TRAINING AND EMPLOYMENT GUIDANCE LETTER NO. 29-06

TO: ALL STATE WORKFORCE AGENCIES
    ALL STATE WORKFORCE ADMINISTRATORS
    ALL STATE AND LOCAL WORKFORCE BOARD CHAIRS AND DIRECTORS
    ALL STATE WORKFORCE LIAISONS

FROM: EMILY STOVER DeROCCO
    Assistant Secretary
    Employment and Training Administration

SUBJECT: Application Instructions for Program Year (PY) 2007 Workforce Information Grants: Workforce Information Formula Allocations to States

1. **Purpose.** To announce the grant application process and to transmit guidance for the development and management of the PY 2007 Workforce Information grants to states.

2. **References.** The Paperwork Reduction Act of 1995; Wagner-Peyser Act, Sections 7(a)(3)(D), 7(d), and 15; Sections 111(d)(8) and 309 of the Workforce Investment Act (WIA); Parts I and II, Section IV of the WIA/Wagner-Peyser Two-Year Planning guidance (70 Federal Register 19206 (April 12, 2005)); 29 Code of Federal Regulations (CFR) Parts 93, 96, 97, and 98; and Office of Management and Budget (OMB) Circular A-87.

Under 5 CFR 1320.5(b), an agency cannot conduct, sponsor, or require a response to a collection of information unless the collection displays a valid OMB control number. The reason for the collection of information is for the planning and management of the Workforce Information formula grants to states.

4. **Background.** Revolutions in technology and information have ushered in the era of globalization. This era is marked by tremendous advances in communications, travel, and trade allowing individuals instant access to commerce from almost anywhere in the world. The resulting global competition is typically seen as a national challenge, but innovative responses and solutions to this challenge must be developed regionally by creating synergistic planning and operating environments, where businesses, workers, researchers, entrepreneurs, and governments align to create a competitive advantage. That advantage stems from the ability to transform new ideas and new knowledge into innovative, high-quality products and services. Regional leaders that successfully innovate are those who demonstrate the ability to network assets – people, institutions, capital, and infrastructure – to generate growth and prosperity in the region’s economy.

In November 2005, the Employment and Training Administration (ETA) launched the Workforce Innovation in Regional Economic Development (WIRED) initiative to develop models that foster regional economic transformation across the nation; integrate economic and workforce development activities; and focus on talent development as the key to meeting the current and future skills requirements of a knowledge-based economy. This initiative represents a more comprehensive strategic model than used in the past for making resource investments, by which regional economies across the nation can respond competitively to the evolving dynamics and demands of globalization.

The WIRED conceptual framework employs the following steps for marshalling a region’s resources to create competitive advantage:

- Define the regional economy by identifying the surrounding communities that share common characteristics, looking beyond traditional political boundaries.

- Create a leadership group that represents the major assets of a region and provides a forum to craft a regional economic vision and strategy.

- Conduct a regional assessment to fully map the area’s assets and identify the strengths, weaknesses, opportunities, and risks based on those assets.
- Develop an economic strategy and implementation plan that provides both a vision of the region’s economic future and a blueprint for how to achieve that vision.

- Identify investment resources from a wide range of sources, including but not limited to foundations, venture capital networks, and federal, state, and local governments.

Stakeholders undertaking the above approach to regional economic transformation must analyze a wide scope of data describing facets of the workforce, labor market, economy, and infrastructure in order to create intelligence about the region’s labor market and economic landscape.

ETA articulated its vision for a 21st century workforce information system that drives economic competitiveness in state and regional economies in a Workforce3One Webinar. Recorded on March 23, 2006, the “Workforce Information for the 21st Century Economy” Webinar can be viewed via the Internet at www.workforce3one.org. Section five below provides highlights of the vision and ETA’s goals, strategies, and expectations for the workforce information system.

