

Payments: Population 4

First Payments

- Definition: Types of Compensation – First Payment
- ETA 361, Appendix A, Populations 4.1 – 4.16 – First Payments
- ETA 361, Appendix A, Population 4 Notes – First Payments
- Value: Types of Compensation - First Payment
- Other Data Elements and Values for Reporting and Validating First Payments

Continued Payments

- Definition: Types of Compensation – Continued (Weeks) Payment
- ETA 361, Appendix A, Populations 4.17 – 4.32 – Continued Payments
- Value: Types of Compensation – Continued Payment
- Other Data Elements and Values for Reporting and Validating Continued Payments

Adjusted Payments

- Definition: Types of Compensation – Adjusted Payment
- ETA 361, Appendix A, Populations 4.33 – 4.42 – Adjusted Payments
- ETA 361, Appendix A, Population 4 Notes – Adjusted Payments
- Value: Types of Compensation – Adjusted Payment
- Other Data Elements and Values for Reporting and Validating Adjusted Payments

Self-Employment Payments

- Definition: Program Type – Self-Employment Program
- ETA 361, Appendix A, Population 4.43 – Self-Employment Payments
- ETA 361, Appendix A, Population 4 Notes – Self-Employment
- Value: Program Type – Self-Employment Program

CWC First Payment

- ETA 401 Handbook – Combined Wage Claim Activity
- Definition: Types of Compensation – CWC First Payment
- ETA 361, Appendix A, Populations 4.44 – 4.45 – First CWC Payment
- Value: Types of Compensation – First Payment
- Other Data Elements and Values for Reporting and Validating CWC First Payments

CWC Weeks Compensated – Not First Payment

- ETA 361, Appendix A, Populations 4.46 – 4.47 – CWC – Not First Payment
- ETA 361, Appendix A, Population 4 Notes
- Data Element: Types of Compensation – CWC Weeks Compensated – Not First Payment
- Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated

CWC – Adjusted Payments

- ETA 401 Handbook – CWC Adjusted Payments
- ETA 361, Appendix A, Populations 4.48 – 4.49 – CWC – Adjusted Payments
- Data Element: Types of Compensation – CWC Weeks Compensated – Not First Payment
- Other Information, Data Elements and Values for Reporting and Validating CWC Adjusted Payments

CWC – Prior Quarter Payments

- Definition – Prior Weeks Compensated
- ETA 361, Appendix A, Populations 4.50 – 4.51 – CWC – Prior Quarter
- ETA 361, Appendix A, Population 4 Notes
- Data Element: Types of Compensation – Prior Weeks Compensated
- Other Information, Data Elements and Values for Reporting and Validating CWC Prior Weeks Compensated

Other Information, Data Elements and Values for Reporting and Validating Payments

- [Relationship between Reports and Subpopulations in Population 4](#)
- [ETA 361, Appendix A, Population 4 Notes](#)
- Data Element: [SSN](#)
- Data Element: [Check Number Unique Payment ID](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: [Type of Compensation](#)
 - Record Layout: Type of Compensation
- Data Element: [Partial/Total Weeks of Unemployment](#)
 - Value: Total Payment
 - Value: Partial Payment
 - Record Layout: Partial/Total Weeks of Employment
- Data Element: [Earnings](#)
- Data Element: [Weekly Benefit Amount \(WBA\)](#)
- Data Element: [UI Amount](#)
- Data Element: [UCFE Amount](#)
- Data Element: [UCX Amount](#)
- Data Element: [CWC Amount](#)
- Data Element: [Self-Employment Amount](#)
- Data Element: [Week-Ending Date](#)
- Data Element: [Mail Date](#)
- Data Element: [Time Lapse](#)

First Payments

Definition: Types of Compensation – First Payment

First Payment: The first benefit payment for the earliest compensable week in the benefit year.

Includes total, partial and part-total payments, full or partial offsets to satisfy an outstanding overpayment, a Child Support Intercept order or a Food Stamp overissuance, waiting weeks waived by the Governor under a State law and compensated because of a legally recognized disaster, and payments resulting from the reversal of a single- or multclaimant adjudication by a lower or higher authority appeal decision or by a court decision.

If two or more weeks of benefits are paid at the same time by separate checks or by one check, the earliest week ending date in the benefit year is the first payment. (ETA (9050) Sec V, Ch 1)

Excludes waiting weeks that are compensated retroactively under State law after payment of benefits for a number of qualifying weeks paid.

Examples of situations under which first payments are included or excluded for reporting purposes include:

1. Claimant is disqualified from receiving a UI check for his/her first compensable week in the benefit year due to excessive earnings. Claimant has no earnings the second week and is paid for that week. The payment for the second week is a first payment because the first week is not considered inasmuch as he/she is not considered unemployed during that week. Thus, the second week in the series becomes the first compensable week.
2. Payments for a series of weeks "pended" are authorized and paid on the same date as a result of a nonmonetary determination reversal. No weeks were paid before the pended weeks were paid. First payment is for the earliest compensable week in the benefit year.
3. Claimant receives a check for a week of unemployment after filing an additional claim. No previous weeks were claimed. Thus, the payment for the first compensable week of the additional claim is considered to be the first payment.
4. First compensable weeks paid to totally or partially offset an overpayment or an intercept requirement are considered to be first payments.
5. Claimant is disqualified for the first compensable week due to a nonmonetary issue. Upon appeal, the determination is reversed. However, the payment for that week was not made until after the second week was paid. Thus, the payment for the first week (the appealed week) should be reported as the first payment because it is for the first (earliest) compensable week in the benefit year.
6. A series of weeks pending is paid on the same date as a result of a reversal of a nonmonetary determination. Prior to payment of these weeks, the claimant requalified, filed an additional claim, and was paid. The first payment is reported for the first

compensable week in the benefit year. All other weeks paid, including those based on the additional claim, should be reported as continued weeks compensated on the ETA 9051 report.

Examples for excluding first payments:

1. Claimant is disqualified for the first compensable week due to a nonmonetary issue, does not appeal the determination, claims the second week, and is paid for that week. The second week paid is not considered to be the first payment because it does not represent the first (earliest) compensable week in the benefit year (the second week should be reported as a continued week compensated on the ETA 9051 report). Thus, there will never be a first payment reported for this claimant.
2. Claimant is compensated retroactively for a waiting week, under State law, after being paid benefits for nine consecutive weeks. In this situation, the retroactive payment for the waiting weeks is not considered to be the first payment. The week immediately following the waiting week is the first (earliest) compensable week. Thus, the retroactive payment for the waiting week should be reported as a continued week compensated on the ETA 9051 report. (ETA 401 (9051), Sec V, Ch 2)

Week Ending Date of the Week Paid for First Payment: The first benefit payment for the earliest compensable week in the benefit year.

Definition: First Compensable Week (ETA 401)

Definitions, unless otherwise specified in these instructions, will follow the definitions for the ETA 5159 found elsewhere in this handbook.

Payment for First Compensable Week. To be a first payment recorded on this report, it must be the payment for the earliest compensable week in the benefit year. Such a payment must simultaneously conform to the definitions for a payment, a compensable week, and first compensable week. It is not automatically the first week paid; some benefit years will not have a payment for the first compensable week.

