

Final Payments: Population 2

Final Payments

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 - Definition: Actual Weeks of Duration – Maximum Weeks of Duration
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Other Data Elements and Values for Reporting and Validating Final Payments

- Data Element: SSN
- Data Element: Check Number Unique ID
- Data Element: Type of UI Program
 - Value: UI
- Data Element: Program Type
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim

Final Payments

Definition: Types of Compensation – Final Payment

Final Payment: The last regular benefit a claimant receives in a benefit year because the claimant has no further entitlement to payment, i.e., has exhausted entitlement by drawing the full amount of benefits from State trust funds or UCFE or UCX program funds. The last payment to a claimant whose regular program benefits are reduced through disqualifications, but who draws all the reduced benefits during the benefit year, should be considered a final payment. No claimant should be considered to have received a final payment if, because of the ending of his/her benefit year, he/she cannot draw the full amount of State UI, UCFE, or UCX benefit for which he/she may be potentially eligible. (ETA 401 (5159), Sec I, Ch 6)

Module 3 – Step 10C. Types of Compensation – Final Payment

Step 10. Actual Weeks of Duration

Sub Step C. Final Payment

Rules for this step/substep apply to Population 2

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the benefits in the claim were exhausted to determine if a final payment was made by checking an indicator.

Rule 2: This rule validates that the benefits in the claim were exhausted by checking the remaining balance of benefits to determine if a final payment was made. The remaining balance equals the balance the state has made unavailable to the claimant.

Rule 3: This rule validates that the date of the final payment on the claim falls within the time period being validated.

ETA Handbook 361, Appendix A, Population 2 – Final Payments

Table A.2.1
Population 2 Subpopulations
Final Payments

Subpop #	Report, Line, and Column	2 (Step 1C) (Rule 1) SSN	3 (Step 1C) (Rule 2) Check Number Unique ID	4 (Step 2) Type of UI Program	5 (Step 4) Program Type	6 (Step 9A) (Step 9B) (Rule 1) MBA	7 (Step 7) (Rules 1 & 2) WBA	8 (Step 9A) Actual Weeks of Duration	9 (Step 9B) (Step 9C) Maximum Weeks of Duration	10 (Step 10C) (Rule 3) Mail Date of Final Payment	11 (Step 10C) (Rule 2) Balance
FINAL PAYMENTS (2.1 through 2.4) 1) Random sample: 30 or 100											
2.1	5159B-303-26 218B-104 (14, 19)	Required	Required	Regular UI	UI	> 0	> 0	> 25	Y	Required	Must be 0
2.2	5159B-303-26 218B-102 (8-13)	Required	Required	Regular UI	UI	> 0	> 0	Required ^a	N	Required	Must be 0
2.3	5159B-303-27	Required	Required	Regular UI	UCFE	> 0	> 0			Required	Must be 0
2.4	5159B-303-28	Required	Required	Regular UI	UCX	> 0	> 0			Required	Must be 0

^aThere are states where the actual weeks of duration may be more than 25 weeks and less than the state’s maximum weeks of duration due to state unemployment regulations.

ETA Handbook 361, Appendix A, Population 2 Notes

1. This population validates two reports for different time periods:

- A) The 5159 which is a monthly report, and
- B) The 218 which is a quarterly report

Validate a quarter by taking all records that should have been reported in ETA 218 for a given quarter and the corresponding records of three 5159 monthly reports for that same reporting period. There may be some dynamic data (values that change during the time period). Therefore, the reported counts and validation counts may not match exactly.

- 2. Columns 6 and 7 (Step 7), WBA and MBA: Use the final MBA and WBA on the claim for this population.
- 3. Column 8 (Step 9A), Actual Weeks of Duration: Divide the final MBA by the final WBA and round to the next highest whole number. The number of actual weeks of duration is unrelated to the number of weeks compensated. If the claim included partial payments, there will be more weeks compensated than actual weeks of duration. For example, if the final MBA is \$5,200.00 and the final WBA is \$200.00, the actual weeks of duration are 26. This claimant, however, may have been compensated for 30 weeks if partial payments were made on the claim.
- 4. The balance on the claim may not be zero if the state retains the balance for disqualified weeks on its database. For example, the final MBA is \$5,200.00; the final WBA is \$200.00; but 13 weeks were disqualified. The disqualified portion of \$2,600.00 should be removed from the final MBA before loading the file into the software. Therefore, the final MBA will be \$2,600.00, and the actual weeks of duration will be 13.
- 5. The range of duration breakouts is not validated. Only the totals are validated.