5. **Workforce Information Goals and Strategies.** ETA’s goal is to continue the transformation of workforce information and services that support regional economies. To achieve this goal, ETA expects states to:

- Participate in economic and workforce activities that are designed to identify regional assets, exploit strengths and opportunities, and address weaknesses;

- Actively collaborate with economic development, business, and education partners to gather and analyze a wide array of current and real-time workforce and economic data in order to create new knowledge about regional economies and support strategic planning, routinely track economic conditions, measure outcomes, and benchmark economic competitiveness in the global marketplace;

- Integrate workforce information and economic data into accessible, user-friendly tools and products for use by workforce professionals for providing career guidance, and by students, adults, and workers of all ages to use in making career decisions;

- Help economic development project teams address gaps identified in their assessments and participate as catalysts in the development of implementation strategies; and
• Help develop integrated economic development strategies unifying workforce and economic development systems and connecting to other public and private entities.

In addition to the workforce information routinely produced by the state workforce agencies (SWAs), ETA expects that other relevant data and resources from economic development organizations, education institutions, trade associations, and other state and local government agencies will be leveraged by grantees to expand the scope and enhance the utility of information products and services. In 2003, ETA developed “An Environmental Scan of Workforce Information Sources to Assist Employment, Economic Development, Education and Workforce Investment Planning and Decision Making.” It has been updated annually and the April 2007 edition is available at www.doleta.gov/Programs/pdf/environmental-scan-report-final-pdf. Other possible sources and types of data to be sought include:

• Data collected by economic development agencies, trade and industry associations, and Chambers of Commerce.

• Data available from government sources such as the U.S. Department of Commerce, the U.S. Census Bureau, the Bureau of Economic Analysis, the Federal Reserve, and the National Center for Education Statistics.

• Data from site selection subscription services.

• Data from private sector job boards such as Careerbuilder.com, JOBcentral.com, Monster.com, and Employon.com to analyze skills in demand for geographic areas.

• Demographic characteristics of the workforce such as age, sex, and educational attainment.

• Where workers live and work (http://lehdmap.dsd.census.gov/ and http://lehdmap2.dsd.census.gov/themap/).

• Industry cluster analyses.

• Occupational analyses.

• Occupational supply and demand analyses from job vacancy statistics, the Occupational Supply Demand System (www.occsupplydemand.org), and other sources.
• Education assets such as the presence of community colleges, proprietary schools, and four year institutions.

• Training program inventories.

• Infrastructure and economic assets for geographic areas.

• State and regional patent data and analyses from the U.S. Patent and Trade Office (www.uspto.gov).

• Trade export data from the Bureau of Economic Analysis in the U.S. Department of Commerce (www.bea.gov).

• Venture capital availability and investments.

• Federal grant investments by geographic areas (www.census.gov/govs/www/cfr.html).

• Government, university, and private sector research investments.

6. **Partnership Expectations.** These PY 2007 workforce information grant guidelines require consultation between the grantees’ SWAs, state and local workforce investment boards (WIBs), the leadership of WIRED grantees within the state, and other strategic partners and stakeholders in order to increase the scope and utility of workforce information to inform talent and economic development strategies and enhance career guidance.

ETA expects grantees to operate as catalysts for establishing strategic partnerships driving the WIRED framework. Grantees are also expected to collaborate with economic development agencies, education and training institutions, business groups, industry associations, and other public and private producers and distributors of labor market and economic information, to gather and analyze a wide variety of workforce and economic data to drive strategic planning, track economic conditions, benchmark competitiveness, and measure outcomes.

7. **Funding and Leveraging Resources.** Funds will be provided for states to produce, at a minimum, the core information products and services required by Attachment III of this guidance, and for the development of other workforce information products and services which, at a state’s discretion, might be required to support the transformation of the workforce system to a talent development system.
A total of $31,680,000 is available for PY 2007, minus $173,827 allocated in total to Guam and the Virgin Islands, and $918,720 held in reserve for postage. The remaining amount of $30,587,453 will be distributed by formula to states and the District of Columbia, with 40% distributed equally to all states and 60% distributed based on each state’s relative share of the civilian labor force for the 12-month period ending September 2006 (see Attachment I for the table of state allocations). States are urged to collaborate with other data providers and to leverage resources in order to expand their capacity to produce quality workforce information and economic analysis. Many entities have expertise and resources that can be leveraged. Some examples of leveraging resources are developing fee-for-service agreements with customer organizations; obtaining matching funds from other organizations for a common grant activity; or incorporating other organizations’ labor market or economic information to enhance the scope and utility of workforce information.