- a. Payments. Benefit payments include total, partial and part-total payments, full or partial offsets to satisfy and outstanding overpayment, a child support intercept order or a food stamp over-issuance, waiting weeks waived by a governor under a state law and compensated because of a legally recognized disaster, and payments resulting from the reversal of a single or multi-claimant adjudication by a lower or higher authority appeal decision or by a court decision.
- b. Compensable Week. Claimants who are monetarily eligible or who have a pending monetary determination may claim--submit for payment or waiting-week credit—a week of unemployment for which they believe they meet the state’s weekly eligibility conditions. A week with excessive earnings—enough to reduce the weekly benefit amount payment to zero—is considered a week of employment and not unemployment and thus is not a “week claimed.”

Most states require a week to be served as a waiting period week prior to receiving a first payment. Claimants are required to meet all of the eligibility criteria to receive a benefit payment but the week is not a payable week. Some states will retroactively compensate the waiting week after the claimant has received payment for a number of weeks.

- c. First Compensable Week. The first compensable week is the earliest compensable week claimed in the benefit year.
- 1) This will normally be the first week in the claims series in non-waiting week states and the second week in the claims series in waiting week states.
 - 2) If two or more weeks of benefits at the beginning of the claims series are paid at the same time (whether by separate checks or by one check), then the earliest week-ending date in the benefit year is the starting date for measuring the timeliness of the first payment.
 - 3) The normal situation in (1) will not occur if the claimant is disqualified by nonmonetary denial or penalty for what would have been the waiting week or the usual first compensable week. In either of these situations, no payment for a first compensable week is reported on the 9050 report; instead, the first week paid is a continued week and reported on the 9051 report. \
 - 4) If the waiting-week denial is later reversed on appeal, it may be either paid (if the claimant had already served a waiting week) or used for waiting week credit. If it is paid, it becomes a payment for the first compensable week.
 - 5) In summary, in waiting week states, if the first week in the series is credited as a waiting week, the second week is the first compensable week. If any other week is credited as a waiting week, the very first week in the claim series becomes the first compensable week unless the claimant had excessive earnings.

The table below provides instructions for determining which weeks would be reported as first compensable weeks (for first payment time lapse) and continued weeks (for continued weeks time lapse) under some common scenarios in waiting week and non-waiting week states.

Scenarios for Waiting Week States						
#	Scenarios	Claimed Weeks				
	Scenarios for reporting paid weeks time lapse. Payments for weeks reversed on appeal shown in parenthesis	Week 1	Week 2	Week 3	Week 4	Week 5
1	No Issue	WW	P-9050	P-9051	P-9051	P-9051
2	Week 1 denied; not appealed. No first compensable week will ever be reported.	D	WW	P-9051	P-9051	P-9051
3	Week 1 denied; reversed on appeal after claimant served waiting week in week 2. Week 1 reported as first compensable week after initial denial is reversed.	D (r-9050)	WW	P-9051	P-9051	P-9051
4	All 4 weeks denied; no weeks allowed. Claimant returned to work in week 5 before receiving any payments. Denials later reversed on appeal. Week 1 is ww; week 2 is first compensable.	D (r-WW)	D (r-9050)	D (r-9051)	D (r-9051)	NC
5	Week 1 not considered a week claimed because claimant had excess earnings. Claims series begins in week 2.	No WC; ex-earn	WW	P-9050	P-9051	P-9051

Scenarios for Non-Waiting Week States						
#	Scenarios	Claimed Weeks				
	Scenarios for reporting paid weeks time lapse. Payments for weeks reversed on appeal shown in parenthesis	Week 1	Week 2	Week 3	Week 4	Week 5
1	No issue	P-9050	P-9051	P-9051	P-9051	P-9051
2	Week 1 denied; not appealed. No first compensable week will ever be reported.	D	P-9051	P-9051	P-9051	P-9051
3	Week 1 denied; reversed on appeal. Week 1 reported as first compensable week after initial denial is reversed.	D (r-9050)	P-9051	P-9051	P-9051	P-9051
4	All 4 weeks denied; no weeks allowed. Claimant returned to work in week 5 before receiving any payments. Denials later reversed on appeal. Week 1 is first compensable.	D (r-9050)	D (r-9051)	D (r-9051)	D (r-9051)	NC
5	Week 1 not considered a week claimed because claimant had excess earnings. Claims series begins in week 2.	No WC; ex-earn	P-9050	P-9051	P-9051	P-9051

Above Tables Legend	
WW	Waiting Week
WC	Week Claimed
P	Paid Week
D	Denied Week because of Nonmonetary Issue
R	Week Reversed on Appeal
NC	Not Claimed

Table A.4.1
Population 4 Subpopulations
Payments/Weeks Compensated
Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
FIRST PAYMENTS (4.1 through 4.16) 1) Random sample: 60 or 200; 2) Supplemental sample--missing strata; 3) Supplemental sample--outliers																	
4.1	5159B-301 (14-15) 5159B-302 (14-15) 9050-AII-C2	Required	Required	Regular UI	UI Only	Intrastate	First Payment	Total	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required
4.2	5159B-301 (14-16) 5159B-302 (14-16) 9050-AII-C6	Required	Required	Regular UI	UI Only	Interstate	First Payment	Total	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required
4.3	5159B-301 (14, 15, 17) 5159B-302 (14, 15, 17) 9050-AII-C2	Required	Required	Regular UI	Joint UI/Federal	Intrastate	First Payment	Total	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required
4.4	5159B-301 (14-17) 5159B-302 (14-17) 9050-AII-C6	Required	Required	Regular UI	Joint UI/Federal	Interstate	First Payment	Total	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required
4.5	5159B-301 (17-18) 5159B-302 (17-18) 9050-AII-C3	Required	Required	Regular UI	UCFE Only or UCFE/UCX	Intrastate	First Payment	Total	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCFE/UCX	Must be blank or 0	Must be blank or 0	Required	Required

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
4.6	5159B-301 (17-18) 5159B-302 (17-18) 9050-AII-C7	Required	Required	Regular UI	UCFE Only or UCFE/ UCX	Interstate	First Payment	Total	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCFE/ UCX	Must be blank or 0	Must be blank or 0	Required	Required
4.7	5159B-301 (17, 19) 5159B-302 (17, 19) 9050-AII-C4	Required	Required	Regular UI	UCX Only	Intrastate	First Payment	Total	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required
4.8	5159B-301 (17, 19) 5159B-302 (17, 19) 9050-AII-C8	Required	Required	Regular UI	UCX Only	Interstate	First Payment	Total	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required
4.9	5159B-301-14 5159B-302-14 9050-AII-C2 9050-Part-C2	Required	Required	Regular UI	UI Only	Intrastate	First Payment	Partial	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required
4.10	5159B-301 (14, 16) 5159B-302 (14, 16) 9050-Part-C6	Required	Required	Regular UI	UI Only	Interstate	First Payment	Partial	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required
4.11	5159B-301 (14, 17) 5159B-302 (14, 17) 9050-AII-C2 9050-Part-C2	Required	Required	Regular UI	Joint UI/Federal	Intrastate	First Payment	Partial	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required
4.12	5159B-301 (14, 16, 17) 5159B-302 (14, 16, 17) 9050-Part-C6	Required	Required	Regular UI	Joint UI/Federal	Interstate	First Payment	Partial	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
4.13	5159B-301 (17-18) 5159B-302 (17-18) 9050-Part-C3	Required	Required	Regular UI	UCFE Only or UCFE/ UCX	Intrastate	First Payment	Partial	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCX/ UCX	Must be blank or 0	Must be blank or 0	Required	Required
4.14	5159B-301 (17-18) 5159B-302 (17-18) 9050-Part-C7	Required	Required	Regular UI	UCFE Only or UCFE/ UCX	Interstate	First Payment	Partial	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCX/ UCX	Must be blank or 0	Must be blank or 0	Required	Required
4.15	5159B-301 (17, 19) 5159B-302 (17, 19) 9050-Part-C4	Required	Required	Regular UI	UCX Only	Intrastate	First Payment	Partial	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required
4.16	5159B-301 (17, 19) 5159B-302 (17, 19) 9050-Part-C8	Required	Required	Regular UI	UCX Only	Interstate	First Payment	Partial	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required

^aEither the UCFE or UCX amount (or both) must be entered.