ETA Handbook 361, Appendix A, Relationship between ETA 218B Report and Final Payments Subpopulations in Population 2

SECTION B. ACTUAL DURATION FOR CLAIMANTS WHO RECEIVED FINAL PAYMENTS				
LINE NO.	ITEM	LESS THAN MAXIMUM WEEKS OF DURATION		
		Less than 26 Weeks (8-13)		
102	Actual	2		
		MAXIMUM WEEKS OF DURATION	Number at Maximum Duration (19)	Average Weeks Duration (20)
		26-27 Weeks (14)		
104	Actual	1	1	Average Calculation from Total Column for Subpopulations 1 and 2

ETA Handbook 361, Appendix A, Relationship between ETA 5159B Report and Final Payments Subpopulations in Population 2

Final Payments for All Unemployment				
		State UI Program	UCFE & UCX Programs	
		Total (26)	UCFE, No UI (27)	UCX Only (28)
Number	303	1 and 2	3	4

Data Element: Weekly Benefit Amount (WBA)

Definition: WBA

The WBA provides the regular amount paid to the recipient rather than the actual amount of a particular weekly payment since, in some cases, the regular WBA may be reduced because of part-total or partial employment.

(ETA 401, Section I-2-24)

Record Layout: WBA

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
7	WBA	Step 7 - Rules 1 and 2	The weekly benefit allowance.	Number - 0000000.00 (Required)	DECIMAL (9,2)	NOT NULL

Data Element: Maximum Benefit Amount (MBA)

Definition: Maximum Weekly Benefit

Claimants Establishing Benefit Years Entitled to Maximum Weekly Benefit Amount: Claimants whose regular weekly benefit amount (WBA) for a period of total unemployment is the maximum provided under the State law. This should be reported on the basis of the regular WBA rather than the actual amount of a particular weekly payment since, in some cases, the regular WBA may be reduced because of part-total or partial unemployment.

In States which pay increased benefits because of dependents, this item should be reported on the basis of the augmented amount. For States which pay benefits according to a schedule of WBAs based on wages earned, and which supplement these with dependents' allowances, the number of such claimants entitled to the maximum augmented WBA will be identical to the number entitled to the maximum basic WBA. For States which pay benefits according to a schedule of WBAs based on the number and type of dependents, as well as on the amount of wages earned (e.g., Ohio), the number of such claimants will be the sum of those entitled to the maximum in each class, as determined by the number and type of dependents. (ETA 401, Sec I, Ch 2)

Reporting Maximum WBA and Maximum Duration: When the State law is changed during the reported period with respect to the maximum weekly benefit amounts or duration, the data reported shall represent the total at the maximum under the old law and under the new law. Also, if the law provides for more than one maximum, each applicable to a specified set of circumstances under which the claimant files, the data reported shall represent the total of individuals at all designated maximums. For example, the maximum in a State may vary according to the number and type of claimant's dependents, the claimant's previous history of employment and receipt of benefits, the industry in which the claimant earned his wage credits, and the type of claim (i.e., interstate or intrastate). (ETA 401, Sec I, Ch 2)

Module 3

Step 7. Maximum Weekly Benefit

Rules for this step/substep apply to Population 2 and 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR MAXIMUM WBA:

Rule 1: This rule validates that based on the most recent monetary determination at the time the 218 report was generated (in the month following the end of the quarter being validated) that the weekly benefit amount for the new claim matches the weekly benefit amount on the worksheet and is currently the maximum for the State (excluding dependent allowances).

FOR LESS THAN MAXIMUM WBA:

Rule 2: This rule validates that based on the most recent monetary determination at the time the 218 report was generated (in the month following the end of the quarter being validated) that the

WBA for the new claim is less than the maximum for the State (excluding dependent allowances).

Record Layout: MBA

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
6	MBA	Step 9A and 9B - Rule 1	The maximum benefit allowance.	Number - 0000000.00 (Required)	DECIMAL (9,2)	NOT NULL

Data Element: Actual Weeks of Duration

Definition: Actual Weeks of Duration

Actual Duration for Claimants Who Received Final Payments: Derived by dividing the total amount of benefits received from State trust funds by the WBA for total unemployment. If the WBA for total unemployment is changed during the benefit year, the amount of the last payment made at the full benefit rate should be used rather than the amount shown on the original determination.