8. **State Certification of Required Grant Deliverables.** States are required to submit a grant Statement of Work Certification (see Attachment IV) affirming that all six deliverables required by the grant Statement of Work guidelines (see Attachment III) will be accomplished during PY 2007. The Certification must be signed by the governor, or by both the SWA administrator and the chairperson of the state WIB.

If circumstances prevent accomplishment of a specific grant deliverable during PY 2007, a deferral must be negotiated with the ETA Regional Administrator, including the reasons for delay and a proposed completion date noted as an addendum to the Statement of Work Certification. Planned grant activities and grant accomplishments must be documented in the state’s grant file and those records made accessible, per 29 CFR Part 97.42(e)(1), for examination by ETA or other authorized Federal representatives.

9. **Accountability.** The effectiveness of grantee performance will be assessed and documented through the following approach:

a. **ETA Grant Reviews.** The ETA regional offices will conduct periodic reviews of states’ progress towards meeting the PY 2007 certified grant deliverables, the degree to which collaboration with the state WIB and other partners (e.g., economic development, education, and business) exists, and quarterly reviews of grant expenditures and obligations.

b. **Performance Reporting.** ETA implemented common measures reporting and revised reporting requirements in PY 2005. These include reporting on the impact of workforce information services on participant outcomes. As of July 1, 2005, states were required to capture a wide variety of workforce information services provided to participants and to report the outcomes achieved by participants who received workforce information services. States
began reporting the Entered Employment Rate, Employment Retention Rate after Six Months, and Earnings for participants following receipt of workforce information services. In PY 2007, ETA will continue to monitor the outcomes achieved by participants who received workforce information services.

c. **Consultation and Customer Satisfaction Assessment.** Section 15 of the Wagner-Peyser Act (WIA Section 309) requires states to consult with customers about the labor market relevance of the information disseminated through the statewide workforce information system. ETA has expanded the definition of customers to include those marshalled by the workforce system and WIRED grantees to foster economic and talent development. Consultation with the workforce investment system and other customers provides the basis for formulating continuous improvement strategies for workforce information. ETA is not prescribing an approach or methodology for conducting customer consultations. Methods might include focus groups, various types of surveys, documented consultations, customer satisfaction assessments, or other methods the state considers appropriate.

d. **Annual Performance Report.** Grantees are required to submit an annual performance report for the workforce information grant (29 CFR 97.40(b)(1)), signed by both the SWA administrator and the state WIB chairperson, or the governor, as specified in Attachment V, Section A. The report must include a description of outcomes compared to certified grant deliverables, and where appropriate, an explanation as to why a grant deliverable was not accomplished and what will be done to ensure accomplishment.

The annual performance report must also include a summary of the results of the grantee’s customer consultations regarding state workforce information products and services, and a summary of activities to be undertaken to add customer value where needs for improvement are indicated. The report may also include recommendations for consideration by ETA for changes and improvements to the required grant deliverables.

Grantees must electronically submit the annual performance report as a .pdf file to the appropriate ETA regional office 90 days following the end of the program year. ETA will post the annual performance reports on the ETA Web site for the purpose of informing the system of overall grant performance.

10. **Special Grant Requirements.** Funds provided by this award may not be used to supplant funds obligated from other funding sources for workforce information activities. All costs incurred under the grant must support the cost objectives specified in Section 6 of this guidance and must conform to the principles for "reasonable" and "allocable" costs as specified in OMB Circular A-87.
Any data collection activities must conform to technical standards and methodologies established by the Bureau of Labor Statistics, or document in the state’s grant file, a sound business rationale for the use of an alternative methodology. Any information technology systems or applications developed with these funds must adhere to industry-standard, open architecture principles with documentation and software made available for use by other organizations for Federal government purposes.

11. **Publications and Other Information Products.** Grantees are required to submit .pdf documents or links to .pdf copies of the state economic analysis reports (Deliverable 3), and any special studies and economic analyses (Deliverable 6), to the appropriate regional office 90 calendar days following the end of the program year.

Grantees are also requested to submit .pdf documents or links to .pdf copies of publications and other products produced with these grant funds that are considered to be of special interest to the workforce investment system to the appropriate regional office. Examples of products of special interest might be workforce information targeted to the needs of WIRED grantees and other economic development regions, other specific customer groups, industry-specific research and analysis, state brochures describing the availability and functionality of electronic or self-service tools, documentation of innovative applications developed for database access or manipulation, and innovative workforce information services available to customers.