NOTE: For Joint Claims, Column 11 represents the UI portion of the payment, and Columns 12 and 13 represent the Federal portion of the payment.

The term supplement includes supplemental payments, partial offset payments, and negative adjustments. Observations reported in all populations of first and continued payments (Column 3) will be sorted by time lapse days in ascending order and subtotaled by time lapse categories. Observations reported in all populations of supplemental payments will be sorted by SSN.

ETA 361, Appendix A, Population 4 Notes – First Payments

The First Payment time lapse performance measure (as reported on the ETA 9050 report) uses a different definition of first payment than the definition of first payment used on the ETA 5159 report. The measure in the ETA 9050 uses the first compensable week rather than the first week compensated.

First payments are payments for the first compensable week in the benefit year after the waiting week where there were no excessive earnings. Because it is determined by the week paid, it is possible that the first payment date could fall after other payments have been made on the claim.

The ETA 5159 counts, which are not currently validated, use the first week compensated, i.e. the earliest payment date on the claim.

Data Element: Type of Compensation

Value: First Payment

Module 3

Step 10. Types of Compensation

Sub Step A. First Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that there is a first payment indicator for the payment being validated.

Rule 2: This rule validates that the week paid is the first compensable week by ensuring that it was not a waiting week.

Rule 3: This rule validates that the week paid is the first claimed week in the benefit year that is not the waiting period--if applicable--where the claimant did not have excessive earnings.

Other Information, Data Elements and Values for Reporting and Validating First Payments

- Relationship between Reports and Subpopulations in Population 4
- Data Element: SSN
- Data Element: Check Number Unique Payment ID
- Data Element: Type of UI Program
 - Value: Regular UI
- Data Element: Program Type
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim
- Data Element: Intrastate/Interstate
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: Type of Compensation
 - Record Layout: Type of Compensation
- Data Element: Partial/Total Weeks of Unemployment
 - Value: Total Payment
 - Value: Partial Payment
 - Record Layout: Partial/Total Weeks of Employment
- Data Element: Earnings
- Data Element: Weekly Benefit Amount (WBA)
- Data Element: UI Amount
- Data Element: UCFE Amount
- Data Element: UCX Amount
- Data Element: CWC Amount
- Data Element: Self-Employment Amount
- Data Element: Week-Ending Date
- Data Element: Mail Date
- Data Element: Time Lapse

Continued Payments

Definition: Types of Compensation – Continued (Weeks) Payment

Continued Weeks Compensated: Payments for weeks of unemployment subsequent to the payment for the first compensable week in a benefit year.

Includes total, partial and part-total payments, full or partial offsets to satisfy an outstanding overpayment, a Child Support Intercept order or a Food Stamp overissuance, and payments resulting from the reversal of a single- or multclaimant adjudication by a lower or higher authority appeal decision or by a court decision, and retroactive payment for a compensable waiting period. (ETA 401, Sec V, Ch 2)

Excludes all adjustment payments for a previously paid week.

ETA 361, Appendix A, Populations 4.17 – 4.32 – Continued Payments

Table A.4.1
 Population 4 Subpopulations
 Payments/Weeks Compensated
 Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
CONTINUED TOTAL PAYMENTS (4.17 through 4.24) 1) Supplemental sample--outliers																	
4.17	5159B-301 (14-15) 5159B-302 (14-15) 9051-AII-C2	Required	Required	Regular UI	UI Only	Intrastate	Continued Payment	Total	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required
4.18	5159B-301 (14-16) 5159B-302 (14-16) 9051-AII-C6	Required	Required	Regular UI	UI Only	Interstate	Continued Payment	Total	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required
4.19	5159B-301 (14, 15, 17) 5159B-302 (14, 15, 17) 9051-AII-C2	Required	Required	Regular UI	Joint UI/Federal	Intrastate	Continued Payment	Total	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required
4.20	5159B-301 (14-17) 5159B-302 (14-17) 9051-AII-C6	Required	Required	Regular UI	Joint UI/Federal	Interstate	Continued Payment	Total	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
4.21	5159B-301 (17-18) 5159B-302 (17-18) 9051-AII-C3	Required	Required	Regular UI	UCFE Only or UCFE/UCX	Intrastate	Continued Payment	Total	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCFE/UCX	Must be blank or 0	Must be blank or 0	Required	Required
4.22	5159B-301 (17-18) 5159B-302 (17-18) 9051-AII-C7	Required	Required	Regular UI	UCFE Only or UCFE/UCX	Interstate	Continued Payment	Total	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCFE/UCX	Must be blank or 0	Must be blank or 0	Required	Required
4.23	5159B-301 (17, 19) 5159B-302 (17, 19) 9051-AII-C4	Required	Required	Regular UI	UCX Only	Intrastate	Continued Payment	Total	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required
4.24	5159B-301 (17, 19) 5159B-302 (17, 19) 9051-AII-C8	Required	Required	Regular UI	UCX Only	Interstate	Continued Payment	Total	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required
CONTINUED PARTIAL PAYMENTS (4.25 through 4.32)																	
1) Random sample: 30 or 100																	
4.25	5159B-301-14 5159B-302-14 9051-Part-C2	Required	Required	Regular UI	UI Only	Intrastate	Continued Payment	Partial	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required
4.26	5159B-301 (14, 16) 5159B-302 (14, 16) 9051-Part-C6	Required	Required	Regular UI	UI Only	Interstate	Continued Payment	Partial	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Required	Required

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
4.27	5159B-301 (14, 17) 5159B-302 (14, 17) 9051-Part-C2	Required	Required	Regular UI	Joint UI/Federal	Intrastate	Continued Payment	Partial	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required
4.28	5159B-301 (14, 16, 17) 5159B-302 (14, 16, 17) 9051-Part-C6	Required	Required	Regular UI	Joint UI/Federal	Interstate	Continued Payment	Partial	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0	Required	Required
4.29	5159B-301 (17-18) 5159B-302 (17-18) 9051-Part-C3	Required	Required	Regular UI	UCFE Only or UCFE/UCX	Intrastate	Continued Payment	Partial	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCFE/UCX	Must be blank or 0	Must be blank or 0	Required	Required
4.30	5159B-301 (17-18) 5159B-302 (17-18) 9051-Part-C7	Required	Required	Regular UI	UCFE Only or UCFE/UCX	Interstate	Continued Payment	Partial	≥ 0	> 0	Must be blank or 0	> 0	0 for UCFE only, > 0 for UCFE/UCX	Must be blank or 0	Must be blank or 0	Required	Required
4.31	5159B-301 (17, 19) 5159B-302 (17, 19) 9051-Part-C4	Required	Required	Regular UI	UCX Only	Intrastate	Continued Payment	Partial	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required
4.32	5159B-301 (17, 19) 5159B-302 (17, 19) 9051-Part-C8	Required	Required	Regular UI	UCX Only	Interstate	Continued Payment	Partial	≥ 0	> 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0	Required	Required

^aEither the UCFE or UCX amount (or both) must be entered.

