required	Allow
5.19	207A: 101-2; 102-2 207B: 201-8; 202-8 9052A-C2;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	VL		Required	Required	Deny
5.20	207A: 101-2; 102-2 207B: 201-9; 202-9 9052A-C2;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	MC		Required	Required	Deny
5.21	207A: 101-2; 102-2 207B: 201-10; 202-10 9052A-C2;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Sep/Other		Required	Required	Deny
5.22	207A: 101-2; 102-2 207C: 301-12; 302-12 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	A & A		Required	Required	Deny

		2 (Step ID) (Rule 1)	3 (Step ID) (Rule 2)	4 (Step 2)	5 (Step 4)	6 (Step 5)	7 (Step 16)	8 (Step 17)	9 (Step 18)	10 (Step 19)	11 (Step 20)	12 (Step 21)	13 (Step 23)
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/ Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow ^a or Deny
5.23	207A: 101-2; 102-2 207C: 301-13; 302-13 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Ded. Income		Required	Required	Deny
5.24	207A: 101-2; 102-2 207C: 301-14; 302-14 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Suitable Work		Required	Required	Deny
5.25	207A: 101-2; 102-2 207C: 301-15; 302-15 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Reporting		Required	Required	Deny
5.26	207A: 101-2; 102-2 207C: 301-16; 302-16 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Profiling		Required	Required	Deny
5.27	207A: 101-2; 102-2 207C: 301-17; 302-17 9052B-C98;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate	Determination	Single	Other Nonsep		Required	Required	Deny
5.28	207A: 101-2; 102-2 207B: 201-8; 202-8 9052A-C6;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	VL		Required	Required	Deny

		2 (Step ID) (Rule 1)	3 (Step ID) (Rule 2)	4 (Step 2)	5 (Step 4)	6 (Step 5)	7 (Step 16)	8 (Step 17)	9 (Step 18)	10 (Step 19)	11 (Step 20)	12 (Step 21)	13 (Step 23)
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/ Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow ^a or Deny
5.29	207A: 101-2; 102-2 207B: 201-9; 202-9 9052A-C6;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	MC		Required	Required	Deny
5.30	207A: 101-2; 102-2 207B: 201-10; 202-10 9052A-C6;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Sep/Other		Required	Required	Deny
5.31	207A: 101-2; 102-2 207C: 301-12; 302-12 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	A & A		Required	Required	Deny
5.32	207A: 101-2; 102-2 207C: 301-13; 302-13 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Ded. Income		Required	Required	Deny
5.33	207A: 101-2; 102-2 207C: 301-14; 302-14 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Suitable Work		Required	Required	Deny
5.34	207A: 101-2; 102-2 207C: 301-15; 302-15 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Reporting		Required	Required	Deny

		2 (Step ID) (Rule 1)	3 (Step ID) (Rule 2)	4 (Step 2)	5 (Step 4)	6 (Step 5)	7 (Step 16)	8 (Step 17)	9 (Step 18)	10 (Step 19)	11 (Step 20)	12 (Step 21)	13 (Step 23)
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/ Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow ^a or Deny
5.35	207A: 101-2; 102-2 207C: 301-16; 302-16 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Profiling		Required	Required	Deny
5.36	207A: 101-2; 102-2 207C: 301-17; 302-17 9052B-C102;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Interstate	Determination	Single	Other Nonsep		Required	Required	Deny
5.37	207: A 103-1; B 203-8 9052A-C3;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	VL		Required	Required	Allow
5.38	207: A 103-1; B 203-9 9052A-C3;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	MC		Required	Required	Allow
5.39	207: A 103-1; B 203-10 9052A-C3;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	Sep/Other		Required	Required	Allow
5.40	207A-103-1 9052B-C99;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	Nonsep		Required	Required	Allow
5.41	207: A 103-1; B 203-8 9052A-C7;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	VL		Required	Required	Allow

		2 (Step ID) (Rule 1)	3 (Step ID) (Rule 2)	4 (Step 2)	5 (Step 4)	6 (Step 5)	7 (Step 16)	8 (Step 17)	9 (Step 18)	10 (Step 19)	11 (Step 20)	12 (Step 21)	13 (Step 23)
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/ Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow ^a or Deny
5.42	207: A 103-1; B 203-9 9052A-C7;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	MC		Required	Required	Allow
5.43	207: A 103-1; B 203-10 9052A-C7;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	Sep/Other		Required	Required	Allow
5.44	207A-103-1 9052B-C103;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	Nonsep		Required	Required	Allow
5.45	207A: 103-1; 104-1 207B: 203-8; 204-8 9052A-C3;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	VL		Required	Required	Deny
5.46	207A: 103-1; 104-1 207B: 203-9; 204-9 9052A-C3;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	MC		Required	Required	Deny
5.47	207A: 103-1; 104-1 207B: 203-10; 204-10 9052A-C3;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	Sep/Other		Required	Required	Deny
5.48	207A: 103-1; 104-1 9052B-C99;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate	Determination	Single	Nonsep		Required	Required	Deny