12. **Grant Expenditure Period.** The maximum expenditure period for these funds is three years under the Wagner-Peyser Annual Funding Agreement. The grant will cover, at a minimum, the 12-month period from July 1, 2007, to June 30, 2008, but, if negotiated between the state and the ETA regional office, may cover a longer period of time up to the maximum expenditure period ending June 30, 2010.

13. **Grant Modifications.** The grantee and the ETA regional office may jointly modify planned expenditures within the state allocation during the grant period of performance. The regional office has authority to recommend the reallocation of grant funds to the ETA grant officer, when overall grant expenditures and obligations are substantially below quarterly budgeted forecasts.

14. **Financial Management and Reporting.** States are to forecast actual cash needs by the program year quarter on Standard Form (SF) 424A, Section D, for all quarters covered by the grant. State workforce agencies will report quarterly expenditures by direct data entry of the SF 269, Federal Cost Report into the Web-based Enterprise Business Support System (EBBS). Per 29 CFR Part 97.41(b)(4), quarterly financial reports are due 30 days following the end of each quarter.
Questions regarding financial management and reporting for PY 2007 or for any existing prior year fund balances, should be addressed to the appropriate ETA regional office.

15. **Action Required.** Grant applications are due to the regional offices within 45 days of the date of this guidance. A submission date later than 45 days may be approved by the ETA Regional Administrators. SWA administrators are requested to:

a. Immediately transmit these grant application instructions to the appropriate SWA office and to the state WIB.

b. Submit the original and two copies of the PY 2007 grant application package with a transmittal letter signed by: (1) the governor; or (2) both the SWA administrator and the chairperson of the state WIB to the appropriate ETA regional office.

c. Include these items in the grant application package:

   1. Transmittal Letter
   2. Application for Federal Assistance (SF-424)
   3. Budget Plan (SF-424A, Section D only)
   4. Statement of Work Certification

16. **Inquiries.** Questions on statement of work and grant requirements should be directed to the appropriate ETA regional office. Grant and financial management questions may be directed to the regional office or to Gwendolyn Baron-Simms at (202) 693-3309, or to Fred Tello, Grant Officer, at (202) 693-3333.

16. **Attachments.**

I. State Allocations for PY 2007
II. Grant Procedures
III. Statement of Work Guidelines
IV. Statement of Work Certification
V. Annual Performance Report Instructions
### Attachment I