NOTE: For Joint Claims, Column 11 represents the UI portion of the payment, and Columns 12 and 13 represent the Federal portion of the payment.

The term supplement includes supplemental payments, partial offset payments, and negative adjustments. Observations reported in all populations of first and continued payments (Column 3) will be sorted by time lapse days in ascending order and subtotaled by time lapse categories. Observations reported in all populations of supplemental payments will be sorted by SSN.

Data Element: Types of Compensation

Value: Continued Payment

Module 3

Step 10. Types of Compensation

Sub Step B. Continued (Weeks) Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that there is a continued payment indicator for the payment being validated.

Rule 2: This rule validates that the week paid is not the first potentially compensable week.

Other Data Elements and Values for Reporting and Validating Continued Payments

- [Relationship between Reports and Subpopulations in Population 4](#)
- [ETA 361, Appendix A, Population 4 Notes](#)
- Data Element: [SSN](#)
- Data Element: [Check Number Unique Payment ID](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: [Type of Compensation](#)
 - Record Layout: Type of Compensation
- Data Element: [Partial/Total Weeks of Unemployment](#)
 - Value: Total Payment
 - Value: Partial Payment
 - Record Layout: Partial/Total Weeks of Employment
- Data Element: [Earnings](#)
- Data Element: [Weekly Benefit Amount \(WBA\)](#)
- Data Element: [UI Amount](#)
- Data Element: [UCFE Amount](#)
- Data Element: [UCX Amount](#)
- Data Element: [CWC Amount](#)
- Data Element: [Self-Employment Amount](#)
- Data Element: [Mail Date](#)

Adjusted Payments

Definition: Types of Compensation – Adjusted Payments:

Supplemental Payment: An adjustment payment issued to correct a previous underpayment.

Partial Overpayment Offsets: If the benefits amount paid, separated by a partial overpayment offset, is stored as a separate transaction record in the State's benefits system or statistical files, these records must be treated as adjusted payments. They are identical to supplemental payments in that they represent amounts compensated only.

ETA 361, Appendix A, Populations 4.33 – 4.42 – Adjusted Payments

Table A.4.1
 Population 4 Subpopulations
 Payments/Weeks Compensated
 Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
ADJUSTED PAYMENTS (4.33 through 4.42) 1) Supplemental sample--outliers by dollars																	
4.33	5159B-302 (14-15)	Required	Required	Regular UI	UI Only	Intrastate	Adjustment	Total	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0		Required
4.34	5159B-302 (14-16)	Required	Required	Regular UI	UI Only	Interstate	Adjustment	Total	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0		Required
4.35	5159B-302 (14, 15, 17)	Required	Required	Regular UI	Joint UI/Federal	Intrastate	Adjustment	Total	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0		Required
4.36	5159B-302 (14-17)	Required	Required	Regular UI	Joint UI/Federal	Interstate	Adjustment	Total	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0		Required
4.37	5159B-302 (17-18)	Required	Required	Regular UI	UCFE Only or UCFE/UCX		Adjustment				Must be blank or 0	> 0	0 for UCFE only, > 0 for UCFE/UCX	Must be blank or 0	Must be blank or 0		Required
4.38	5159B-302 (17, 19)	Required	Required	Regular UI	UCX Only		Adjustment				Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Must be blank or 0		Required

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
4.39	5159B-302-14	Required	Required	Regular UI	UI Only	Intrastate	Adjustment	Partial	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0		Required
4.40	5159B-302 (14, 16)	Required	Required	Regular UI	UI Only	Interstate	Adjustment	Partial	≥ 0	> 0	> 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0		Required
4.41	5159B-302 (14, 17)	Required	Required	Regular UI	Joint UI/Federal	Intrastate	Adjustment	Partial	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0		Required
4.42	5159B-302 (14, 16, 17)	Required	Required	Regular UI	Joint UI/Federal	Interstate	Adjustment	Partial	≥ 0	> 0	> 0	> 0 if Col. 13 = 0 ^a	> 0 if Col. 12 = 0 ^a	Must be blank or 0	Must be blank or 0		Required

NOTE: For Joint Claims, Column 11 represents the UI portion of the payment, and Columns 12 and 13 represent the Federal portion of the payment.

The term supplement includes supplemental payments, partial offset payments, and negative adjustments. Observations reported in all populations of first and continued payments (Column 3) will be sorted by time lapse days in ascending order and subtotaled by time lapse categories. Observations reported in all populations of supplemental payments will be sorted by SSN.

ETA 361, Appendix A, Population 4 Notes – Adjusted Payments

Adjusted Payments (Subpopulations 4.33 – 4.42): These are payments for weeks that have previously been compensated. The initial payment for the week is counted as a week compensated, and only additional payments for the same week are considered adjustments. These are reported on row 302 (section B) of the ETA 5159 only. Only dollar amounts are included. These payments are not counted as weeks compensated in row 301 (section B) of the ETA 5159 nor are they included on the ETA 9050 or ETA 9051 reports.

Data Element: Types of Compensation

Value: Adjusted Payment

Module 3

Step 10. Types of Compensation

Sub Step F. Adjusted Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR SUPPLEMENTAL PAYMENTS:

Rule 1: This rule validates that the payment made was an adjustment to a previously paid week by checking an indicator.

Rule 2: This rule validates that the payment made was an adjustment to a previously paid week by ensuring that the payment was for a week which was already paid.

FOR PARTIAL OFFSETS:

Rule 3: For States that carry a separate record for amounts compensated for partial offsets (where the benefit amount associated with the payment does not include the amount applied as an overpayment offset) this rule validates that the offset payment was a partial offset by checking an indicator.

Other Data Elements and Values for Reporting and Validating Adjusted Payments

- [Relationship between Reports and Subpopulations in Population 4](#)
- Data Element: [SSN](#)
- Data Element: [Check Number Unique Payment ID](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: [Type of Compensation](#)
 - Record Layout: Type of Compensation
- Data Element: [Partial/Total Weeks of Unemployment](#)
 - Value: Total Payment
 - Value: Partial Payment
 - Record Layout: Partial/Total Weeks of Employment
- Data Element: [Earnings](#)
- Data Element: [Weekly Benefit Amount \(WBA\)](#)
- Data Element: [UI Amount](#)
- Data Element: [UCFE Amount](#)
- Data Element: [UCX Amount](#)
- Data Element: [CWC Amount](#)
- Data Element: [Self-Employment Amount](#)
- Data Element: [Week-Ending Date](#)
- Data Element: [Mail Date](#)
- Data Element: [Time Lapse](#)

Self-Employment Payments

Definition: Program Type – Self-Employment Program

Count claimants who have been accepted into a self-employment program as defined under P.L. 103-182, North American Free Trade Agreement Implementation Act. Claimant activity will be counted as part of the regular program. Counts of those individuals entering the Self-Employment Assistance Program, the number of weeks compensated while in that program, and the benefits paid for those weeks will also be shown separately. (ETA 401 (5159), Sec I, Ch 6)

ETA 361, Appendix A, Population 4.43 – Self-Employment Payments

Table A.4.1
Population 4 Subpopulations
Payments/Weeks Compensated
Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
SELF-EMPLOYMENT PAYMENTS (4.43) 1) Minimum sample: First two cases																	
4.43	5159B-301-20 5159B-302-20	Required	Required	Regular UI	Self-employ	Intrastate or Interstate	Self-Employment				Must be blank or 0	Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0		Required

NOTE: For Joint Claims, Column 11 represents the UI portion of the payment, and Columns 12 and 13 represent the Federal portion of the payment.