		2 <i>(Step ID)</i> <i>(Rule 1)</i>	3 <i>(Step ID)</i> <i>(Rule 2)</i>	4 <i>(Step 2)</i>	5 <i>(Step 4)</i>	6 <i>(Step 5)</i>	7 <i>(Step 16)</i>	8 <i>(Step 17)</i>	9 <i>(Step 18)</i>	10 <i>(Step 19)</i>	11 <i>(Step 20)</i>	12 <i>(Step 21)</i>	13 <i>(Step 23)</i>
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow^a or Deny
5.49	207A: 103-1; 104-1 207B: 203-8; 204-8 9052A-C7;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	VL		Required	Required	Deny
5.50	207A: 103-1; 104-1 207B: 203-9; 204-9 9052A-C7;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	MC		Required	Required	Deny
5.51	207A: 103-1; 104-1 207B: 203-10; 204-10 9052A-C7;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	Sep/Other		Required	Required	Deny
5.52	207A: 103-1; 104-1 9052B-C103;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Interstate	Determination	Single	Nonsep		Required	Required	Deny
5.53	207A-105-1 9052A-C4;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate	Determination	Single	Sep		Required	Required	Allow
5.54	207A-105-1 9052B-C100;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate	Determination	Single	Nonsep		Required	Required	Allow
5.55	207A-105-1 9052A-C8;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Interstate	Determination	Single	Sep		Required	Required	Allow
5.56	207A-105-1 9052B-C104;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Interstate	Determination	Single	Nonsep		Required	Required	Allow

		2 (Step ID) (Rule 1)	3 (Step ID) (Rule 2)	4 (Step 2)	5 (Step 4)	6 (Step 5)	7 (Step 16)	8 (Step 17)	9 (Step 18)	10 (Step 19)	11 (Step 20)	12 (Step 21)	13 (Step 23)
	Report, Line, and Column	SSN	Issue Number (Unique ID)	Type of UI Program	Program Type	Intrastate / Interstate	Determination/Redetermination	Type of Determination	Issue Types	First Week Affected	Detection Date	Notice Date	Allow ^a or Deny
5.57	207A: 105-1; 106-1 9052A-C4;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate	Determination	Single	Sep		Required	Required	Deny
5.58	207A: 105-1; 106-1 9052B-C100;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate	Determination	Single	Nonsep		Required	Required	Deny
5.59	207A: 105-1; 106-1 9052A-C8;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Interstate	Determination	Single	Sep		Required	Required	Deny
5.60	207A: 105-1; 106-1 9052B-C104;	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Interstate	Determination	Single	Nonsep		Required	Required	Deny
MULTI-CLAIMANT NONMONETARY DETERMINATIONS (5.61 through 5.64)													
1) Minimum Sample: First two cases from each subpopulation													
5.61	207A-101-5 9052C-C194;	Required	Required if State maintains a unique ID	Regular UI or Workshare			Determination	Multi	Labor Dispute		Required	Required	Allow
5.62	207A: 101-5; 102-5 9052C-C194;	Required	Required if State maintains a unique ID	Regular UI or Workshare			Determination	Multi	Labor Dispute		Required	Required	Deny
5.63	207A-101-6 9052C-C195;	Required	Required if State maintains a unique ID	Regular UI or Workshare			Determination	Multi	Other Multiclaimitant		Required	Required	Allow
5.64	207A: 101-6; 102-6 9052C-C195;	Required	Required if State maintains a unique ID	Regular UI or Workshare			Determination	Multi	Other Multiclaimitant		Required	Required	Deny

^aAllow or affirmed

Population 5 Notes

1. For states that require a week to be claimed in order to count nonmonetary determinations, use the transaction date of the nonmonetary determination when the mail date precedes the week claimed date. For example, if a determination is mailed in December and the week is claimed in January, the state enters the transaction (or countable) date in January to signify that this nonmonetary determination is countable for reporting purposes.
2. This population includes nonmonetary determinations for Short Time Compensation (STC) Program (workshare) claims. These records should be labeled as “Workshare” for “Type of UI Program.” See the software record layouts for more details.
3. Multiclient Nonmonetary Determinations are counted in two ways on the ETA 207 report. Section A 101(6) counts the number of multiclient determinations (affirmed plus denied). Section A 102(6) counts the number of claimants affected by denial determinations. Currently the software cannot distinguish between the number of *claimants affected* and the number of *denial determinations* because the Population 5 record lacks a key field. If a single record for each denial determination is created, the count of *determinations* will be correct; however, the count of *claimants involved in denials* will be understated. Alternatively, if a record for each denied claimant is created, the count of claimants involved in denials will be correct; however, but the count of determinations will be overstated.

The extract file and software will be revised in the future so that both the number of multiclient determinations and the number of claimants denied will be captured in the same way that is currently done for all multiclient appeal decisions reported on the ETA 5130 (Populations 8 and 9).

In the meantime, when building the Population 5 extract file create a record for *each multiclient determination to deny benefits as well as to affirm benefits*. This can be done by creating a record for one claimant out of each multiclient denial determination as representative of that determination. The validation count for the number of multiclient determinations thus will be correct, and the reported counts of the UI multiclient determinations on the ETA 207 (Group 5.04) and on the ETA 9052 reports (Group 5.10) will be properly validated. These are Pass/Fail groups because they are part of important workload items. However, the number of claimants denied on line 102 of the ETA 207 will be incorrect. (These are not Pass/Fail items.) Indicate in the comments field of the RV screen that the discrepancy is due to a software limitation and is not a state error.

Data Element: Detection Date

Definition: Issue Detection Date

Issue Detection Date: The earliest date that the agency, including organizational units such as BAM and BPC, is in possession of information indicating the existence of a nonmonetary issue. (ETA 401 (9052), Sec V, Ch 3)

The detection date is the date the SWA first detected the issue to which the nonmonetary determination applies.

The exception to this rule is a case where the claimant fails to file a timely certification and the State has a policy of waiting for a week to be claimed prior to making a determination. In such cases, the detection date for the original unresolved issue(s) is the date the claimant subsequently files an additional or reopened claim.

Issue detection date cannot precede issue occurrence date.