**Workforce Information Grants to States**  
**PY 2007 vs PY 2006 Allotments**

<table>
<thead>
<tr>
<th>State</th>
<th>PY 2006</th>
<th>PY 2007</th>
<th>Difference</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total</strong></td>
<td>$33,180,000</td>
<td>$31,680,000</td>
<td>($1,500,000)</td>
<td>-4.52%</td>
</tr>
<tr>
<td>Alabama</td>
<td>521,838</td>
<td>497,844</td>
<td>(23,994)</td>
<td>-4.60%</td>
</tr>
<tr>
<td>Alaska</td>
<td>289,733</td>
<td>276,788</td>
<td>(12,945)</td>
<td>-4.47%</td>
</tr>
<tr>
<td>Arizona</td>
<td>606,975</td>
<td>588,028</td>
<td>(18,947)</td>
<td>-3.12%</td>
</tr>
<tr>
<td>Arkansas</td>
<td>417,532</td>
<td>402,754</td>
<td>(14,778)</td>
<td>-3.54%</td>
</tr>
<tr>
<td>California</td>
<td>2,517,818</td>
<td>2,377,436</td>
<td>(140,382)</td>
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</tr>
<tr>
<td>Colorado</td>
<td>572,085</td>
<td>549,657</td>
<td>(22,428)</td>
<td>-3.92%</td>
</tr>
<tr>
<td>Connecticut</td>
<td>476,709</td>
<td>456,335</td>
<td>(20,374)</td>
<td>-4.27%</td>
</tr>
<tr>
<td>Delaware</td>
<td>301,478</td>
<td>288,928</td>
<td>(12,550)</td>
<td>-4.16%</td>
</tr>
<tr>
<td>District of Columbia</td>
<td>284,990</td>
<td>270,584</td>
<td>(14,406)</td>
<td>-5.05%</td>
</tr>
<tr>
<td>Florida</td>
<td>1,346,686</td>
<td>1,305,486</td>
<td>(41,200)</td>
<td>-3.06%</td>
</tr>
<tr>
<td>Georgia</td>
<td>818,732</td>
<td>798,817</td>
<td>(19,915)</td>
<td>-2.43%</td>
</tr>
<tr>
<td>Hawaii</td>
<td>326,854</td>
<td>313,441</td>
<td>(13,413)</td>
<td>-4.10%</td>
</tr>
<tr>
<td>Idaho</td>
<td>339,126</td>
<td>326,403</td>
<td>(12,723)</td>
<td>-3.75%</td>
</tr>
<tr>
<td>Illinois</td>
<td>1,072,842</td>
<td>1,022,617</td>
<td>(50,225)</td>
<td>-4.68%</td>
</tr>
<tr>
<td>Indiana</td>
<td>655,487</td>
<td>627,413</td>
<td>(28,074)</td>
<td>-4.28%</td>
</tr>
<tr>
<td>Iowa</td>
<td>456,141</td>
<td>437,464</td>
<td>(18,677)</td>
<td>-4.09%</td>
</tr>
<tr>
<td>Kansas</td>
<td>434,164</td>
<td>413,513</td>
<td>(20,651)</td>
<td>-4.76%</td>
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<td>Kentucky</td>
<td>500,627</td>
<td>479,042</td>
<td>(21,585)</td>
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<tr>
<td>Louisiana</td>
<td>513,672</td>
<td>464,464</td>
<td>(49,208)</td>
<td>-9.58%</td>
</tr>
<tr>
<td>Maine</td>
<td>336,825</td>
<td>321,718</td>
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</tr>
<tr>
<td>Maryland</td>
<td>619,665</td>
<td>595,123</td>
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<tr>
<td>Massachusetts</td>
<td>678,507</td>
<td>641,320</td>
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</tr>
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<td>Michigan</td>
<td>900,341</td>
<td>851,816</td>
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</tr>
<tr>
<td>Minnesota</td>
<td>625,262</td>
<td>590,980</td>
<td>(34,282)</td>
<td>-5.48%</td>
</tr>
<tr>
<td>Mississippi</td>
<td>418,105</td>
<td>394,758</td>
<td>(23,347)</td>
<td>-5.58%</td>
</tr>
<tr>
<td>Missouri</td>
<td>633,721</td>
<td>602,038</td>
<td>(31,683)</td>
<td>-5.00%</td>
</tr>
<tr>
<td>Montana</td>
<td>309,202</td>
<td>295,622</td>
<td>(13,580)</td>
<td>-4.39%</td>
</tr>
<tr>
<td>Nebraska</td>
<td>372,682</td>
<td>354,185</td>
<td>(18,497)</td>
<td>-4.96%</td>
</tr>
<tr>
<td>Nevada</td>
<td>400,960</td>
<td>387,101</td>
<td>(13,859)</td>
<td>-3.46%</td>
</tr>
<tr>
<td>New Hampshire</td>
<td>340,067</td>
<td>324,226</td>
<td>(15,841)</td>