The term supplement includes supplemental payments, partial offset payments, and negative adjustments. Observations reported in all populations of first and continued payments (Column 3) will be sorted by time lapse days in ascending order and subtotaled by time lapse categories. Observations reported in all populations of supplemental payments will be sorted by SSN.

ETA 361, Appendix A, Population 4 Notes – Self-Employment

Self-employment: These payments are reported twice. They are reported as self-employment and also as part of the regular program; therefore, they must be extracted twice.

Data Element: Program Type

Value: Self-Employment Program

Module 3

Step 4. Program Type

Sub Step E. Self-Employment Program

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the payment was made under the self-employment payment by checking an indicator.

Record Layout: Program Type

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
5	Program Type	UI: Step 4A - Rule 1 UCFE: Step 4B - Rule 1 UCX Only: Step 4C - Rule 1 UI/Federal: Step 4D - Rule 1 Self-Employment: Step 4E - Rule 1	Type of Program is UI only, UCFE, UCFE/UCX, UCX only, Joint UI/Federal, or Self-Employment.	Text - UI Only UCFE Only UCFE/UCX UCX Only Joint UI/Federal Self-employ (Required except optional for CWC payments)	CHAR (30)	

CWC First Payment

ETA 401 Handbook – Combined Wage Claim (CWC) Activity

General Reporting Instructions.

Combined Wage Claim (CWC) Activity. Claims taken and payment made under the Interstate Arrangement for Combining Employment and Wages are reported as either intrastate or interstate and are reported only by the paying (liable) state. Report under intrastate activities any CWCs filed in the paying state, including CWCs filed by commuters whether in-person or through remote claims filing procedures. Report under interstate activities CWCs received from an agent state or, interstate, CWCs filed directly with the paying state through liable state remote claims filing procedures. (ETA 401 Handbook, Section I-6-55)

Definition: Types of Compensation – CWC First Payment

Week Ending Date of the Week Paid for CWC First Payment: The first benefit payment for the earliest compensable week in the benefit year.

ETA 361, Appendix A, Populations 4.44 – 4.45 – First CWC Payment

Table A.4.1
 Population 4 Subpopulations
 Payments/Weeks Compensated
 Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
CWC FIRST PAYMENTS (4.44 through 4.45) 1) Random sample: 30 or 100																	
4.44	586A-101 (4-5) 586B Column 8 (Total)	Required	Required	Regular UI		Intrastate CWC	First Payment				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Required	Required
4.45	586A-102 (4-5) 586B Column 9 (Total)	Required	Required	Regular UI		Interstate CWC	First Payment				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0	Required	Required

Data Element: Types of Compensation

Value: CWC First Payment

Module 3

Step 10. Types of Compensation

Sub Step A. First Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR CWC FIRST PAYMENTS:

Rule 4: This rule validates that the payment was the first payment issued to the claimant after wages were combined (including adjustments).

Other Data Elements and Values for Reporting and Validating CWC First Payments

- Data Element: Type of Compensation
- Relationship between Reports and Subpopulations in Population 4
- Data Element: SSN
- Data Element: Check Number Unique Payment ID
- Data Element: Type of UI Program
 - Value: Regular UI
- Data Element: Program Type
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim
- Data Element: Intrastate/Interstate
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: Type of Compensation
 - Record Layout: Type of Compensation
- Data Element: UI Amount
- Data Element: UCFE Amount
- Data Element: UCX Amount
- Data Element: CWC Amount
- Data Element: Self-Employment Amount
- Data Element: Mail Date
- Data Element: Time Lapse

CWC Weeks Compensated – Not First Payment

ETA 361, Appendix A, Populations 4.46 – 4.47 – CWC – Not First Payment

Table A.4.1
 Population 4 Subpopulations
 Payments/Weeks Compensated
 Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
CWC WEEKS COMPENSATED/NOT FIRST PAYMENTS (4.46 through 4.47) 1) Minimum sample: First two cases from each subpopulation																	
4.46	586A-101 (4-5)	Required	Required	Regular UI		Intrastate CWC	Weeks Compensated Not First Payments*				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0		Required
4.47	586A-102 (4-5)	Required	Required	Regular UI		Interstate CWC	Weeks Compensated Not First Payments*				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0		Required

ETA 361, Appendix A, Population 4 Notes

CWC prior weeks compensated (Subpopulations 4.50 – 4.51): The software allows the state to check the integrity of the files by using date ranges. For example, the ETA 5159 is a monthly report, and the dates must be within the month being validated. CWC prior weeks compensated payment dates will not fall during the same month being validated, but the software will accept and count these in subpopulations 4.50 and 4.51.

Data Element: Types of Compensation – CWC Continued (Weeks) Payment

Definition

CWC Continued Payments: Payments made under the Combined Wage Claim Program which were not for the first compensable week in the benefit year.

Module 3

Step 10. Types of Compensation

Sub Step B. Continued (Weeks) Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR CWC CONTINUED PAYMENTS:

Rule 3: This rule validates that the payment not the first payment issued to the claimant after wages were combined (including adjustments).

Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated – Not First Payment

- Relationship between Reports and Subpopulations in Population 4
- Data Element: SSN
- Data Element: Check Number Unique Payment ID
- Data Element: Type of UI Program
 - Value: Regular UI
- Data Element: Intrastate/Interstate
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: Type of Compensation
 - Record Layout: Type of Compensation
- Data Element: UI Amount
- Data Element: UCFE Amount
- Data Element: UCX Amount
- Data Element: CWC Amount
- Data Element: Self-Employment Amount
- Data Element: Mail Date

CWC Adjusted Payments

ETA 401 Handbook – Combined Wage Claim (CWC) Activity

General Reporting Instructions.

Combined Wage Claim (CWC) Activity. Claims taken and payment made under the Interstate Arrangement for Combining Employment and Wages are reported as either intrastate or interstate and are reported only by the paying (liable) state. Report under intrastate activities any CWCs filed in the paying state, including CWCs filed by commuters whether in-person or through remote claims filing procedures. Report under interstate activities CWCs received from an agent state or, interstate, CWCs filed directly with the paying state through liable state remote claims filing procedures. (ETA 401 Handbook, Section I-6-55)

Definition: Types of Compensation – Adjusted Payments:

Supplemental Payment: An adjustment payment issued to correct a previous underpayment.

Partial Overpayment Offsets: If the benefits amount paid, separated by a partial overpayment offset, is stored as a separate transaction record in the State's benefits system or statistical files, these records must be treated as adjusted payments. They are identical to supplemental payments in that they represent amounts compensated only.

ETA 361, Appendix A, Populations 4.48 – 4.49 – Adjusted Payments

Table A.4.1
 Population 4 Subpopulations
 Payments/Weeks Compensated
 Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
CWC ADJUSTED PAYMENTS (4.48 through 4.49) 1) Minimum sample: First two cases from each subpopulation																	
4.48	586A-101-5	Required	Required	Regular UI		Intrastate CWC	Adjustment				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0		Required
4.49	586A-102-5	Required	Required	Regular UI		Interstate CWC	Adjustment				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0		Required

Data Element: Types of Compensation – Adjusted Payment

Module 3

Step 10. Types of Compensation

Sub Step F. Adjusted Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR SUPPLEMENTAL PAYMENTS:

Rule 1: This rule validates that the payment made was an adjustment to a previously paid week by checking an indicator.