Detection Date for Continued Weeks Claimed: Examples of issue detection date:

1. Continued claims filed by mail are optically scanned or processed by a voice response unit (VRU). A claimant reports that he/she was not able and available for work during the week for which he/she is claiming benefits. The optical scanner or voice response unit flags the claim with an issue. An adjudicator confirms the issue. If the certification is scanned or processed by the VRU during normal business hours, the date the continued week claim is flagged is the issue detection date. If the certification is scanned or processed by the VRU after normal business hours, the next business day is the issue detection date. If the adjudicator establishes that no issue exists because the claimant simply made an error when answering the weekly certification questions, there is no reportable determination.
2. Same situation as in example 1 except that the claimant answers all weekly certification questions in a manner that does not raise an issue. However, the claimant adds information that is read by the agency's optical scanner or recognized by the VRU as an exception to normal processing. The claim is flagged and referred to an adjudicator. The adjudicator confirms the issue and the week(s) to which it applies. If the certification is scanned or processed by the VRU during normal business hours, the date the continued claim is flagged is the issue detection date. If the certification is scanned or processed by the VRU after normal business hours, the next business day is the issue detection date. If the adjudicator establishes that no issue exists because the claimant simply made an error when answering the weekly certification questions, there is no reportable determination.
3. The claimant is in a continuous weekly/biweekly filing status and the agency receives information by letter or telephone call (other than VRU). The date the agency received the information is the issue detection date. The agency is encouraged to keep a record of the date and time of call and include such information in the adjudication file for quality and data validation purposes.

4. The claimant is in a continuous weekly/biweekly filing status and an issue is raised in person by the claimant or another party. The date the issue is raised in person is the issue detection date.
5. Any unit of the agency (i.e., BPC, BAM, Appeals) discovers an issue during the course of its work and refers the issue to the adjudication unit or to some other unit for action. The issue detection date is the date any unit discovered the issue and not the date another unit within the agency receives the referred issue. (ETA 401, Sec V, Ch 3)

Module 3 – Step 20. Issue Detection Date

Step 20. Issue Detection Date

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue detection date on the worksheet matches the date on the nonmonetary determination display.

Record Layout: Detection Date

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
11	Detection Date	Step 20 - Rule 1	The earliest date that the agency is in possession of information indicating the existence of a nonmonetary issue.	Date - MM/DD/YYYY (Required except optional for redeterminations)	DATE	

Relationship between ETA 9052 Adjudications Time Lapse and Subpopulations in Population 5

Definition: Monetary Determinations Time Lapse

Definitions, unless otherwise specified in these instructions, will be the same definitions used for the ETA 207 and ETA 9050 found elsewhere in this handbook.

Nonmonetary Determinations Time Lapse. The number of days from the date an issue is first detected on a claim to the date on the determination.

ETA Handbook 361, Appendix A, Relationship between 9052 and Subpopulations in Population 5

Table A.5.3

Relationship between ETA 9052 Adjudications Time Lapse and Subpopulations in Population 5

		Separation 9052A						Non-Separation 9052B						Labor Dispute C194	Other C195
		Intrastate			Interstate			Intrastate			Interstate				
		UI C2	UCFE C3	UCX C4	UI C6	UCFE C7	UCX C8	UI C98	UCFE C99	UCX C100	UI C102	UCFE C103	UCX C104		
Single Claimant	9052	1-3 19-21	37-39 45-47	53 57	10-12 28-30	41-43 49-51	55 59	4-9 22-27	40 48	54 58	13-18 31-36	44 52	56 60		
Multi- claimant	9052 C												61 62	63 64	

NOTE: There are 11 time lapse categories in each of these table cells.

Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations

- Data Element: [SSN](#)
- Data Element: [Issue Number Unique ID for Determinations](#)
- Data element: [Type of UI Program](#)
 - Value: Regular UI
 - Value: Workshare
- Data Element: [Program Type](#)
 - Value: UI
 - Value: UCFE
 - Value: UCX
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate Claim
 - Value: Interstate Claim
- Record Layout: [Determination/Redetermination](#)
- Data Element: [Type of Determination](#)
 - Value: Single Claimant Determination
 - Value: Multicclaimant Determination
- Data Element: [Issue Type](#)
 - Record Layout: Issue Type
 - Value: [Determination Issues – Voluntary Quit](#)
 - Value: [Determination Issues – Misconduct](#)
 - Value: [Determination Issues – Other Separation Issues](#)
 - Value: [Determination Issues – Able and Available To Work](#)
 - Value: [Determination Issues – Deductible Income](#)
 - Value: [Determination Issues – Suitable Work Refusal](#)
 - Value: [Determination Issues – Reporting Requirements](#)
 - Value: [Determination Issues – Profiling](#)
 - Value: [Determination Issues – Other Nonseparation Issues](#)
 - Value: [Determination Issues – Labor Dispute](#)
 - Value: [Determination Issues – Other Multicclaimant Issues](#)
- Data Element: [Notice Date](#)
- Data Element: [Allow or Deny](#)
 - Value: Determination to Allow
 - Value: Determination to Deny
- [Relationship between the ETA 207 and Subpopulations in Population 5](#)

Redeterminations

Definition: Nonmonetary Redetermination

Nonmonetary Redeterminations: A determination made under statute, regulation, or well defined policy specifically requiring the reopening of a nonmonetary determination prior to the administrative appeal stage, and which affirms, reverses, or modifies a determination.

Nonmonetary Redeterminations are made under the following conditions:

1. The need for reconsideration arises as the result of a protest by an interested party, or from the agency's own initiative based upon new or additional information.
2. All pertinent evidence and records are actually re-examined.
3. A written redetermination notice is issued to the claimant and any other interested party, and is recorded.