<td>-4.66%</td>
</tr>
<tr>
<td>New Jersey</td>
<td>811,805</td>
<td>775,725</td>
<td>(36,080)</td>
<td>-4.44%</td>
</tr>
<tr>
<td>New Mexico</td>
<td>365,776</td>
<td>350,006</td>
<td>(15,770)</td>
<td>-4.31%</td>
</tr>
<tr>
<td>New York</td>
<td>1,448,995</td>
<td>1,381,028</td>
<td>(67,967)</td>
<td>-4.69%</td>
</tr>
<tr>
<td>North Carolina</td>
<td>797,071</td>
<td>765,938</td>
<td>(31,133)</td>
<td>-3.91%</td>
</tr>
<tr>
<td>North Dakota</td>
<td>291,961</td>
<td>279,041</td>
<td>(12,920)</td>
<td>-4.43%</td>
</tr>
<tr>
<td>State</td>
<td>2023</td>
<td>2022</td>
<td>Change</td>
<td></td>
</tr>
<tr>
<td>---------------------</td>
<td>------------</td>
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<td>----------</td>
<td></td>
</tr>
<tr>
<td>Ohio</td>
<td>1,003,271</td>
<td>949,774</td>
<td>-5.33%</td>
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<tr>
<td>Oklahoma</td>
<td>467,100</td>
<td>447,271</td>
<td>-4.25%</td>
<td></td>
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<tr>
<td>Oregon</td>
<td>485,051</td>
<td>462,032</td>
<td>-4.75%</td>
<td></td>
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<tr>
<td>Pennsylvania</td>
<td>1,056,202</td>
<td>995,426</td>
<td>-5.75%</td>
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<tr>
<td>Puerto Rico</td>
<td>425,499</td>
<td>405,531</td>
<td>-4.69%</td>
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<td>Rhode Island</td>
<td>319,006</td>
<td>304,861</td>
<td>-4.43%</td>
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<tr>
<td>South Carolina</td>
<td>511,426</td>
<td>490,073</td>
<td>-4.18%</td>
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<td>South Dakota</td>
<td>301,464</td>
<td>287,507</td>
<td>-4.63%</td>
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<tr>
<td>Tennessee</td>
<td>617,744</td>
<td>591,810</td>
<td>-4.20%</td>
<td></td>
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<tr>
<td>Texas</td>
<td>1,676,453</td>
<td>1,611,388</td>
<td>-3.88%</td>
<td></td>
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<tr>
<td>Utah</td>
<td>403,414</td>
<td>392,287</td>
<td>-2.76%</td>
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<tr>
<td>Vermont</td>
<td>291,640</td>
<td>278,919</td>
<td>-4.36%</td>
<td></td>
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<tr>
<td>Virginia</td>
<td>743,751</td>
<td>716,429</td>
<td>-3.67%</td>
<td></td>
</tr>
<tr>
<td>Washington</td>
<td>665,575</td>
<td>637,419</td>
<td>-4.23%</td>
<td></td>
</tr>
<tr>
<td>West Virginia</td>
<td>347,927</td>
<td>333,335</td>
<td>-4.19%</td>
<td></td>
</tr>
<tr>
<td>Wisconsin</td>
<td>637,592</td>
<td>605,539</td>
<td>-5.03%</td>
<td></td>
</tr>
<tr>
<td>Wyoming</td>
<td>282,908</td>
<td>270,213</td>
<td>-4.49%</td>
<td></td>
</tr>
<tr>
<td>State Total</td>
<td>32,040,457</td>
<td>30,587,453</td>
<td>-4.53%</td>
<td></td>
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<tr>
<td>Guam</td>
<td>93,191</td>
<td>91,238</td>
<td>-2.10%</td>
<td></td>
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<tr>
<td>Virgin Islands</td>
<td>84,132</td>
<td>82,589</td>
<td>-1.83%</td>
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<tr>
<td>Outlying Areas Total</td>
<td>177,323</td>
<td>173,827</td>
<td>-1.97%</td>
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<tr>
<td>Postage Reserve</td>
<td>962,220</td>
<td>918,720</td>
<td>-4.52%</td>
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Attachment II