States which provide for the payment of increased benefits because of dependents should use (in computing actual duration) the figures which include benefits paid as the result of dependents.

The last payment to a claimant whose benefits are reduced through disqualifications but who draws all of the reduced benefits to which he is entitled during the benefit year should be included as a final payment. No claimant should be considered as receiving a final payment if, because of the ending of his benefit year, he is prohibited from drawing the full amount of benefits to which he is eligible on the basis of his monetary determination. (ETA 401, Sec I, Ch 2)

Module 3

Step 9. Actual Weeks of Duration

Sub Step A. Actual Weeks of Duration

Rules for this step/substep apply to Population 2

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the maximum benefit amount matches the MBA on the worksheet and the actual weeks of duration of benefits for claimants who have received final payments by using a formula (final MBA without adjustments, e.g., reduced because wages cancelled, divided by final WBA). Remove any disqualified weeks from the MBA before calculating.

Rule 2: This rule validates the actual weeks of duration for claimants who have received final payments by using an indicator or field which indicates number of weeks paid.

Record Layout – Actual Weeks of Duration

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
8	Actual Weeks of Duration	Step 9A - Rules 1 and 2	The number of actual weeks of duration of the claim.	Number - 00 (Required except optional for UCFE and UCX claims)	INTEGER	

Data Element: Maximum Weeks of Duration

Value: Maximum Weeks of Duration – Yes

Definition: Actual Weeks of Duration -- Maximum Actual Weeks

Reporting Maximum WBA and Maximum Duration: When the State law is changed during the reported period with respect to the maximum weekly benefit amounts or duration, the data reported shall represent the total at the maximum under the old law and under the new law. Also, if the law provides for more than one maximum, each applicable to a specified set of circumstances under which the claimant files, the data reported shall represent the total of individuals at all designated maximums. For example, the maximum in a State may vary according to the number and type of claimant's dependents, the claimant's previous history of employment and receipt of benefits, the industry in which the claimant earned his wage credits, and the type of claim (i.e., interstate or intrastate).

Module 3

Step 9. Actual Weeks of Duration

Sub Step B. Maximum Actual Weeks

Rules for this step/substep apply to Population 2

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the actual weeks of duration equal the State's maximum weeks of duration by using a formula (final MBA divided by final WBA equals State's maximum). Remove any disqualified weeks from the MBA before calculating.

Value: Actual Weeks of Duration – Less than Maximum Actual Weeks

Definition: Actual Weeks of Duration – Less than Maximum Actual Weeks

Actual Duration for Claimants Who Received Final Payments: Derived by dividing the total amount of benefits received from State trust funds by the WBA for total unemployment. If the WBA for total unemployment is changed during the benefit year, the amount of the last payment made at the full benefit rate should be used rather than the amount shown on the original determination.

States which provide for the payment of increased benefits because of dependents should use (in computing actual duration) the figures which include benefits paid as the result of dependents.

The last payment to a claimant whose benefits are reduced through disqualifications but who draws all of the reduced benefits to which he is entitled during the benefit year should be included as a final payment. No claimant should be considered as receiving a final payment if, because of the ending of his benefit year, he is prohibited from drawing the full amount of benefits to which he is eligible on the basis of his monetary determination. (ETA 401, Sec I, Ch 2)

Module 3

Step 9. Actual Weeks of Duration

Sub Step C. Less than Maximum Actual Weeks

Rules for this step/substep apply to Population 2

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the actual weeks of duration are less than the State's maximum weeks of duration by using an indicator or field which indicates the maximum number of weeks paid.

Record Layout – Maximum Weeks of Duration

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
9	Maximum Weeks of Duration	Steps 9B and 9C - Rule 1	The number of actual weeks of duration at the maximum or not.	Text - Y N (Required except optional for UCFE and UCX claims)	CHAR (20)	

Other Data Elements and Values for Reporting and Validating Final Payments

- Data Element: SSN
- Data Element: Check Number Unique ID
- Data Element: Type of UI Program
 - Value: UI
- Data Element: Program Type
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX Claim
 - Value: UCX Claim