Rule 2: This rule validates that the payment made was an adjustment to a previously paid week by ensuring that the payment was for a week which was already paid.

FOR PARTIAL OFFSETS:

Rule 3: For States that carry a separate record for amounts compensated for partial offsets (where the benefit amount associated with the payment does not include the amount applied as an overpayment offset) this rule validates that the offset payment was a partial offset by checking an indicator.

Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments

- Relationship between Reports and Subpopulations in Population 4
- Data Element: SSN
- Data Element: Check Number Unique Payment ID
- Data Element: Type of UI Program
 - Value: Regular UI
- Data Element: Intrastate/Interstate
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: Type of Compensation
 - Record Layout: Type of Compensation
- Data Element: UI Amount
- Data Element: UCFE Amount
- Data Element: UCX Amount
- Data Element: CWC Amount
- Data Element: Self-Employment Amount
- Data Element: Mail Date
- Data Element: Time Lapse

CWC – Prior Quarter Payments

Definition – Prior Weeks Compensated

Prior Weeks Compensated: The count for prior weeks compensated is the total of all weeks paid in quarters prior to the reporting quarter and not previously reported on this report, i.e., weeks paid in prior quarters prior to the combined wage monetary determination. This count is to be reported in the quarter during which a combined wage monetary determination is issued. (ETA 401 (586), Sec IV, Ch 3)

ETA 361, Appendix A, Populations 4.50 – 4.51 – CWC – Prior Quarter

Table A.4.1
 Population 4 Subpopulations
 Payments/Weeks Compensated
 Payment Mail Date Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2A) Type of UI Program	5 (Step 4) Program Type	6 (Step 5) Intrastate/ Interstate	7 (Step 10A) (Step 10B) (Step 10F) (Step 10G) Type of Compensation	8 (Step 10D) (Step 10E) Partial/ Total Weeks of Unemployment	9 (Step 10D) (Rule 2) (Step 10E) (Rule 2) Earnings	10 (Step 10D) (Rule 3) (Step 10E) (Rule 3) WBA	11 (Step 12A) UI Amount	12 (Step 12B) UCFE Amount	13 (Step 12C) UCX Amount	14 (Step 12D) CWC Amount	15 (Step 12E) Self-Employment Amount	16 (Step 13) Week Ending Date	17 (Step 14) Mail Date
CWC ADJUSTED PAYMENTS (4.48 through 4.49) 1) Minimum sample: First two cases from each subpopulation																	
4.50	586A-101 (6-7)	Required	Required	Regular UI		Intrastate CWC	Prior Weeks Compensated				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0		Required
4.51	586A-102 (6-7)	Required	Required	Regular UI		Interstate CWC	Prior Weeks Compensated				Must be blank or 0	Must be blank or 0	Must be blank or 0	> 0	Must be blank or 0		Required

ETA 361, Appendix A, Population 4 Notes

4. **CWC prior weeks compensated (Subpopulations 4.50 – 4.51):** The software allows the state to check the integrity of the files by using date ranges. For example, the ETA 5159 is a monthly report, and the dates must be within the month being validated. CWC prior weeks compensated payment dates will not fall during the same month being validated, but the software will accept and count these in subpopulations 4.50 and 4.51.

Data Element: Types of Compensation – Prior Weeks Compensated

Module 3

Step 10. Types of Compensation

Sub Step G. Prior Weeks Compensated

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the payment made was a week compensated prior to the validated quarter (the quarter in which wages were combined).

Rule 2: This rule validates the wages were combined during the quarter being validated by checking the date of the first monetary determination which used wages from two different states.

Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter

- [Relationship between Reports and Subpopulations in Population 4](#)
- [ETA 361, Appendix A, Population 4 Notes](#)
- Data Element: [SSN](#)
- Data Element: [Check Number Unique Payment ID](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: [Type of Compensation](#)
 - Record Layout: Type of Compensation
- Data Element: [UI Amount](#)
- Data Element: [UCFE Amount](#)
- Data Element: [UCX Amount](#)
- Data Element: [CWC Amount](#)
- Data Element: [Self-Employment Amount](#)
- Data Element: [Mail Date](#)
- Data Element: [Time Lapse](#)

Other Information, Data Elements and Values for Reporting and Validating Payments

- [Relationship between Reports and Subpopulations in Population 4](#)
- [ETA 361, Appendix A, Population 4 Notes](#)
- Data Element: [SSN](#)
- Data Element: [Check Number Unique Payment ID](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate](#)
 - Value: Intrastate Claim
 - Value: Interstate Claim
 - Value: Intrastate CWC Claim
 - Value: Interstate CWC Claim
- Data Element: [Type of Compensation](#)
 - Record Layout: Type of Compensation
- Data Element: [Partial/Total Weeks of Unemployment](#)
 - Value: Total Payment
 - Value: Partial Payment
 - Record Layout: Partial/Total Weeks of Employment
- Data Element: [Earnings](#)
- Data Element: [Weekly Benefit Amount \(WBA\)](#)
- Data Element: [UI Amount](#)
- Data Element: [UCFE Amount](#)
- Data Element: [UCX Amount](#)
- Data Element: [CWC Amount](#)
- Data Element: [Self-Employment Amount](#)
- Data Element: [Week-Ending Date](#)
- Data Element: [Mail Date](#)
- Data Element: [Time Lapse](#)

Relationship between Reports and Subpopulations in Population 4

Table A.4.2
Relationship between ETA 9050, ETA 9051, and ETA 586B Payments Time Lapse and Subpopulations in Population 4

		Intrastate				Interstate			
		UI C2	UCFE C3	UCX C4	(586B- TOTAL) CWC (8)	UI C6	UCFE C7	UCX C8	(586B- TOTAL) CWC (9)
All First Payments	9050 (All)	1, 3, 9, and 11	5 and 13	7 and 15	44	2, 4, 10, and 12	6 and 14	8 and 16	45
Partial First Payments	9050 (Part)	9 and 11	13	15		10 and 12	14	16	
All Continued Payments	9051(All)	17, 19, 25, and 27	21 and 29	23 and 31		18, 20, 26, and 28	22 and 30	24 and 32	
Partial Continued Payments	9051(Part)	25 and 27	29	31		26 and 28	30	32	

Table A.4.3
Relationship between ETA 5159B Weeks Compensated and Subpopulations in Population 4

5159 Section B	State UI Program ^a			UCFE and UCX Programs			Self-employment All Programs (20)
	All Weeks Compensated (14)	Total Unemployment (15)	Interstate (16)	Total (17)	UCFE - No UI (18)	UCX Only (19)	
Number 301	1 - 4 9 - 12 17 - 20 25 - 28	1 - 4 17 - 20	2, 4, 10, 12 18, 20, 26, 28	5 - 8 13 - 16 21 - 24 29 - 32	5 - 6 13 - 14 21 - 22 29 - 30	7 - 8 15 - 16 23 - 24 31 - 32	43
Amount 302	Column 10 1 - 4 9 - 12 17 - 20 25 - 28 33 - 36 39 - 42	Column 10 1 - 4 17 - 20 33 - 36	Column 10 2, 4, 10, 12 18, 20, 26, 28 34, 36, 40, 42	Columns 12 & 13 3 - 8 11 - 16 19 - 24 27 - 32 35 - 38 41 - 42	Columns 12 & 13 ^b 5 - 6 13 - 14 21 - 22 29 - 30 37	Column 13 ^c 7 - 8 15 - 16 23 - 24 31 - 32 38	43

^aIf joint claim, then only includes the UI share of the payment. ^bIncludes all payments from UCFE and the UCX portion of UCFE/UCX funds.