A redetermination will always relate to the benefit period covered by the prior/original determination. (Facts concerning a different period, or involving a change in circumstances, may raise new issues calling for a new nonmonetary determination.)

Redeterminations do not include decisions which are changed due to periodic supervisory reviews in which errors may be corrected. Also, if the claimant objects to a nonmonetary determination, listening to a repeated earlier statement and explaining the decision does not constitute a redetermination. A redetermination can only be made as a result of either the receipt of new or additional information or a protest by the employer or claimant and must always result in a reconsideration of the original decision. (ETA 401 (207), Sec I, Ch 1)

Module 3 – Step 16B. Nonmonetary Redetermination

Step 16. Nonmonetary Determination/Redetermination

Sub Step B. Nonmonetary Redetermination

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the transaction was a redetermination by checking an indicator.

Rule 2: This rule validates that the redetermination meets the Federal definition.

Table A.5.1
Population 5 Subpopulations
 Nonmonetary Determinations and Redeterminations
 Notice Date Falls within Reporting /Validation Period

Sub pop #	Report, Line, and Column	2 (Step ID) (Rule 1) SSN	3 (Step ID) (Rule 2) Issue Number (Unique ID)	4 (Step 2) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 16) Determination/ Redetermination	8 (Step 17) Type of Determination	9 (Step 18) Issue Types	10 (Step 19) First Week Affected	11 (Step 20) Detection Date	12 (Step 21) Notice Date	13 (Step 23) Allow ^a or Deny
REDETERMINATIONS (5.65 through 5.70) 1) Random Sample: 30 or 100													
5.65	207A-101-3	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate or Interstate	Redetermination	Single	Required			Required	Allow
5.66	207A: 101-3; 102-3	Required	Required if State maintains a unique ID	Regular UI or Workshare	UI	Intrastate or Interstate	Redetermination	Single	Required			Required	Deny
5.67	207A-103-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate or Interstate	Redetermination	Single	Required			Required	Allow
5.68	207A: 103-1; 104-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCFE	Intrastate or Interstate	Redetermination	Single	Required			Required	Deny
5.69	207A-105-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate or Interstate	Redetermination	Single	Required			Required	Allow

Sub pop #	Report, Line, and Column	2 (Step 1D) (Rule 1) SSN	3 (Step 1D) (Rule 2) Issue Number (Unique ID)	4 (Step 2) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 16) Determination/ Redeterminatio n	8 (Step 17) Type of Determination	9 (Step 18) Issue Types	10 (Step 19) First Week Affected	11 (Step 20) Detection Date	12 (Step 21) Notice Date	13 (Step 23) Allow^a or Deny
5.70	207A: 105-1; 106-1	Required	Required if State maintains a unique ID	Regular UI or Workshare	UCX	Intrastate or Interstate	Redetermination	Single	Required			Required	Deny

^aAllow or affirmed

Data Elements and Values for Reporting and Validating Redeterminations

- Data Element: [SSN](#)
- Data Element: [Issue Number Unique ID for Determinations](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
 - Value: Workshare
- Data Element: [Program Type](#)
 - Value: UI
 - Value: UCFE
 - Value: UCX
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate
 - Value: Interstate
- Record Layout: [Determination/Redetermination](#)
- Data Element: [Type of Determination](#)
 - Value: Single Claimant Determination
 - Value: Multiclient Determination
- Data Element: [Issue Type](#)
 - Record Layout: Issue Type
 - Value: [Determination Issues – Voluntary Quit](#)
 - Value: [Determination Issues – Misconduct](#)
 - Value: [Determination Issues – Other Separation Issues](#)
 - Value: [Determination Issues – Able and Available To Work](#)
 - Value: [Determination Issues – Deductible Income](#)
 - Value: [Determination Issues – Suitable Work Refusal](#)
 - Value: [Determination Issues – Reporting Requirements](#)
 - Value: [Determination Issues – Profiling](#)
 - Value: [Determination Issues – Other Nonseparation Issues](#)
 - Value: [Determination Issues – Labor Dispute](#)
 - Value: [Determination Issues – Other Multiclient Issues](#)
- Data Element: [Notice Date](#)
- Data Element: [Allow or Deny](#)
 - Value: Determination to Allow
 - Value: Determination to Deny
- [Relationship between the ETA 207 and Subpopulations in Population 5](#)

Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations and Redeterminations

- Data Element: [SSN](#)
- Data Element: [Issue Number Unique ID for Determinations](#)
- Data element: [Type of UI Program](#)
 - Value: Regular UI
 - Value: Workshare
- Data Element: [Program Type](#)
 - Value: UI
 - Value: UCFE
 - Value: UCX
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate Claim
 - Value: Interstate Claim
- Record Layout: [Determination/Redetermination](#)
- Data Element: [Type of Determination](#)
 - Value: Single Claimant Determination
 - Value: Multiclient Determination
- Data Element: [Issue Type](#)
 - Record Layout: Issue Type
 - Value: [Determination Issues – Voluntary Quit](#)
 - Value: [Determination Issues – Misconduct](#)
 - Value: [Determination Issues – Other Separation Issues](#)
 - Value: [Determination Issues – Able and Available To Work](#)
 - Value: [Determination Issues – Deductible Income](#)
 - Value: [Determination Issues – Suitable Work Refusal](#)
 - Value: [Determination Issues – Reporting Requirements](#)
 - Value: [Determination Issues – Profiling](#)
 - Value: [Determination Issues – Other Nonseparation Issues](#)
 - Value: [Determination Issues – Labor Dispute](#)
 - Value: [Determination Issues – Other Multiclient Issues](#)
- Data Element: [Notice Date](#)
- Data Element: [Allow or Deny](#)
 - Value: Determination to Allow
 - Value: Determination to Deny
- [Relationship between the ETA 207 and Subpopulations in Population 5](#)

Data Element: Issue Number Unique ID for Determinations

Module 3 – Step 1D. Match – Nonmonetary Determinations and Redeterminations

Step 1. Match

Sub Step D. Nonmonetary Determinations and Redeterminations

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule ensures that the validator has correctly identified the nonmonetary determination or redetermination to be validated on the supporting documentation by matching on the SSN.