Grant Procedures

A. Grant Agreement. The Wagner-Peyser Annual Funding Agreement covers the Wagner-Peyser Base Grant and the Workforce Information Core Products and Services Grants for FY 2007/PY 2007. The Annual Funding Agreement includes Assurances and Certifications that apply to all grants covered by the Agreement. Therefore, those Assurances and Certifications need not be submitted with the Workforce Information Core Products and Services Grant application.

B. Grant Application. Grant applications are to be developed in accordance with Attachments I through IV. The grant application must include a transmittal letter signed by both the designated SWA administrator and the designated representative of the State Workforce Investment Board, or the governor, a signed Application for Federal Assistance (SF-424), Budget (SF-424A, Section D only) for all quarters covered by the state plan, and a grant Statement of Work Certification. States are requested to submit the original and two copies of the complete grant package to the appropriate ETA Regional Administrator within 45 days of the date of this guidance.

C. Grant Approval. Regional Administrators are responsible for final approval of the grant and will issue an approval letter, with a copy of the approved grant package, to the state, and a copy of the approval letter and approved grant package to the grant officer. A Notice of Obligation will be issued by the grant officer after the receipt of the Regional Administrator’s approved grant application.

D. Grant Modifications. The grantee and ETA regional office may jointly modify forecasted quarterly expenditures within the state allocation during the grant period of performance. The regional office has authority to recommend funding adjustments to the grant officer, when overall grant expenditures are substantially below quarterly budgeted forecasts in the approved SF-424A.
Attachment III

Statement of Work Deliverables

Funds shall be used to produce deliverables 1 through 6 specified in this Attachment. The deliverables include the production of industry and occupational employment projections, the population and maintenance of the Workforce Information Database (WID), and other economic and workforce information products and services required to support the state, regional, and local talent development system.

Grantees are required to produce all 6 deliverables with PY 2007 funding. Except for deliverables 1 and 2, which are expected to be standard and comparable across states WID maintenance and enhancements and Industry and Occupational Employment Projections, all other required deliverables allow states flexibility to determine the relative priority of the product or service, the content and form of the deliverable, and the level of investment that best meets the states’ needs. States are required to consult with the state WIB’s, WIRED regional partnerships, Labor WIB’s and other stakeholder organizations in order to receive significant stakeholder input into the development of the grant deliverables.

ETA acknowledges and supports states using funds provided by this grant to produce other information products and services such as workforce information training and occupational and career guidance, to support talent development or other workforce information that is documented as a customer demand.

A. Continue to Populate the Workforce Information Database with State and Local Data.

The WID provides states with a common structure for storing information in a single database in each state. The database is intended to serve as the cornerstone for information delivery, workforce research, and product development for information that is standard and comparable across all states. The database, once populated, brings together critical workforce information from many sources to promote better analysis and more sophisticated interpretation. Using the same version of the database in all states is important for providing interstate access to workforce information. Therefore, states are required to implement and maintain the most current version of the WID and populate all tables designated as core tables in accordance with guidelines issued by the Analyst Resource Center (ARC). Database content must be updated in a timely manner in order to be as current as the state’s most recent publications and data releases.
Information and technical support will be provided on the ARC Web site at: http://www.almisdb.org. The listing of the current core data elements, (i.e., those elements that must be populated by all states and associated tables) may be found at the same internet address.

States are required to populate the database with the following licensing files: 1) license.dbf and 2) licauth.dbf. Licensing data must be updated every two years. States are required to submit licensing data through the National Crosswalk Service Center (NCSC) for inclusion on http://www.CareerInfoNet.org. The licensed occupations information on ACINet has been recoded from the Occupational Employment Statistics (OES) taxonomy to the Occupational Information Network (O*NET)/Standard Occupational Classification (SOC) taxonomy. The NCSC will assist states in recoding their files to O*NET/SOC for submittal to ACINet, if necessary. States may access information and support through the NCSC Web site at http://www.xwalkcenter.org or by calling (515) 242-5034.

Training, support material, and information updates will be provided through the ARC technical Web site, links to related sites, and electronic newsletters to help states realize the full potential of the WID and related resources. On-site training requiring staff travel will be kept to a minimum. It is important that all states take advantage of the resources and training opportunities provided. Funds may be used for any costs required to populate, maintain, and update the database including staff training.

B. Produce and Disseminate Industry and Occupational Employment Projections.

States are to continue producing and disseminating state and sub-state industry and occupational employment projections, using the methodology, software tools, and guidelines developed by the Projections Workgroup and the Projections Managing Partnership. In the case where a state uses another methodology, state officials should document the methodology and indicate the reason for using alternative methods.

Statewide projections are to be developed for the 2006 to 2016 and 2007 to 2009 periods. The primary activity for PY 2007 will be the production of statewide long and short-term occupational projections. However, this requirement does not preclude states from producing sub-state and local projections. In fact, states are encourages to do so.
States are required to populate the WID with the statewide 2007-2009 short-term and 2006-2016 long-term projections data and submit the long-term data for public dissemination following procedures established by the Projections Workgroup and the Projections Managing Partnership. The Workgroup will provide states specific instructions for data submission.

States are encouraged to participate in training opportunities that may be offered by the Projections Workgroup and the Projections Managing Partnership.