^cIncludes all payments from UCX funds.

Table A.4.4
Relationship between ETA 586A Payment Activity and Subpopulations in Population 4

State UI	Line No.	Weeks Compensated (4)	Benefits Paid (5)	Prior Weeks Compensated (6)	Prior Benefits Paid (7)
Intrastate	101	44, 46	44, 46, 48	50	50
Interstate Received as Paying State	102	45, 47	45, 47, 49	51	51

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating CWC First Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated – Not First Payment](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

ETA 361, Appendix A, Population 4 Notes

*These values are abbreviated in the record layout data format specifications (see Appendix A of ETA Operations Guide 411) but are shown here in their entirety for informational purposes. They are referred to as “Continued Payment” in the record layout.

NOTE: For Joint Claims, Column 11 represents the UI portion of the payment, and Columns 12 and 13 represent the Federal portion of the payment.

The term supplement includes supplemental payments, partial offset payments, and negative adjustments. Observations reported in all populations of first and continued payments (Column 3) will be sorted by time lapse days in ascending order and subtotaled by time lapse categories. Observations reported in all populations of supplemental payments will be sorted by SSN.

Joint Payments: In situations where a payment for a joint claim is made that does not use funds from more than one program, *that* payment is not considered joint and should be reported as UI, UCFE, or UCX.

Timing: The extract file must be built with a quarter’s worth of CWC data (Subpopulations 4.44 to 4.51) to validate the quarterly CWC ETA 586 report. The other payments data (subpopulations 4.1 – 4.43), to validate counts and dollars on the monthly 5159, 9050, and 9051 reports, must be for the last month of the quarter. When loading the file, use the period Start and End dates for the quarter to allow the validation counts to match the ETA 586 report. The software will retrieve the monthly counts for the last month of the quarter. Records labeled “CWC Prior Weeks Compensated” (subpopulations 4.50 and 4.51) will have payment dates prior to the quarter, but the software will allow those to import

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

Data Element: Type of Compensation

Record Layout: Type of Compensation

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
7	Type of Compensation	First: Step 10A - Rule 1 Continued: Step 10B - Rule 1 Adjustment: Step 10F - Rule 1 Prior Weeks Compensated: Step 10G - Rule 1	First Payment, Continued Payment, Adjustment, Self-Employment, Prior Weeks Compensated.	Text - First Payment Continued Payment Adjustment Self-Employment Prior Weeks Compensated (Required)	CHAR (50)	NOT NULL

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating CWC First Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

Data Element: Partial/Total Weeks of Unemployment

Value: Total Payment

Definition: Types of Compensation: Total Payment:

Total Payments: Payments for weeks of total unemployment in which the claimant received a full weekly benefit check that is not reduced as a result of wages, commissions, bonuses, tips or gratuities, back-pay awards, odd jobs, or self-employment income. (ETA 401, Sec IV, Ch 6)

Module 3

Step 10. Types of Compensation

Sub Step E. Total Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the payment made represents a week of total unemployment not reduced due to earnings by checking an indicator.

Rule 2: This rule validates that the payment made represents a week of total unemployment not reduced due to earnings by ensuring that earnings did not exceed the state limit.

Rule 3: This rule validates that the payment made represents a week of total unemployment not reduced due to earnings by ensuring that benefits were not reduced or that, if benefits were reduced, that other deductible income, or a partial overpayment offset was present.

Value: Partial Payment

Definition: Types of Compensation – Partial/Part-Total Payment

Partial/Part-Total Payments: Payments that are reduced from the claimant's weekly benefit amount as a result of wages, commissions, bonuses, tips or gratuities, back-pay awards, odd jobs, or self-employment income. (ETA 401 (9047), Sec IV, Ch 6)

Module 3

Step 10. Types of Compensation

Sub Step D. Partial/Part-Total Payment

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the payment was for a week of partial unemployment by checking an indicator.

Rule 2: This rule validates that the earnings reported by the claimant for the week exceeded the State limit for reducing benefits.

Rule 3: This rule validates that benefits were reduced for the selected payment by comparing the check amount to the WBA.

Record Layout: Partial/Total Weeks of Employment

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
8	Partial/Total Weeks of Unemployment	Partial: Step 10D - Rule 1 Total: Step 10E - Rule 1	Week of partial or total unemployment.	Text - Partial Total (Required except optional for UCFE only, UCFE/UCX, and UCX only adjustments, and for self-employment and CWC payments)	CHAR (20)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

Data Element: Earnings

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
9	Earnings	Step 10D - Rule 2 Step 10E - Rule 2	The earnings for the week claimed.	Number - 0000000.00 (Required except optional for UCFE only, UCFE/UCX, and UCX only adjustments, and self-employment and CWC payments)	DECIMAL (9,2)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

Data Element: Weekly Benefit Amount (WBA)

Record Layout: WBA

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
10	WBA	Step 10D - Rule 3 Step 10E - Rule 3	The weekly benefit allowance.	Number - 0000000.00 (Required except optional for UCFE only, UCFE/UCX, and UCX only adjustments, and self-employment and CWC payments)	DECIMAL (9,2)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

Data Element: UI Amount

Definition: Amount Compensated – UI Amount

Amount Compensated: The total amount of benefits paid from State Unemployment Funds and benefit amount adjustments paid for weeks reported during the reporting period.

1. The total amount paid for the month, for each week of total, part-total, or partial unemployment compensation.
2. The total amount paid for each week of total, part-total, or partial-week unemployment compensation that is reduced or increased for, respectively, an overpayment or underpayment made in one or more previous weeks.
3. The total amount paid for interstate payments issued by the liable State.

Includes: For the second definition, the amount paid regardless of whether the adjustment payment is made to a currently payable week or is paid by a separate check.

All Weeks Compensated: The number of State UI weeks compensated either wholly from State trust funds or partially from State trust funds and partially from Federal funds, i.e., joint claims or sharable regular. Include:

1. Each week compensated for total, part-total, or partial unemployment compensation.
2. Each week of total, part-total, or partial week compensated being reduced or increased for an overpayment or underpayment in one or more previous weeks. Do not include the count of weeks involved in the overpayment or underpayment adjustment or if such payment is made separately. However, the amount paid for such weeks should be included whether the adjusted payment was made to a currently payable week or paid by a separate check.
3. Each week compensated at a reduced amount due to income other than wages, as provided by State law. Count weeks of total offset.
4. Interstate payments issued by the liable State.

Exclude weeks to replace lost, stolen, damaged, or returned checks. (ETA 401 (5159), Sec I, Ch 6)

Amount Compensated: The amount of State trust funds and Federal funds (e.g., sharable regular) represented by the weeks compensated. Exclude amounts paid from UCFE and UCX programs. Include any adjustment payments issued to correct previous underpayments or overpayments. Exclude amounts reissued to replace lost, stolen, damaged, or returned checks. (ETA 401, Sec I, Ch 2)

Module 3

Step 12. Amount Compensated

Sub Step A. UI Amount Compensated

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the UI payment amount on the worksheet matches the amount compensated for regular UI (including offset and partial offsets) on the system.