Rule 2: This rule ensures that the validator has correctly identified the nonmonetary determination or redetermination to be validated on the supporting documentation by matching on any unique identifier maintained by the State (at a minimum, issue code).

Record Layout: Issue Number (Unique ID)

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
3	Issue Number (Unique ID)	Step 1D - Rule 2	The unique issue number or other unique number assigned to the nonmonetary determination.	Number - 000000 (Required if State maintains a unique ID)	CHAR (50)	

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Record Layout: Determination/Redetermination

Record Layout: Determination/Redetermination

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
7	Determination/ Redetermination	Step 16A - Rule 1 Step 16B - Rule 1	The decision made by the authority on an issue was a determination or redetermination.	Text - Determination Redetermination (Required)	CHAR (30)	NOT NULL

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Data Element: Type of Determination

Record Layout: Type of Determination

	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
8	Type of Determination	Step 17A - Rules 1 and 2 Step 17B - Rule 1	The determination was based upon facts related to an individual situation or to groups of similarly situated individuals.	Text - Single Multi (Required)	CHAR (20)	NOT NULL

Value: Single Claimant Determination

Definition: Type of Determination – Single Claimant

Single-Claimant Nonmonetary Determination: A nonmonetary determination based upon facts which relate to an individual situation and issued to a single claimant. If two or more issues were raised and determined, based on the same set of facts, report each determination as a separate single-claimant nonmonetary determination. Also, if a single claimant files a claim which may affect multiple workers who have not yet filed claims (a test case), report such determination as a single-claimant determination.

Example: A vacation pay issue involves 200 workers. Only one claim was filed. A nonmonetary determination allowed the claim and the remaining 199 workers filed claims. Since only one claim was filed, apparently to determine if vacation pay was disallowed, and the remaining workers were not claimants at the time, report one single-claimant nonmonetary determination. (ETA 401, Sec I, Ch 1)

Module 3 – Step 17A. Type of Determination – Single Claimant

Step 17. Type of Determination

Sub Step A. Single Claimant

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the nonmonetary determination was a single claimant case by checking an indicator.

Rule 2: This rule validates that the nonmonetary determination was a multiclaimant case by checking an indicator.

Multiclient Determination

Definition: Type of Determination – Multiclient

Multi-Client Nonmonetary Determination: A nonmonetary determination based upon a set of facts which apply to groups of similarly situated individuals and which may result in the issuance of one or more notices, depending upon the number of individual claimants involved in the facts.

Example: In a labor dispute involving 500 persons, three claims were filed representing three different shifts of workers. Nonmonetary determinations were made disqualifying all three claims. One multiclient determination should be reported for each nonmonetary determination since identical notices were issued to, or applied to, two or more claimants in a labor dispute. (ETA 401, Sec I, Ch 1)

Module 3 – Step 17B. Type of Determination – Multiclient

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the nonmonetary determination was a multiclient case by checking an indicator or the number of claimants.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Data Element: Issue Types

Definition

Issue Types: The issue types data element identifies the issue associated with the determination/redetermination.

Record Layout: Issue Types

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
9	Issue Types	VL: Step 18A - Rule 1 MC: Step 18B - Rule 1 Sep/Other: Step 18C - Rule 1 A & A: Step 18D - Rule 1 Ded. Income: Step 18E - Rule 1 Suitable Work: Step 18F - Rule 1 Reporting: Step 18G - Rule 1 Profiling: Step 18H - Rule 1 Other/Nonsep: Step 18I - Rule 1 Labor Dispute: Step 18J - Rule 1 Other Multiclaimit Issues: Step 18K - Rule 1	The separating issue was voluntary leaving, misconduct, or other separation issue. The nonseparation issue was able and available for work, deductible income, suitable work refusal, reporting requirements, profiling, other nonseparation issue, or labor dispute or other multi-claimant issue.	Text - VL MC Sep/Other A & A Ded. Income Suitable Work Reporting Profiling Other Nonsep Labor Dispute Other Multiclaimitant (Required)	CHAR (50)	NOT NULL

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Voluntary Quit

Definition: Determination Issues – Voluntary Quit

Voluntary Leaving Work: Leaving work without good cause is reason for disqualification. There are two broad provisions for leaving work with good cause. In some States, good cause for leaving must be connected to the work. In other States, good cause for leaving may be either personal or work connected.

For reporting purposes, include under this category voluntary leaving issues involving marital, paternal, filial, or other domestic or personal circumstances, and attendance at school or training. (ETA 401, Sec I, Ch 1)

Module 3 – Step 18A. Determination Issues – Voluntary Quit

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was voluntary quit or voluntary leaving by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Misconduct

Definition: Determination Issues – Misconduct

Discharge for Misconduct: Misconduct may be defined as a willful or controllable breach of a claimant's duties, responsibilities, or behavior that the employer has a right to expect. It is a deliberate or substantially negligent act or an omission which adversely affects the employer's legitimate business interests. Simple negligence with no harmful intent, inefficiency, unsatisfactory conduct beyond the claimant's control, or good faith errors of judgement or discretion are not misconduct.