C. **Publish an Annual Economic Analysis Report for the Governor and the state WIB.**

Funds are to be used to develop and publish annual, detailed state economic analyses to inform talent and economic development policy and investment determinations by the governor, the state WIB, local WIBs, WIRED regions, and other partners including community colleges, economic development organizations, and other talent development stakeholders. To the extent available from the state or other sources, the state analysis should include pertinent data and analyses of local and regional economies. If this deliverable, in whole or in part, is the responsibility of another state agency or office, opportunities for partnering and leveraging information with the responsible agency should be explored and documented in an addendum to the grant certification.

Grantees are encouraged to expand the scope and depth of each analysis they perform by including information, where relevant, and based on needs identified through customer consultation from external, credible sources of workforce, labor market, and economic information in addition to the workforce information routinely produced by the grantee. Examples of other possible data sources are cited on page 4 of this TEGL in Section 5.

States are required to footnote and clearly reference the information sources used in the annual economic analyses. ETA will provide technical assistance to states in accessing and incorporating information from non-state sources through Webinar training and presentations.

D. **Post Products, Information, and Reports on the Internet.**

States are required to post grant produced products, reports, including deliverables 3, and 6, and other workforce information on the Web or disseminate the information through other electronic media to facilitate use by the talent and economic development system, other partners and stakeholders, and the general public. Funds may be used for developing, maintaining, improving, and hosting Internet Web sites and other electronic delivery systems in support of this deliverable.
E. Partner and Consult on a Continuing Basis with Workforce Investment Boards and Key Talent Development Partners and Stakeholders.

These PY 2007 workforce information grant guidelines require consultation between the grantees’ SWAs, state WIBs, local WIBs, the leadership of WIRED grantees within the state, and other strategic partners and stakeholders in order to increase the scope and utility of workforce information to inform talent and economic development strategies and enhance career guidance.

ETA expects grantees to operate as catalysts that establish strategic partnerships to drive the WIRED framework. The grantee is expected to collaborate with economic development agencies, education and training institutions, business groups, industry associations, and other public and private producers and distributors of labor market and economic information, to gather and analyze a wide variety of workforce and economic data to drive strategic planning, track economic conditions, benchmark competitiveness, and measure outcomes. The needs identified through consultations must be documented in the grant file.

F. Conduct Special Studies and Economic Analyses.

When needed, states shall conduct special state, regional and local studies and economic analyses to provide information support for communities in economic transition, state and sub-state talent development initiatives, and responses to major layoffs or disasters.
Attachment IV

Statement of Work Certification
State Workforce Information Grant

The State of ______________________ certifies that it will accomplish grant deliverables 1 through 6 specified in Attachment III of the Workforce Information Core Products and Services Grant Application Instructions for Program Year 2007.* Per 29 CFR Part 97.42(e)(1), file documentation of grant activities and accomplishments will be available for examination by ETA or other authorized Federal representatives.

__________________________
Governor

__________________________
Date

Or

__________________________
State Workforce Agency Administrator

__________________________
Date

__________________________
State Workforce Investment Board Chair

__________________________
Date

* If circumstances prevent accomplishment of a specific grant deliverable(s) during PY 2007, a deferral must be negotiated with the Regional Administrator and the reasons for delay, and a negotiated completion date noted as an addendum to this Statement of Work Certification.
Attachment V

Annual Performance Report

States are required to submit an annual performance report, signed by the governor or both the State Workforce Administrator (SWA) and the state Workforce Investment Board (WIB), which concisely summarizes accomplishments, and the results of customer consultations about existing and needed workforce information products and services. The report is intended to serve as an accountability, management, and analysis tool for states and ETA.

A. **Accomplishments.** For each required deliverable, provide a concise summary of:

- The outcomes achieved and an analysis that explains the cause of any significant variance from the Grant Certification. Describe any actions required to accomplish the required deliverables.

- The extent to which activities have conformed to the deliverables schedule, including an explanation for the cause of any significant variance from the schedule.

- Actual aggregate expenditures and an explanation for any significant variance from estimated aggregate expenditures.

B. **Customer Consultations.** Provide a concise summary of:

- The methods used for consulting with customers and significant customer feedback.

- Activities to be undertaken to add customer value to the deliverables, where needs for improvement are identified through customer feedback.

C. **Recommendations for Improvements or Changes to the Deliverables.**

These may be based on one or more of the following:

- Recommendations based on accomplishments.

- Recommendations based on consultations with customers.