Record Layout: UI Amount

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
11	UI Amount	Step 12A - Rule 1	The amount of benefits paid from State Unemployment Funds.	Number - 0000000.00 (Required except must be blank or 0 for UCFE only, UCFE/UCX, UCX only, self-employment, and CWC payments)	DECIMAL (9,2)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating CWC First Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated – Not First Payment](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

Data Element: UCFE Amount

Definition: Amount Compensated – UCFE Amount

Amount Compensated: The total amount of benefits paid from Federal funds and benefit amount adjustments paid for weeks reported during the reporting period.

1. The total amount paid for the month, for each week of total, part-total, or partial unemployment compensation.
2. The total amount paid for each week of total, part-total, or partial-week unemployment compensation that is reduced or increased for, respectively, an overpayment or underpayment made in one or more previous weeks.
3. The total amount paid for interstate payments issued by the liable State.

Includes: For the second definition, the amount paid regardless of whether the adjustment payment is made to a currently payable week or is paid by a separate check.

Weeks Compensated, UCFE- No UI: Weeks compensated wholly from the UCFE program or UCFE/UCX funds, and wholly or as a result of Federal civilian service in conjunction with Federal military service. Does not include weeks compensated partially from State trust funds and partially from UCFE or UCX program funds (joint claims). Excludes weeks and amounts reissued to replace lost, stolen, damaged, or returned checks. (ETA 401, Sec I, Ch 6)

Module 3

Step 12. Amount Compensated

Sub Step B. UCFE Amount Compensated

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the UCFE payment amount on the worksheet matches the amount compensated for UCFE on the system.

Record Layout: UCFE Amount

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
12	UCFE Amount	Step 12B - Rule 1	The amount of benefits paid from Federal Funds.	Number - 0000000.00 (Required for UCFE only, Joint UI/Federal, and UCFE/UCX payments; must be blank or 0 for all other payment types)	DECIMAL (9,2)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating CWC First Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated – Not First Payment](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

Data Element: UCX Amount

Definition: Amount Compensated – UCX Amount

Amount Compensated: The total amount of benefits paid from military funds and benefit amount adjustments paid for weeks reported during the reporting period.

1. The total amount paid for the month, for each week of total, part-total, or partial unemployment compensation.
2. The total amount paid for interstate payments issued by the liable State.

Weeks Compensated, UCX Only: The weeks compensated wholly from UCX program funds and solely as the result of military service. Excludes weeks compensated partially from State trust funds and partially from UCX program funds. (ETA 401, Sec I, Ch 6)

Module 3

Step 12. Amount Compensated

Sub Step C. UCX Amount Compensated

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the UCX payment amount on the worksheet matches the amount compensated for UCX on the system.

Record Layout: UCX Amount

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
13	UCX Amount	Step 12C - Rule 1	The amount of benefits paid from military funds.	Number - 0000000.00 (Required for UCX only, Joint UI/Federal, and UCFE/UCX payments; must be blank or 0 for all other payment types)	DECIMAL (9,2)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating CWC First Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated – Not First Payment](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

Data Element: CWC Amount

Definition: Amount Compensated – CWC Amount Compensated

Prior Benefits Paid: The amount of prior benefits paid is the total of all benefits paid to all combined wage claimants in quarters prior to reporting quarter which related to the weeks compensated. (ETA 401, pp. IV-1-7, 8)

Weeks Compensated: The count for weeks compensated is the total of all weeks paid to all combined wage claimants in the quarter to which the report relates, including weeks paid during the quarter prior to the combined wage monetary determination. However, weeks previously reported on this report for which adjustment payments are being issued are not to be again reported. (ETA 401 (586), Sec IV, Ch 3)

Module 3

Step 12. Amount Compensated

Sub Step D. CWC Amount Compensated

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the CWC payment amount on the worksheet matches the amount compensated for CWC on the system.

Record Layout: CWC Amount

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
14	CWC Amount	Step 12D - Rule 1	The amount of benefits paid for a combined wage claim payment.	Number - 0000000.00 (Required for all CWC payments; must be blank or 0 for all other payment types)	DECIMAL (9,2)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating CWC First Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated – Not First Payment](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

Data Element: Self-Employment Amount Compensated

Definition: Amount Compensated – Self-Employment Amount Compensated

Amounts Compensated Under the Self-Employment Assistance Program: Count claimants who have been accepted into a self-employment program as defined under P.L. 103-182, North American Free Trade Agreement Implementation Act. Claimant activity will be counted as part of the regular program. Counts of those individuals entering the Self- Employment Assistance Program, the number of weeks compensated while in that program, and the benefits paid for those weeks will also be shown separately. (ETA 401, Sec I, Ch 6)

This amount represents the total dollars paid for all weeks compensated under the SEA program

Module 3

Step 12. Amount Compensated

Sub Step E. Self-Employment Amount Compensated

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the self-employment payment amount on the worksheet matches the amount compensated for self-employment on the system.

Record Layout: Self-Employment Amount

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
15	Self-Employment Amount	Step 12E - Rule 1	The total dollars paid under the SEA program.	Number - 0000000.00 (Required for self-employment payments; must be blank or 0 for all other payment types)	DECIMAL (9,2)	

[Return to Other Data Elements and Values for Reporting and Validating First Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Continued Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Data Elements and Values for Reporting and Validating CWC First Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Weeks Compensated – Not First Payment](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating Adjusted Payments](#)

[Return to Other Information, Data Elements and Values for Reporting and Validating CWC Prior Quarter](#)

Data Element: Week-Ending Date

Definition: Week Ending Date

Week Ending Date of the Week Paid: The Saturday date of the week compensated.

Module 3

Step 13. Week Ending Date

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the week-ending date of the week paid on the worksheet matches the supporting documentation and is a Saturday (if all benefit weeks in the State end on a Saturday).

Record Layout: Week End Date

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
16	Week End Date	Step 13 - Rule 1	The week-ending date of the week compensated.	Date - MM/DD/YYYY (Required except optional for adjustment, self-employment, and all CWC payments with the exception of CWC first payments)	DATE	

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Data Element: Mail Date

Definition: Payment Mail or Deposit Date

Date of Payment: The date on which the check is paid in person, mailed, electronically transmitted to the claimant's bank account, or an offset or intercept is applied on the claim. The mail date is the date the SWA actually mails the first payment to the claimant. SWAs determine the mail date and provide DOL with its procedure(s) to derive it in the comments section. (ETA 401 (9050), Sec V, Ch 1)

Module 3

Step 14. Payment Mail or Deposit Date

Rules for this step/substep apply to Population 4

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the date the payment was mailed or deposited matches the date on the worksheet and that the payment was mailed during the month that is being validated.

Record Layout: Mail Date

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
17	Mail Date	Step 14 - Rule 1	The date on which the payment is actually mailed to the claimant.	Date - MM/DD/YYYY (Required)	DATE	NOT NULL

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Data Element: Time Lapse

Definition: First Payment Time Lapse

Definitions, unless otherwise specified in these instructions, will follow the definitions for the ETA 5159 found elsewhere in this handbook.

First Payment Time Lapse. A measurement of the number of days from the week ending date of the first compensable week in the benefit year to the date the payment is (a) made in person, or (b) mailed, or (c) released to the financial institution/entity responsible for depositing the benefit payment into the UI beneficiary's account as a direct deposit or for access through a debit card, or (d) used as an offset, or (e) intercepted.

Module 3

NOT APPLICABLE. THIS STEP IS NOT MANUALLY VALIDATED.

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the time lapse as reported by the states is accurately calculated by subtracting the number of the days from the payment mail date to the Saturday of the compensated week.

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