For reporting purposes, include under this category issues involving a discharge for "gross" or "aggravated" misconduct, as defined under some State laws. (ETA 401, Sec I, Ch 1)

Module 3 – Step 18B. Determination Issues – Misconduct

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was misconduct by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Other Separation Issues

Definition: Determination Issues – Other Separation Issues

Other Single Claimant Separation Issues: Nonmonetary separation issues other than voluntary quits and discharges for misconduct.

Module 3 – Step 18C. Determination Issues – Other Separation Issues

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was another type of separation issue by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Able and Available to Work

Definition: Determination Issues – Able and Available to Work

Not Able - Not Available - Not Actively Seeking: Being able to work means that an individual has the physical and mental capacity to perform work. Being available for work means that an individual has potential for employment and is ready (e.g., has child care, necessary licenses, etc.) and willing to accept employment. Each worker falls somewhere between available, that is, willing and able to perform any job, at any time, under any conditions and being unavailable, that is, being unwilling, not ready, or unable to accept or perform any job, under any conditions.

Availability is lessened by circumstances that decrease an individual's chance of becoming employed, and is increased by circumstances that enhance chances for becoming employed. Ineligibility occurs when there are undue problems, unreasonable or unrealistic restrictions regarding wages, hour of work or travel that are a barrier to accepting work or there is a lack of significant interest in employment.

Numerous States have specific provisions in their laws that require a search for work, while others require a search for work as part of the availability consideration. Actively seeking work includes contacting potential employers and generally attempting to find work in ways that the reasonably prudent person attempts to secure work.

For reporting purposes, include under this category able-available- actively seeking work issues involving marital, parental, filial or personal obligations and attendance at school or training. Only one nonmonetary count may be taken for a given week for not able, not available, and not actively seeking work issue. (ETA 401, Sec I, Ch 1)

Module 3 – Step 18D. Determination Issues – Able and Available to Work

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was able and available to work by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Deductible Income

Definition: Determination Issues – Deductible Income

Disqualifying or Deductible Income: This category includes decisions relating to the effect upon benefit entitlement of payments such as worker's compensation, OASI benefits, unemployment benefits under another State or Federal law, dismissal payments or wages in lieu of notice, vacation or holiday pay, and payments made under an employer's pension plan. This issue is reportable in column 13 "Disqualifying or Deductible Income" if properly documented. (ETA 401, Sec I, Ch 1)

Module 3 – Step 18E. Determination Issues – Deductible Income

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was disqualifying or deductible income by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Suitable Work Refusal

Definition: Determination Issues – Suitable Work Refusal

Suitable Work Refusals: A claimant's refusal of suitable work or of an offer of referral to a job without good cause is reason for disqualification. Two major questions must be considered. Is there good cause for refusal and is offered work suitable? It must be established that a bona fide job offer or an offer of referral to a job existed.

Suitability of the particular job is determined by comparing the offered job to both the claimant's past and present experience and qualifications and to the local labor market. The claimant's personal circumstances are considered in determining whether good cause exists. (ETA 401, Sec I, Ch 1)

Module 3 – Step 18F. Determination Issues – Suitable Work Refusal

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was refusal of suitable work by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Reporting Requirements

Definition: Determination Issues – Reporting Requirements

Reporting Requirements: This issue relates to late filing of continued claims and failure to report as required to provide claims information, including call-ins.

Example: A claimant, classified occupationally as a mechanic, reports for the second successive week at 4:00 p.m. instead of the scheduled hour of 11:00 a.m. because of a car breakdown. Referral is made to an adjudicator. After questioning the claimant in detail, the adjudicator documents the facts and properly records a determination allowing the claim. This is a nonmonetary determination if State law provides for a possible loss of benefits for failing to report as required which also applies to lateness. Mere referral from the counter to a claims adjudicator should not be regarded as the criteria for a reportable nonmonetary determination. (ETA 401, Sec I, Ch 1)

Module 3 – Step 18G. Determination Issues – Reporting Requirements

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was reporting requirements by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Profiling

Definition: Determination Issues – Profiling

Refusal of Profiling Referral to Services: Section 4 of the Emergency Unemployment Compensation Amendments of 1993 established a program to profile UI claimants as to their likelihood of exhausting benefits and to refer to reemployment services those most likely to exhaust benefits. Refusal to accept referral to services without good cause is grounds for denial of benefits. Determinations made because of a claimant's refusal to be referred to services and any resulting denials will be reported in column 16 of the report. Failure to report to referred training, without evidence of refusal, should be counted in "Reporting Requirements", not in "Refusal of Profiling Referral to Services". (ETA 401, Sec I, Ch 1)

Module 3 – Step 18H. Determination Issues – Profiling

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was refusal of profiling referrals by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Value: Determination Issues – Other Nonseparation Issues

Definition: Determination Issues – Other Separation Issues

Other Single Claimant Nonseparation Issues: Other nonseparation issues for reporting single claimant nonmonetary determinations relating to aliens, professional athletes, school employees, unemployment status, seasonality, removal of all or part of a disqualification, and all other BPC-related nonmonetary determinations.

The following types of determinations should be reported as Other Nonseparation Issues:

All states have laws, which provide for an additional administrative penalty to be applied when claimants commit fraud by willfully misrepresenting or concealing material facts in order to obtain benefits to which they are not legally entitled. If the adjudicator considers assessing an administrative penalty due to fraud or concealment by the claimant, these determinations should be reported in column 17, lines 301 and 302 of the ETA 207 report, Nonmonetary Determination Activities (ETA Handbook 301, p. VI-35)

Alien Legal Status: Section 3304(a)(14) of the FUTA provides that compensation shall not be payable on the basis of services performed by an alien unless the alien was in one of three eligibility categories at the time such services were performed. The categories include aliens who were lawfully admitted for permanent residence at the time the services were performed, lawfully present for purposes of performing the services, or permanently residing in the U.S. under color of law at the time the services were performed.

An alien must also be "able and available" for work while claiming benefits. This means the claimant must have current work authorization during the claim series. This is a separate issue from legal status during the base period and should be reported in column 12.

Determinations concerning alien legal status will result in a nonmonetary determination which may affect the claimant's monetary determination. Report only the original monetary determination on the ETA 218, Benefit Rights and Experience report, and the nonmonetary determination in column 17, Other, of the ETA 207, Nonmonetary Determinations Activities Report. (ETA 401 (218), Sec I, Ch 2) AND (ETA 401 (207) Sec I, Ch 1). A monetary redetermination issued as a result of the nonmonetary determination is not reportable.

Professional Athlete Claimant: Section 3304(a)(13), FUTA, requires that compensation shall not be payable to any individual on the basis of services, substantially all of which consist of participating in sports or athletic events (or training or preparing to participate), for any week between two successive sport seasons, if the individual performed services in the first season and there is reasonable assurance that the individual will perform services in the second season. The term "athlete" may include, at State option, ancillary personnel involved with the team or event such as managers, coaches, and trainers employed by professional teams, and referees and umpires employed by professional leagues or associations. This section of Federal law requires the State to determine whether substantially all the athlete's base period services were from participating in sporting events and whether the athlete is "between seasons". (ETA 401, Sec I, Ch 1)

Educational Employee Claimant "Between" and "Within" Terms: Section 3304(a)(6)(A), FUTA, requires that a State law provide that benefits be payable based on services performed for State and local government entities and certain nonprofit organizations in the same amount, on the same terms, and subject to the same conditions as benefits payable on the basis of other covered service. The only permitted exceptions to the "equal treatment" requirement are specified in clauses (i) through (v) of the same paragraph. These exceptions are referred to as the "between" and "within" terms denial provisions.

These exceptions provide that employees of educational institutions, educational service agencies, and certain other entities are ineligible for benefits based on such services between academic years or terms and during vacation periods and holiday recesses within terms if they have a "reasonable assurance" of performing in the same or similar capacity in the following year, term, or remainder of the term. This section of Federal law requires a State to apply these denial provisions also to employees of State and local governments and nonprofit organizations if they provide service to or on behalf of an educational institution. In applying this section of Federal law, a State agency must first issue a monetary determination containing all the claimant's covered services during the base period. A nonmonetary determination must then be made as to whether the "between" and "within" terms educational denial provisions apply, and if so, the agency must also issue a monetary redetermination excluding such nonallowable services and in addition to a nonmonetary determination informing the claimant that these provisions of Federal law apply.

Determinations whether the "between" and "within" terms educational denial provisions apply will result in a nonmonetary determination which may affect the claimant's monetary determination. Report only the original monetary determination on the ETA 218, Benefit Rights and Experience report, and the nonmonetary determination in column 17, Other, of the ETA 207, Nonmonetary Determinations Activities Report. (ETA 401 (218), Sec I, Ch 2) AND (ETA 401 (207) Sec I, Ch 1). A monetary redetermination issued as a result of the nonmonetary determination is not reportable.

Miscellaneous: Miscellaneous circumstances (unemployment status, seasonality and removal of all or part of a disqualification) are issues when controversy arises on facts or application of the law. In the absence of controversy, these situations are generally clerical functions such as reducing that particular week's benefit amount, noting earnings used to lift a disqualification, or changes in circumstances that might remove or satisfy a previous denial. (See (3) below.) In most cases, the claimant agrees to the action, controversy does not exist, the situation does not constitute an issue and therefore, is not a reportable nonmonetary determination.

If, however, after a discussion of potentially disqualifying circumstance, benefits are denied through a written determination then the resulting determination should be counted as a nonmonetary determination for the following issues:

1. **Unemployment Status.** A nonmonetary determination may be counted when there is a question on whether for a particular week: a) the claimant's activities or status constitutes "service" or "employment," or b) the claimant earns "wages" or receives "remuneration," resulting in ineligibility as "not unemployed," or only partially unemployed. Note,

however, that decisions concerning the effect of payments such as worker's compensation; OASI benefits, unemployment benefits under another State or Federal law; dismissal payments or wages in lieu of notice; vacation or holiday pay, and payments made under an employer's pension plan come under the category of disqualifying or deductible income.

Example: Based on the employer's statement of earnings, a claimant is awarded only partial benefits for a specified week. The claimant objects to the reduction in benefits on the grounds that the employer's statement is incorrect. Because of controversy over the accuracy of the employer's statement, the State issues a nonmonetary determination on whether to accept the employer's or claimant's statement then that determination is reportable (provided the other nonmonetary requirements in this section are met).

2. Seasonality. A nonmonetary determination may be counted when there is a question on whether special statutory provisions under which seasonal workers may be denied benefits should apply.
3. Removal of All or Part of a Disqualification. A nonmonetary determination may be counted when there is a question on whether specific requalifying requirements are met, e.g., until employed for a specified period of time or until specified amounts are earned.

Example: The claimant is required to earn \$500, to lift a disqualification, but presents evidence of earning \$450. The claimant contends there were more earnings but has no evidence. Controversy exists; further inquiry with the employer may be necessary. After resolving the issue and making the determination, the determination should be reported.

Nonmonetary determinations made under these circumstances are reported in column 17, "Other." (ETA 401, Sec I, Ch 1)

Module 3 – Step 18I. Determination Issues – Other Nonseparation Issues

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the issue was another type of nonseparation issue by checking an indicator.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

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Value: Determination Issues – Labor Dispute

Definition: Determination Issues – Labor Dispute

Multiclaimant Labor Dispute: A nonseparation issue pertaining to unemployment of more than one claimant as a result of controversy about terms or conditions of employment. (ETA 401, Sec I, Ch 1)

Module 3 – Step 18J. Determination Issues – Labor Dispute

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the nonmonetary determination was a multiclaimant labor dispute by checking an indicator.

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Value: Determination Issues – Other Multicclaimant

Definition: Determination Issues – Other Multicclaimant Issues

Other Multicclaimant Issues: Multicclaimant determination where the issue is not a labor dispute.

Module 3 – Step 18K. Determination Issues – Other Multicclaimant

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the nonmonetary determination was another type of multicclaimant issue (other than labor dispute) by checking an indicator.

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Data Element: Notice Date

Definition: Notice Date

Date of Determination: The date printed on the determination notice which is intended to be the issue mail date or, if no notice is required, the date payment is authorized, waiting week credit is given, or an offset is applied. (ETA 401, Sec V, Ch 3)

Module 3 – Step 21. Notice Date

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the notice mail date matches the notice date on the worksheet and is during the month being validated.

If the State allows informal determinations and the notice date field is blank, then the determination date on the screen must match the notice date on the worksheet.

The quality review will validate the actual notice mailed date.

Record Layout: Notice Date

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
12	Notice Date	Step 21 - Rule 1	The date the determination notice is mailed or, if no notice is required, the date payment is authorized, waiting week credit is given, or an offset is applied.	Date - MM/DD/YYYY (Required)	DATE	NOT NULL

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)

Data Element: Determination to Allow or Deny

Value: Determination to Allow

Definition: Outcome of Determination – Determination to Allow or Affirmed

Determination to Allow: The outcome of the nonmonetary determination was to allow benefits.

For Redeterminations: Because the definition of a redetermination denial is limited to situations where the redetermination reversed a previous determination to allow benefits, and because the validation process separates redeterminations into two groups, allow and deny, there are three types of redeterminations to allow benefits for validation purposes:

1. the redetermination affirms a determination to allow benefits;
2. the redetermination reverses a determination to deny benefits; and
3. the original determination was to deny benefits and the redetermination affirmed the denial.

The count of denials imposed in redeterminations consists only of those imposed for the first time through the redetermination process. Thus, if a redetermination merely upholds a denial initially imposed in a determination, it is not included in the count of denials, but it is included in the total count. (ETA 401 (207), Sec I, Ch 1)

Module 3 – Step 23A. Outcome of Determination – Determination Allowed or Affirmed

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the determination was to allow benefits or that in the case of redeterminations, the original determination was affirmed or reversed from deny to allow.

FOR REDETERMINATIONS:

Rule 2: This rule validates that the determination was to allow benefits or that in the case of the redeterminations, the original determination was affirmed or was reversed from deny to allow.

Value: Determination to Deny

Definition: Outcome of Determination – Determination or Redetermination to Deny

Denial of Benefits: Action imposed by a nonmonetary determination which cancels, reduces, or postpones, a claimant's benefit rights. Under a multclaimant determination, a denial is counted for each claimant whose claim is canceled, benefits reduced, or payments postponed. Therefore, the number of denials on line 102, columns 4, 5, and 6 can be greater than number of determinations on line 101 for the same columns. (ETA 401 (207), Sec I, Ch 1)

For Redeterminations: The original determination was to allow benefits and the redetermination reversed the determination to deny.

Module 3 – Step 23B. Outcome of Determination – Determination or Redetermination to Deny

Rules for this step/substep apply to Population 5

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the determination was to deny benefits by checking an indicator.

FOR REDETERMINATIONS:

Rule 2: This rule validates that the redetermination outcome was to deny benefits when the original determination was to allow.

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Relationship between the ETA 207 and Subpopulations in Population 5

Table A.5.2

Relationship between ETA 207 Reporting Cells and Subpopulations in Population 5

207 SECTION A			Total Determinations and Redeterminations (1)	Determinations (2)	Redeterminations (3)	Multi-labor (5)	Multi-other (6)
UI	Dets	101		1-36	65-66	61-62	63-64
UI	Denials	102		19-36	66	62	64
UCFE	Dets	103	37-52 67-68				
UCFE	Denials	104	45-52 68				
UCX	Dets	105	53-60 69-70				
UCX	Denials	106	57-60 70				

207 SECTION B	Total Seps (7)	VL (8)	MC (9)	Other Separations (10)
UI	Dets 201	1, 10 19, 28	2, 11 20, 29	3, 12 21, 30
UI	Denials 202	19, 28	20, 29	21, 30
UCFE	Dets 203	37, 41 45, 49	38, 42 46, 50	39, 43 47, 51
UCFE	Denials 204	45, 49	46, 50	47, 51

207 SECTION C	Total Nonseps (11)	A & A (12)	Ded. Income (13)	Suit. Work (14)	Reporting (15)	Profiling (16)	Other (17)
UI	Dets 301	4, 13, 22, 31	5, 14, 23, 32	6, 15, 24, 33	7, 16, 25, 34	8, 17, 26, 35	9, 18, 27, 36
UI	Denials 302	22, 31	23, 32	24, 33	25, 34	26, 35	27, 36

^aAdd the number of multiclaimants involved.

[Return to Other Data Elements and Values for Reporting and Validating Nonmonetary Determinations](#)

[Return to Data Elements and Values for Reporting and Validating Redeterminations](#)